

Washington State Minority and Justice Commission

RACIAL/ETHNIC DISPARITIES AND EXCEPTIONAL SENTENCES IN WASHINGTON STATE

FINAL REPORT

Robert D. Crutchfield, Ph. D. Joseph G. Weis, Ph. D. Rodney L. Engen, M. S. Randy R. Gainey, M. A.

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WASHINGTON STATE MINORITY AND JUSTICE COMMISSION Office of the Administrator for the Courts

1206 South Quince Street Post Office Box 41170 Olympia, Washington 98504-1170 (206) 705-5327

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EXECUTIVE SUMMARY

This study examines the use of exceptional sentences and other sentence alternatives such as the First-Time Offender Waiver and the Special Sex Offender Sentencing Alternative provided by the Sentencing Reform Act of 1981. The primary objectives are to determine whether racial or ethnic disparities exist in the use of these sentences and to identify characteristics of cases or offenders that may contribute to such disparities. To address these issues, we analyze data provided by the Sentencing Guidelines Commission on 51,844 adult felony sentences in Washington state during fiscal years 1990, 1991 and 1992.

The report presents analyses of statewide patterns in the use of mitigated and aggravated exceptional sentences and of other sentences above and below the standard range, as well as analyses of variations in the use of these sentences across Superior Courts and by county size. The following are the major findings for the first part of the analysis:

Exceptional sentences are used infrequently in Washington state. Less than 4% of all convictions result in exceptional sentences.

Disparities in exceptional sentencing between minority offender groups are as large as the disparities between White and minority offender groups.

The racial disparities that appear are not so much due to minorities receiving aggravated exceptional sentences as to some minority groups receiving mitigated exceptional sentences less often than other offenders, for some offenses.

Statewide, Hispanic, White and Native American offenders are more likely to receive aggravated exceptional sentences than either African American or Asian American offenders. Native Americans are the most likely, and Hispanic offenders the least likely, to receive mitigated exceptional sentences.

Smaller Superior Courts are more likely to impose exceptional sentences than larger Superior Courts.

The relationship between race/ethnicity and the use of exceptional sentences is complex and varies by type of offense and across county Superior Courts.

Hispanic offenders are the group least likely to receive mitigated exceptional sentences. In many instances, Hispanic offenders are more likely than other offender groups to receive aggravated exceptional sentences.

Racial and ethnic disparities in exceptional sentencing are exacerbated by the concentration of the state's minority populations in some counties.

The second part of the analysis examines the individual case and offender characteristics that are related to the imposition of exceptional sentences and other sentences outside the standard range. We also examine the reasons given by judges for imposing either a mitigated or an aggravated sentence. The following are the major findings for the analyses of individual cases:

> Offenders who commit more serious crimes, and those who have more serious criminal histories are more likely to receive either mitigated or aggravated exceptional sentences.

> Offenders sentenced in smaller counties are more likely to receive exceptional sentences than those in larger counties.

The current offense, priors, and the offender and offense seriousness scores produce different patterns of exceptional sentences for different racial groups.

The relationship between race/ethnicity and the imposition of exceptional sentences is complex and is related to the current offense and the legal characteristics of the offender. For example, African American offenders

are less likely than White offenders to receive aggravated exceptional sentences, but African Americans sentenced for serious crimes are more likely than Whites sentenced for serious crimes to be given aggravated exceptional sentences.

There are direct relationships between race/ethnicity and the imposition of exceptional sentences: (1) Hispanic offenders are less likely than others to receive mitigated sentences; (2) African Americans are less likely to receive aggravated sentences.

White female offenders are more likely than White male offenders to receive either an aggravated or mitigated exceptional sentence. Hispanic and African American female offenders are more likely to receive mitigated sentences than their male counterparts but are not more likely to receive aggravated exceptional sentences.

The reasons provided by judges for imposing exceptional sentences are related to the race/ethnicity of the offender, but no clear patterns emerge.

We conclude that exceptional sentences, both above and below the standard range, are used infrequently and that the relationship between race/ethnicity and the imposition of these sentences is very complex. Therefore, even though it appears that race has a modest effect on sentencing outside the standard range, simplistic notions about race and exceptional sentencing should be avoided.

Officials in Washington state should recognize that some racial disparities reported here result from the residential concentration of minority populations in some counties and, therefore, that Superior Courts in those counties will contribute disproportionately to statewide racial disparities.

This study was limited to a relatively unique type of sentence. It is clear that the Minority and Justice Commission, the Sentencing Guidelines Commission, the Legislature, state agencies and other entities should continue to examine the criminal justice system for other sources of racial disparity. It is our belief that one of the most effective means of preventing unwarranted disparity is the process of examining and reviewing ongoing practices.

Finally, as is frequently the case, addressing the question of racial disparities in the use of exceptional sentences raises additional questions. We recommend a more thorough examination of some of the issues uncovered by this research, particularly the substantial disadvantage experienced by Hispanic offenders in the use of exceptional sentences.

INTRODUCTION

Several years ago a study that examined racial differences in imprisonment in Washington state found that African Americans and Hispanics did not fare as well as Whites in the criminal justice system (Crutchfield and Bridges, 1986). It was hoped at that time that the Sentencing Reform Act of 1981 would remedy racial inequalities in criminal justice processing through its mandated statewide sentencing guidelines. The goal of this study is to assess whether the exceptional sentence provision in the Sentencing Reform Act is used in a racially-biased fashion.

In particular, the objective of this study is to identify whether racial and/or ethnic differences exist in the probability that offenders receive exceptional sentences. The research study focuses on two issues: 1) the level of racial and ethnic differences in exceptional sentencing and 2) the characteristics of cases and offenders that may contribute to these disparities. The analyses reported here should provide a better understanding of racial and ethnic differences in the imposition of exceptional sentences. We also examine sentences other than exceptional sentences which are above or below the standard range.

Before presenting the results of the analyses, we summarize the Sentencing Reform Act of 1981 and describe our analysis plan. We then present the results of the analysis of statewide trends in the use of exceptional sentences and of other sentences outside the standard range. This analysis is replicated for Superior Courts and for counties grouped according to their population size. The results of the analyses of individual cases are then presented. This, too, includes both exceptional sentences, as well as other sentences outside the standard range. Finally, analyses of the reasons provided by judges for imposing these sentences are discussed.

The Sentencing Reform Act of 1981

Washington's Sentencing Reform Act (SRA) established uniform and determinate sentencing guidelines for the imposition of penalties for adult felons. The SRA seeks greater uniformity in the sentencing of offenders convicted of like offenses and with similar criminal histories. It places limits on judicial discretion in sentencing, eliminates extensive use of probation and parole, and requires that sentences be based only on legal characteristics of the offense and the offender's criminal history.

Under this sentencing model, judges are required to impose a term of confinement within a presumptive "standard range" that reflects both the seriousness of the offense and the offender's prior felony convictions. Offense seriousness levels range from 1 to 15 and the offender score ranges from 0 to $9.^1$ Sentences are determinate, in that they indicate specific amounts of time to be served, whether in confinement, community service or community supervision.²

In addition to the offense and offender seriousness, the SRA indicates a variety of factors that enhance or reduce the standard sentence range. Sentences may be enhanced for the use of a deadly weapon in certain crimes, and for certain drug offenses committed on school property or in a correctional facility. Sentences

¹ The offense seriousness level and offender seriousness score are computed according to the criteria in RCW 9.94A.320 and RCW 9.94A.030. The offender score reflects the number of prior convictions, the nature of those offenses, and the length of time since they occurred.

 $^{^2}$ Most offenders are eligible for early release for "good time," so the actual time in confinement is less certain and likely to be shorter than that ordered. Sentences are, nonetheless, ordered as specific terms to be served.

are reduced by 25% for most anticipatory offenses such as attempt, solicitation, or conspiracy to commit a felony.³

Judges do, however, retain limited discretion and may, under substantial and compelling circumstances, impose sentences outside the standard range. These "exceptional" sentences may be either aggravated (above the standard range) or mitigated (below the standard range), and may be imposed on any offender for any offense, provided the issuing judge states in writing the aggravating or mitigating circumstances that would make the standard range sentence inappropriate. There are only two limitations on exceptional sentences: 1) sentences may not exceed the statutory maximum for the offense; and 2) mandatory minimum sentences exist for five of the most serious offenses (Aggravated Murder; First Degree Murder; First Degree Assault; First Degree Rape; First Degree Assault of a Child).

In addition to the exceptional sentence option, judges have a few other alternatives to standard-range sentences. Judges may, under some circumstances, convert all or part of a sentence to community service. In these "Alternative Conversions," the total sentence must still be within the standard range but need not be served in confinement. Depending upon the nature of the offense and the offender's prior history, the "First-Time Offender Waiver" (FTOW), and the "Special Sex Offender Sentencing Alternative" (SSOSA) are available for some offenders and are foremost among alternative sentences.

Under the FTOW, the standard range sentence is waived in lieu of a determinate sentence of up to 90 days of confinement and/or community service, with or without community supervision. Under the SSOSA, a sentence is formally

³ Prior to <u>State of Washington v. Mendoza</u>, 63 Wn. App. 373, 189 p.2d 387 (1991), the law was unclear regarding sentencing for anticipatory violations of the Uniform Controlled Substances Act. The Washington Supreme Court ruled in Mendoza that, unless otherwise defined by the UCSA, these anticipatory offenses are to be considered "unranked" offenses for the purposes of sentencing, with a standard sentence range of from 0 to 12 months.

imposed that is within the standard range, but it is then suspended and the judge may order terms of confinement (up to 6 months), community service, community supervision, or treatment as conditions of the standard range suspension. While SSOSAs will generally be less severe than the standard range sentence, FTOWs may actually result in a longer sentence, with additional conditions, than would have been allowed under the standard range (Sentencing Guidelines Commission, 1992).

Empirical Research Literature

Studies confirm that the populations of African American and other minority prisoners in state and federal correctional facilities are disproportionately large, given their number in the general population (Blumstein, 1982; Langan, 1985). Washington state is no exception to this general rule (Crutchfield and Bridges, 1986; Bridges, Crutchfield and Simpson, 1987). There is considerable debate, however, over the causes of racial disproportionality in incarceration. Some argue that the higher proportion of minorities in correctional facilities is a result of their greater involvement in more serious crimes. Others argue that the higher rates of incarceration among African Americans and other minorities are at least, in part, the result of discriminatory treatment in the courts.

Empirical evidence is available to support both views. Supporting the differential involvement thesis, Blumstein (1982) reports that arrests for violent crimes (those serious crimes most likely to result in imprisonment) account for 80% of the observed racial differences in imprisonment in the United States. Other studies have replicated Blumstein's findings (see Langan, 1985 and earlier reviews by Hagan, 1974 and Kleck, 1981). However, a growing body of research has shown that after controlling for legally relevant variables, social factors such as race of the offender are important in determining individuals' outcomes in the

criminal justice process (Peterson and Hagan, 1984; Miethe and Moore, 1986; Myers and Talarico, 1986; Bridges and Crutchfield, 1987).

There are a number of methodological problems with prior studies that hinder our interpretation of these findings. First, studies have typically examined either single jurisdictions (e.g., offenders in a single county) or large aggregates, such as entire states or nations, ignoring variations within those units (e.g., counties within a state). Research has shown that race differences in treatment vary by social context, and analyses should examine differences across jurisdictions, as well as the characteristics of individual jurisdictions that may be associated with differential treatment (Sabol, 1989; Bridges, 1993).

Second, studies have typically focused on one of the last steps in the criminal justice process, namely, sentencing. This is understandable given the paucity of data on earlier stages, for example, on arrests or charges (Miethe and Moore, 1986; Lloyd, 1992). However, if differential treatment by race occurs at earlier stages, comparisons at the point of sentencing will be biased.⁴ Those biases can be corrected statistically only if data for decisions that occur earlier in the criminal justice process are included in analyses (Berk, 1983).

The extent of the sample selection problem is not clear in sentencing studies. In general, researchers assume that analyses that do not correct for sample selection will provide conservative estimates (i.e., underestimates) of differential processing by race (Miethe and Moore, 1986; Peterson and Hagan, 1984). Myers (1987) finds that the hazard rate (the measure of the likelihood of an offender continuing in the system until sentencing) that was constructed to control for sample selection bias was largely determined by offense seriousness. The results

⁴ For instance, the effect of minority status on receiving a more excessive sentence may be masked if some Whites are treated more leniently at earlier stages. Thus, we are comparing a select sample of probably more severe White offenders with a sample of "normal" or less severe minority offenders.

suggest that simply controlling for offense seriousness will partially correct for the sample selection bias. Miethe and Moore (1986) examine racial differences in criminal sentencing in Minnesota but are unable to explore the effect of sample selection on their analysis. However, because of their large sample size and the use of interactive, rather than additive, models, they were able to discover racial differences in sentencing.

In general, the literature suggests that uncorrected models tend to produce conservative estimates of race effects and that corrected models should be used if the data are available, especially if the objective is to estimate individual offenders' probability of receiving particular sentences. Analyses of large, representative samples, employing uncorrected interactive models, should detect racial differences in sentencing practices if they indeed exist.

That is the analytic strategy used in this study. The data available for analysis consists of 51,844 adult felony sentences. There is no information on case attrition prior to sentencing (e.g., arrest, filing of charges, pretrial diversion). However, with this large, complete sample of sentences, the analyses should be able to detect, albeit conservatively, any race differences in the use of exceptional sentences in Washington state.

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THE ANALYSIS PLAN

The data provided by the Sentencing Guidelines Commission are wellsuited to assess the impact of race on the use of exceptional sentences. Data are analyzed on all convicted felons sentenced in Washington state during fiscal years 1990, 1991 and 1992 (July 1, 1989 to June 30, 1992). These data include legal characteristics of each case, such as the type and severity of the offense(s); the offender's prior felony convictions; the type and severity of sentence imposed (e.g., exceptional versus nonexceptional); and whether the sentence was enhanced (e.g., due to use of a deadly weapon, or sale of drugs in a school zone), reduced (e.g., through the First-Time Offender Waiver), or suspended (e.g., through the Special Sex Offender Sentencing Alternative). In addition, the data include the offender's age, race and sex, and the aggravating or mitigating reasons given by judges in cases where they have imposed exceptional sentences, thus facilitating an analysis of possible variations in judges' justifications for exceptional sentences by the race or ethnicity of the offender. Data for all three years are pooled and analyzed collectively.

The analysis of exceptional sentences is divided into two parts. Part 1 examines the levels of racial and ethnic disparities in the imposition of exceptional sentences statewide and across Superior Courts. Part 2 analyzes all individual cases statewide in order to identify characteristics of cases and of offenders, including race and ethnicity, that predict the imposition of exceptional sentences.

While the analysis focuses on the use of exceptional sentences, other sentencing alternatives (FTOW and SSOSA) also produce sentences outside the standard range. Because we are interested both in the use of exceptional sentences and in the more general issue of race/ethnic differences in sentencing, we repeat the analyses for all sentences outside the standard range in both Part 1 and Part 2.

Part 1 is the analysis of levels of exceptional sentences. This part of the analysis is performed in three stages. First, we compare the statewide levels of exceptional sentencing, for all offenders, by race/ethnicity and by type of offense. Second, we compare these levels of exceptional sentencing within, and between, Superior Courts.⁵ Third, we combine the data for the 30 Superior Courts into the five classes according to population size of counties, and compare levels of exceptional sentencing across these classes of Superior Courts (See Appendix 1 for classifications by county).

The third stage of the analysis was performed because of the small numbers of convictions in many Superior Courts, particularly of minority offenders. For example, with 93% of African American offenders concentrated in only four Superior Courts, it is difficult to draw conclusions regarding sentencing disparities in those courts with few minority offenders. By combining Superior Courts according to population size, we are better able to examine differences in sentencing patterns by offender race and by offense type, while still being able to compare sentencing trends between the larger, more urban districts and the smaller, more rural districts.

Given the disproportionate numbers of offenders by race and ethnicity in the state (67% are White) and across Superior Courts, it would be misleading to draw conclusions regarding sentencing disparities from a direct comparison of the total numbers of exceptional sentences imposed on offenders of different races and in different jurisdictions. Rather, we compare percentages of offenders of each

 $^{^{5}}$ Washington's 39 counties are divided into 30 Superior Courts. Each court typically represents a single county, but several of the smaller counties have combined Superior Courts (See Appendix 1).

race who received exceptional sentences. Total exceptional sentences across Superior Courts are relevant, on the other hand, for understanding racial disparities at the state level.

At all stages of this analysis, it is important to assess overall levels of exceptional sentencing and, more important, whether those sentences are more likely to be aggravated (above the standard range) or mitigated (below the standard range). Therefore, at each stage of the analysis, we compute the percentages of all offenders receiving aggravated exceptional and mitigated exceptional sentences.⁶ We also compute these percentages separately for each racial/ethnic offender group and for all minority offenders combined. We compute the percentages of offenders of each race receiving aggravated and mitigated exceptional sentences for each of four types of offenses: (1) Violent offenses; (2) Sex offenses; (3) Drug offenses; and (4) all Other Nonviolent offenses. Finally, we replicate these analyses, computing percentages of offenders receiving any sentence outside the standard range, whether or not it is an exceptional sentence.

Part 2 is an analysis of individual case characteristics that predict exceptional sentences. The state and county class analyses provide important descriptive data concerning aggregate trends in the imposition of exceptional sentences across racial groups and type of offense. However, these analyses tell us little about the individual characteristics that increase or decrease the probability of receiving an exceptionally lenient or severe sentence. We perform a second set of analyses to assess the impact of a variety of legal and extralegal factors on sentencing outcomes.

⁶ A small number of exceptional sentences are actually within the standard range. We have included these among the aggravated exceptional sentences because, while they do not entail longer periods of incarceration, they typically include additional conditions (e.g., a longer period of community supervision) above and beyond the ordinary for a given offense.

We create two dichotomous dependent variables. "Mitigated Exceptional" sentences were computed to equal one if the offender received a mitigated exceptional sentence and zero if either a standard range sentence or an aggravated exceptional sentence was imposed. "Aggravated Exceptional" sentences were computed to equal one if the offender received an exceptional sentence above the standard range and zero if either a standard range sentence or mitigated exceptional sentence was imposed.

We construct four sets of independent variables to estimate the influence of legal and extralegal factors on the likelihood of being given an exceptional sentence. The first set of legal variables examines the types of prior offenses in the offender's record. Three dichotomous variables indicate whether the offender had prior convictions for sex, drug or violent offenses. The second indicates whether the offender's current conviction includes a sex offense, drug-related offense or violent offense. The third set of legal variables includes the offender and the offense seriousness scores. The fourth describes characteristics of the case and its outcome. These include whether the sentence was enhanced because a weapon was involved, the person was dealing drugs in a school zone or other protected area, or the crime was committed in a correctional facility, or included sexual motivation, or whether it was reduced because the crime was not completed (e.g., attempted). Outcome measures include whether the offender was sentenced under a FTOW or a SSOSA. Extralegal variables include the offender's sex, race and age at the time of the sentence, and the size of the Superior Court.

In the analyses we first use logistic regression analyses to assess the impact of legal and extralegal factors (e.g., race and gender of the offender, and county class) on the probability that individual offenders receive aggravated or mitigated exceptional sentences. Second, we assess the impact of extralegal factors on judges' reasons for imposing more lenient or more severe sentences, controlling

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for legally relevant variables. Because information on judges' reasons exists only in those cases that received exceptional sentences, there is the possibility of sample selection bias. To control for this potential effect on the analyses, we include in the equations a hazard rate that represents the probability of being included in the sample (Berk, 1979).⁷

Following the analyses of exceptional sentences, we repeat the above analyses and examine all sentences, both exceptional and nonexceptional, that fall outside the standard range. While most sentences above the standard range are exceptional sentences, many sentences imposed are below the standard range and are not defined as exceptional. Most of these sentences below the standard range are due to the use of other alternative sentences (FTOW and SSOSA).

⁷ The hazard rates were constructed using the results of earlier regression analyses.

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FINDINGS: STATE AND COUNTY ANALYSES

Exceptional Sentences in Washington State

There was a total of 51,844 felony sentences in Washington state from July 1, 1989 to June 30, 1992. The majority of offenders sentenced were White (67%), followed by African Americans (17%), Hispanics (7%), Native Americans (2%), and Asian Americans (2%). An additional 5% are recorded as "Other" or "Unknown" (see Tables 1 through 5).⁸ The racial composition of convicted offenders primarily reflects the numbers of persons of each race living in Washington, but also may reflect differential involvement in criminal activity or differential treatment at earlier stages in criminal justice processing.

Exceptional sentences are used infrequently in Washington state, occurring in less than 4% of all convictions from 1990 to 1992. When judges impose exceptional sentences, they are as likely to be above (1,014 cases) as below (1,044 cases) the standard range. The use of exceptional sentences varies considerably, however, by type of offense and by race of the offender. In this study we examine four broad categories of offenses: Violent offenses; Sex offenses; Drug-related offenses; and Other Nonviolent offenses.

Sex offenders are the most likely to receive exceptional sentences (14%), while 10% of violent offenders, 3% of drug offenders, and 2% of offenders convicted of other nonviolent crimes received exceptional sentences.⁹ This pattern

 $^{^8}$ These percentages are of all felony convictions, rather than all persons convicted. Individual offenders can, and often do, have more than one conviction. As a result, while 67% of all convictions are of White offenders, it may be that fewer than 67% of all offenders are White.

⁹ Cases often include multiple offenses. For example, a single conviction may include a violent offense and an "other" nonviolent offense. This case would be counted as both

is the same for both aggravated and mitigated exceptional sentences. That is, sex offenders are more likely to receive *either* high (8%) *or* low (6%) exceptional sentences, than are violent offenders (5% and 4%), drug offenders (1% and 2%), or other nonviolent offenders (1% and 1%).

Exceptional Sentencing by Race. Statewide, the likelihood of receiving an exceptional sentence varies by the race of the offender (see Tables 6a and 6b). As with total convictions, the majority (73%) of offenders receiving exceptional sentences are White. Due to the large differences in the total numbers of offenders of each race, and the infrequent use of exceptional sentences, some groups receive very few exceptional sentences, regardless of any differences in the use of these sentences.

For all offenses combined, Hispanic, White and Native American offenders are equally likely to receive aggravated exceptional sentences (2.3%, 2.2%, and 2.1%, respectively), and are approximately twice as likely as either African American (1.2%), or Asian American offenders (1.0%) to receive these sentences. Conversely, mitigated exceptional sentences are most likely for Native American offenders (3.0%), followed by Asian American (2.5%), African American (2.2%), White (2.1%) and Hispanic offenders (1.0%).

When one examines the differences in the likelihood of aggravated versus mitigated exceptional sentences within each racial group, equal proportions of White offenders are as likely to receive exceptionally high (2.2%) as low (2.1%) exceptional sentences. However, African American, Asian American and Native American offenders are each more likely to receive low exceptional sentences than

violent and other nonviolent. Furthermore, these categories are not mutually exclusive. A violent sex offense (e.g., first degree Rape) is counted as both a violent and a sex offense. The percentage of cases receiving exceptional sentences for a particular type of offense, therefore, refer to all convictions that include, but are not restricted to, that type of offense.

high, while Hispanic offenders are more than twice as likely to receive high exceptional sentences.

There are also racial differences in the likelihood of exceptional sentencing within types of offenses. For example, among violent offenders, Whites (5.7%) and Hispanics (5.2%) are the most likely to receive high exceptional sentences. African American and Asian American violent offenders are least likely to receive these sentences (3.4% and 3.1%, respectively). Mitigated exceptional sentences are most likely for White (4.7%), Asian American (4.7%), and Native American (4.6%) violent offenders, followed by African American (3.6%) and Hispanic violent offenders (1.9%).

Comparing the relative likelihood of aggravated versus mitigated exceptional sentences within and across race groups, we see that White violent offenders are slightly more likely to receive high as low exceptional sentences, while Asian Americans are slightly more likely to receive low than high exceptional sentences. African Americans and Native Americans are both equally likely to receive either low or high exceptional sentences. Hispanic violent offenders, however, are nearly three times as likely to receive an aggravated as a mitigated exceptional sentence.

The disparities, both between and within races, are more apparent for sex offenders. Among these offenders, Native Americans are most likely to receive aggravated (13.6%) and least likely to receive mitigated exceptional sentences (1.5%), while Asian Americans are most likely to receive mitigated (12.5%) and least likely to receive aggravated exceptional sentences (2.5%). Hispanic and African American sex offenders are only slightly more likely (9.2%; 9.5%) than Whites (8.5%) to receive high exceptional sentences. African Americans and Native Americans are the least likely (2.9%; 1.5%) of all sex offenders to receive mitigated exceptional sentences.

Within race groups we see that White sex offenders are slightly (2.3%) more likely to receive a high exceptional sentence than a low exceptional sentence, but African American sex offenders are three times (6.3%) more likely to receive a high exceptional sentence than a low exceptional sentence. More striking, the percentage of Native American sex offenders receiving high exceptional sentences is nine times the percentage receiving low exceptional sentences, while Asian American offenders are five times more likely to receive low than high exceptional sentences.

Drug offenders are much less likely to receive exceptional sentences than either violent or sex offenders, but race differences exist nonetheless. For drug offenses, Whites (1.5%) and Native Americans (1.3%) are nearly twice as likely as African Americans (.7%) to receive high exceptional sentences. Hispanic offenders are the most likely to receive high exceptional sentences (2.1%). Native Americans are the most likely (5.1%) to receive low exceptional sentences for drug offenses -- twice as likely as either African American (2.6%) or White offenders (2%) and eight times as likely as Hispanic offenders (.6%).

Comparing within race, all groups except Hispanics are more likely to receive mitigated than aggravated exceptional sentences for drug offenses. African American and Native American offenders are each about four times as likely to receive a low exceptional sentence as a high exceptional sentence. Hispanic offenders, on the other hand, are three times as likely to receive a high exceptional sentence.

These examples illustrate the complexity of the disparities that exist at the state level. Overall, we find that White offenders are more likely to receive exceptional sentences, whether more severe or more lenient than the standard presumptive range, and that they do not have a clear advantage over other offenders. African American, Asian American and Native American offenders are

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more likely to receive mitigated exceptional than aggravated exceptional sentences, and appear, therefore, to have an overall advantage over both White and Hispanic offenders. For both African Americans and Native Americans, this is true for all offenses except sex offenses, where they are less likely than others to receive mitigated exceptional sentences.

Conversely, these findings suggest that if any offenders are at a clear disadvantage in the use of exceptional sentencing, relative to other groups, it is Hispanic offenders. This is not simply due to exceptionally harsh treatment, but rather to the lower probability of receiving lenient sentences for most offenses. Across offense types, Hispanics are consistently among the most likely to receive aggravated, and least likely to receive mitigated, exceptional sentences. In addition, for all offenses combined, Hispanic offenders are the most likely to receive aggravated exceptional, and least likely to receive mitigated exceptional, sentences. Finally, Hispanic offenders are the only group that is more likely to receive aggravated than mitigated exceptional sentences for every category of offense, and for all offenses combined.

Exceptional Sentencing Across Superior Courts

While patterns of sentencing statewide are important for an overall understanding of the relationship between race and exceptional sentencing in Washington, it is equally important to examine differences within and between Superior Courts. This section of the report will highlight some of those differences (see Tables 7a and 7b).

Across all races and all offense types, the highest rates of aggravated exceptional sentences are found in the Pacific/Wahkiakum counties (7.2%), Mason county (7.0%) and Clallam county (6.7%) Superior Courts. The lowest rates are found in Grant county (.5%), Adams county (.4%) and Whitman county (.0%)

Superior Courts. Mitigated exceptional sentences are imposed most often in the Thurston county (3.6%), Walla Walla county (3.6%), Island/San Juan (3.3%) and Kitsap county (3.2%) Superior Courts. They are least likely in the Skagit county (.6%), Kittitas county (.4%) and Grant county (.4%) Superior Courts.

It is important to note that these counties, with the exception of Kitsap, produce relatively few convictions in the state. Therefore, while they are at the extremes in the proportion of exceptional sentences issued, they contribute little to the overall levels of exceptional sentencing at the state level. Furthermore, most of these counties, including Kitsap, have very few convictions of minority offenders. As a result, their overall rates of exceptional sentences are primarily a reflection of the sentencing of White offenders. Due to the small numbers of convictions in many Superior Courts, any conclusions regarding the extent of racial disparities, especially by offense type, must be drawn cautiously.

Nonetheless, there are some sentencing disparities by offender race and offense type. These differences are most apparent for those offenses that receive the most exceptional sentences -- sex offenses and violent offenses. Five Superior Courts appear to treat violent offenders particularly harshly: the combined counties of Asotin/Columbia/Garfield, Clallam county, Kitsap county, Okanogan county, and the combined Pacific/Wahkiakum court each imposed aggravated exceptional sentences in more than 10% of convictions for violent crimes.

County differences are most apparent in the use of exceptional sentences for sex offenders. While 8% of sex offenders statewide received high exceptional sentences, 12 courts imposed aggravated sentences in more than 10% of sex convictions, and eight of them in more than 15% of such cases. For the most part, this harsh treatment extends to White and nonwhite offenders alike, though there appear to be wide disparities in some counties. For instance, African American sex offenders are about five times more likely than Whites to receive aggravated

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exceptional sentences in Snohomish county, while Hispanic offenders are more than twice as likely as Whites to receive high exceptional sentences in Thurston county.

There are also differences among Superior Courts in the provision of mitigated exceptional sentences for sex offenders. Adams, Grays Harbor, Jefferson, Lewis, Lincoln, Mason, Pierce and Thurston counties had high rates of low exceptional sentences for sex offenders (10% to 18% of convictions). Most of these sentences are for White offenders because, with the exception of Pierce and Thurston counties, these counties had almost no convictions of nonwhite sex offenders.

Differences by race within Superior Courts exist as well. In Pierce county, for example, White sex offenders are three times more likely than African Americans to receive low exceptional sentences, but Hispanic offenders are 1.7 times more likely to receive them than Whites. In King county, White sex offenders are 1.7 times more likely than African Americans to receive mitigated exceptional sentences.

Exceptional Sentences by County Size

The small numbers of felony convictions in most counties, particularly of Hispanic, Native American and Asian American offenders, make it difficult to draw conclusions regarding sentencing disparities in individual counties. However, by grouping county Superior Courts by population size, we are better able to examine differences in sentences, by race of the offender and by offense type, and to make comparisons between the larger urban counties and the smaller rural counties. Of course, there is variation between counties of similar size, and the findings based on these combined Superior Courts may not apply to any specific county. Rather, they reflect trends in sentencing among counties of similar size and urban concentration (see Tables 8a-12b).

For this analysis, we combine the data for the 30 Superior Courts into five groups, according to their County Class designations. Superior Courts that include more than one county are designated according to the population of the largest county (See Appendix 1). The largest urban Class "A" counties, which include King, Pierce, Snohomish and Spokane counties, account for 57% of all convictions. The seven next largest counties are the Class "B" counties and account for an additional 27% of all convictions. The nine smaller Class "C" counties account for 10% of all convictions, followed by six counties in Class "D" with 4% of all convictions, and the four smallest Class "E" counties with only 2% of all convictions in the state.

Of course, minority offenders are not equally represented in these classes. The vast majority (93%) of all minority offenders are found in Class A and Class B counties, yet there are sufficient numbers of convictions in the smaller counties to analyze and describe more accurately the levels of exceptional sentencing there.

Perhaps the most significant observation is that smaller urban counties and the predominantly rural counties use exceptional sentences more often than the largest urban counties (See Tables 8a and 8b). Class B and C counties issued aggravated exceptional sentences 1.7 times more often than Class A counties, and Class D counties were 2.5 times more likely than Class A counties to use aggravated exceptional sentences. The use of mitigated exceptional sentences also varies by county class: Class B counties issue these low exceptional sentences slightly more often than Class A counties, followed by Class E, Class C and Class D counties.

Recall that statewide, Hispanic offenders are the most likely to receive high exceptional sentences. Ironically, in most of the county Superior Court

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classes, particularly the largest ones, courts are more likely to issue aggravated exceptional sentences to White offenders than to Hispanic offenders. Class D and Class E county courts, which have relatively few Hispanic convictions, are the only ones more likely to impose aggravated exceptional sentences on Hispanic offenders.¹⁰ The high rate for Hispanics statewide appears to be largely a result of the concentration of Hispanic offenders in Class B counties. Those Superior Courts impose high exceptional sentences at higher than average rates (2.5%) for all offenders. As a result, Hispanic offenders are more likely to receive high exceptional sentences than any other group statewide, and are twice as likely to receive them in Class B counties than in Class A counties.

Class D county Superior Courts are the most likely to sanction Hispanics with aggravated exceptional sentences -- nearly six times more likely than Class A counties. All classes of counties are more likely to impose high exceptional sentences on Hispanic offenders than on African American offenders, with the largest disparities in the three smallest classes.

Statewide, Hispanic offenders are the least likely to receive low exceptional sentences. This is true across all Superior Court county classes, though particularly so in Classes D and E, where less than .2% of Hispanic offenders received mitigated exceptional sentences, compared to 3.7% and 2.1% of Whites, respectively. As noted above, these two classes of courts are also by far the most punitive toward Hispanic offenders in terms of high exceptional sentencing. Class B county courts are twice as likely to impose high as low

¹⁰ We should be cautious when interpreting this result. Social scientists generally consider race and ethnicity as two different variables. For instance, the U.S. Census asks respondents to list their race and a separate question asks about ethnicity. Hispanics are frequently divided into those who self-identify as Black Hispanics and those who identify as White Hispanics. This is important because some research has found that these two groups experience social life somewhat differently. In these data we cannot differentiate between White and Black Hispanics, nor can we know if a Hispanic offender is perceived to be White and is consequently treated as if he or she were White.

exceptional sentences on Hispanic offenders. This is true only for Hispanics in those counties, and is due not to a greater likelihood of high exceptional sentencing, but to the much lower likelihood of low exceptional sentencing. All other racial groups are as likely, or more likely, to receive mitigated than aggravated sentences in Class B counties.

Hispanics are more likely to receive high exceptional sentences than low exceptional sentences across all offenses examined. Among violent offenders, this is most notable in Class B, Class D and Class E counties. Class D Superior Courts, in particular, imposed high exceptional sentences in 19% of Hispanic convictions for violent crimes, which is 12 times higher than for Hispanics in Class A counties and twice as high as for Whites in Class D counties. In all classes, Hispanic violent offenders are the least likely of any racial group to receive mitigated exceptional sentences. This disparity is greatest in the three smallest county classes, where less than 1% of these offenders received low exceptional sentences, compared to an average of 5% of White violent offenders.

Similarly, all classes of Superior Courts are much more likely to impose aggravated than mitigated exceptional sentences on Hispanic drug offenders. The opposite is true for most other racial groups. The same pattern holds for Hispanic sex offenders, although this is true for most sex offenders. In Classe A and Class B counties, Hispanic sex offenders are actually more likely to receive low exceptional sentences than are Whites.

The pattern of exceptional sentencing for African American offenders is essentially opposite that of Hispanics. Statewide, African Americans are less likely than most to receive aggravated exceptional sentences. In every Superior Court class, African Americans are also less likely to receive high exceptional sentences than nearly any other group, the one exception being Native Americans in Class A county Superior Courts. As with every other group of offenders, Class B counties

give African Americans high exceptional sentences twice as often as do the Class A county Superior Courts. However, while the Class C, D and E counties are even more likely to issue White, Native American, and Hispanic offenders high exceptional sentences (ranging from 2% to 3%), they are less likely to impose these sentences on African Americans (less than 1% of cases).

Statewide, African American offenders are more likely to receive low exceptional sentences than either White or Hispanic offenders. This overall trend seems to be due to Class A and Class B county Superior Courts (where 98% of African Americans are convicted). Class C, D and E county Superior Courts rarely issue mitigated exceptional sentences to African Americans, in less than 1% of cases, compared to approximately 2% for White offenders.

As a result, in every Superior Court county class, and for most offenses, African Americans are at least as likely to receive mitigated as aggravated exceptional sentences. For example, African American violent offenders are equally likely to receive high as low exceptional sentences in Class A and Class C county Superior Courts, and are slightly more likely to receive low exceptional sentences in Class B counties. In contrast, White violent offenders in these counties are more likely to receive high exceptional sentences than low ones. Still, White offenders in all Superior Court classes are more likely to receive mitigated exceptional sentences for violent crimes than are African Americans.

African Americans appear to have an advantage over most groups in sentencing for drug offenses: They are least likely to receive high exceptional sentences and more likely than either Whites or Hispanics to receive low exceptional sentences. Class A counties are particularly unlikely to issue high exceptional sentences to African Americans for these offenses (less than 1% of cases). The pattern of sentencing for other nonviolent offenses is nearly identical. However, African American sex offenders appear to be at a distinct disadvantage,

being most likely to receive aggravated exceptional sentences in Class A county courts and least likely to receive mitigated exceptional sentences in both Class A and Class B counties. Overall, African American sex offenders are three times more likely to receive an aggravated than a mitigated exceptional sentence.

There are some unusual patterns of exceptional sentencing of Native American offenders. Native Americans receive vastly different treatment across Superior Court classes. Overall, Native Americans are less likely than either Whites or Hispanics to receive high exceptional sentences, but more likely than African Americans or Asian Americans. In Class A county courts only .4% of Native Americans receive aggravated exceptional sentences, while Class B county courts are more likely to issue high exceptional sentences to Native Americans than to any other racial group. By far the most striking finding is that Class C county Superior Courts imposed high exceptional sentences to 10% of all Native Americans convicted. While these counties convicted a small number of Native Americans (n=85), and therefore have little impact on statewide disparities, they produce the most disparate sentencing outcomes. In these counties, Native Americans are over three times more likely than Whites, five times more likely than Hispanics, and 12 times more likely than African Americans, to receive aggravated exceptional sentences. These results might be affected by the transfer of some Native American offenders to tribal courts.

The pattern of mitigated exceptional sentences for Native Americans is just the reverse. Statewide, Native Americans are most likely to receive lenient exceptional sentences (3%) -- three times more likely than Hispanics, the group least likely to receive low exceptional sentences. This appears to be due to the relatively high proportion of Native American offenders receiving low exceptional sentences in Class A and Class B county courts. Class A county courts issue low exceptional sentences twice as often for Native Americans as for White offenders,

and nearly four times more often than for Hispanic offenders. Class B counties are somewhat less likely than Class A counties to use mitigated exceptional sentences for Native Americans, but still do so more often than for Whites and Hispanics, and equally as often as for African Americans. Asian Americans are the only group to receive a higher proportion of low exceptional sentences than Native Americans in Class B counties. Class C and D county Superior Courts are less likely than the larger county Superior Courts to issue low exceptional sentences to Native Americans, but these rates (1% and 2%) are not unusually low. This does result, however, in Class C counties being 10 times more likely to issue Native Americans high exceptional sentences than low exceptional sentences.

This pattern of aggravated exceptional sentencing of Native Americans in Class B and Class C county Superior Courts and more mitigated exceptional sentencing in Class A county Superior Courts seems to hold for all four types of offenses. Native American violent offenders and sex offenders are especially likely to receive high exceptional sentences in Class B and C counties. Two-thirds of Native American sex offenders in Class C county courts received high exceptional sentences. The one clear exception to this pattern is that no Native American sex offenders in Class A courts received exceptional sentences.

Finally, Asian American offenders appear to receive the most lenient treatment, statewide, being least likely to receive high exceptional sentences, and second only to Native Americans in the likelihood of receiving low exceptional sentences. The discussion of sentencing patterns for Asian American offenders will be limited because only 21 of the 488 convictions of Asian Americans in the study occurred in other than the large, more urban Class A or Class B county Superior Courts.

The fact that Asian Americans are least likely to receive high exceptional sentences statewide may be due to the concentration of Asian American offenders

in Class A counties, which use aggravated exceptional sentences less than any other courts. In these counties, White offenders are the only group more likely than Asian Americans offenders to receive high exceptional sentences, but this difference is rather small. Class B county courts are much more likely to issue high exceptional sentences, yet no Asian American offenders received this type of sentence.

In both Class A and Class B counties, Asian American offenders are more likely to receive low exceptional sentences. They are the most likely of all offenders to receive these sentences in Class B county courts, and second only to Hispanic offenders in the Class A counties.

Asian American offenders are most likely to receive exceptional sentences, both high and low, for violent offenses and sex offenses. Violent offenders in Class A courts were as likely to receive high as low exceptional sentences, but sex offenders were three times more likely to receive low exceptional sentences. In Class B counties, 22% of Asian American sex offenders receive low exceptional sentences. No high exceptional sentences were issued for drug offenses or other nonviolent offenses in these counties.

Sentences Outside the Standard Range in Washington State

Thus far we have examined only the use of exceptional sentences in Washington state, and across county Superior Courts. The analyses reported next examine the use of all sentences that fall outside the standard range, regardless of the type of sentence imposed (see Appendix 2 for a description of the computation of these variables).

Overall, the patterns of out-of-range sentences, by offender race and offense type, resemble those for exceptional sentences, with some notable differences (see Tables 13 and 14). Statewide, sentences are much more likely to

fall below than above the standard range. Of the 51,844 felony sentences issued during the period of our study, 3,704 (7.1%) fall below the standard range, while 1,182 (2.3%) fall above the standard range.

The larger proportion of cases below-range is primarily due to the availability of additional sentencing alternatives (FTOWs and SSOSAs) that result in lower sentences for some offenders. Twice as many offenders receive below-range sentences through these alternatives as through exceptional sentences. Of the sentences below the standard range, 28% are exceptional sentences, while 31% are FTOWs, and 27% SSOSA suspended sentences. Fourteen percent (14%) of the below-range sentences are neither exceptional, FTOW, nor SSOSA sentences. Of the above-range sentences, 86% are exceptional, and 5.5% are FTOWs. The remaining (8.2%) are neither exceptional nor FTOW sentences.¹¹

As with exceptional sentences, sex offenders are the most likely to receive a sentence outside the standard range, followed by violent, drug and other nonviolent offenders. However, the proportion of offenders receiving sentences below-range, compared to above-range, varies much more by offense type than do the relative proportions of exceptional sentences. Whereas violent offenders are slightly more likely to receive an aggravated than mitigated exceptional sentence, they are 2.4 times more likely to receive a sentence below-range than above-range. This reversal is even more pronounced for sex offenders: Sex offenders are 1.4 times more likely to receive an aggravated than mitigated exceptional sentence but are four times more likely to receive a sentence below-range than above range. This is hardly surprising given that we have defined SSOSAs as below-range (see Appendix 2). This clearly illustrates that, in order to portray accurately the

¹¹ It is not clear why there are over 600 cases sentenced outside the standard range that are not alternative sentences, though some may simply be due to errors in calculating the standard range at the time of sentencing.

favorable or unfavorable treatment of different groups by the criminal justice system, one must examine not only exceptional sentences, but all alternative sentences.

Sentencing outside the standard range varies by race of the offender. As with exceptional sentences, Hispanic, White and Native American offenders are most likely to be sentenced above the standard range. African American and Asian American offenders are the least likely to be sentenced above the standard range. However, the pattern of below-range sentences differs somewhat from that of mitigated exceptional sentences. Whereas Native Americans are the most likely to receive mitigated exceptional sentences, White, Asian American and Native American offenders are approximately equally likely to be sentenced below-range (between 7.4% and 8%). Furthermore, while African American offenders are as likely as White and Asian Americans to receive mitigated exceptional sentences, African American offenders receive below-range sentences in only 5.1% of cases, only slightly more than Hispanics (4.5%).

An interesting finding at the state level is that the disparities between racial groups in the likelihood of below-range sentencing, while notable, are somewhat smaller than the disparities in mitigated exceptional sentencing. This is due to the differential likelihood of groups receiving non-exceptional, relative to exceptional, sentences below the standard range. For African American and Native American offenders, over 40% of all below-range sentences are exceptional sentences. For Whites, 27% of below-range sentences are exceptional sentences, and for Hispanics, 23%. While every group receives more non-exceptional than exceptional sentences below the standard range, this difference is most pronounced for Hispanics. This seems, however, to be more a reflection of the extremely low number of mitigated exceptional sentences than to a large number of non-exceptional, below-range sentences. Conversely, 86% of all offenders receiving

above-range sentences received exceptional sentences. Among these offenders, Hispanics are most likely to have received exceptional sentences (91%), and African Americans are least likely (81%).

The levels of out-of-range sentencing vary substantially by race and offense type (see Tables 13a and 13b). Recall that among violent offenders, Whites are most likely to receive aggravated exceptional sentences, followed closely by Hispanics. This pattern is unchanged when looking at all sentences above the standard range. However, Whites are by far the most likely to benefit from below-range sentences for violent offenses. Fifteen percent (15%) of White violent offenders received below-range sentences — over three times the number receiving mitigated exceptional sentences. Among violent offenders, African Americans are least likely to receive non-exceptional below-range sentences, and as a result are least likely (6.5%) to receive any below-range sentence.

Among sex offenders, the pattern of above-range sentences is similar to that of exceptional sentences. Native Americans are by far the most likely to receive these (15%) -- nearly twice as likely as White sex offenders (8.8%). Ten percent (10%) of both African American and Hispanic sex offenders received above-range sentences. White and Asian American sex offenders are the most likely to receive below-range sentences (39% and 38%, respectively). Nearly 30% of Hispanic and Native Americans received below-range sentences as well. African Americans, however, are much less likely than any other group to receive below-range sentences for sex offenses (16%), yet are second only to Native Americans in the likelihood of above-range sentences. Thus, African Americans are least likely of any group of sex offenders to receive either mitigated exceptional sentences or non-exceptional, below-range sentences.

The patterns of out-of-range sentencing for drug offenses and other nonviolent offenses are essentially no different than those of exceptional sentences.

For drug offenses, Hispanics are most likely to be sentenced above-range and least likely to be sentenced below-range. African Americans are least likely to be sentenced above-range, and less likely than any group except Hispanics to be sentenced below-range.

Summary of State and County Analyses

Major findings:

Exceptional sentences are used infrequently in Washington. Less than 4% of all convictions result in exceptional sentences.

Disparities in exceptional sentencing between minority offender groups are as large as the disparities between White and minority offender groups.

The racial disparities that appear are not so much due to minorities receiving aggravated exceptional sentences as to some minority groups receiving mitigated exceptional sentences less often than other offenders, for some offenses.

Statewide, Hispanic, White and Native American offenders are more likely to receive aggravated exceptional sentences than either African American or Asian American offenders. Native Americans are the most likely, and Hispanic offenders the least likely, to receive mitigated exceptional sentences.

Smaller Superior Courts are more likely to impose exceptional sentences than larger Superior Courts.

The relationship between race/ethnicity and use of exceptional sentences is complex and varies by type of offense and across county Superior Courts.

Hispanic offenders are the group least likely to receive mitigated exceptional sentences, and in many instances they are more likely than other offender groups to receive aggravated exceptional sentences.

These disparities are exacerbated by the concentration of the state's Hispanic population in counties that are more likely to impose exceptional sentences.

Additional Findings:

Racial disparities similar to those observed for exceptional sentences are also observed for all sentences outside the standard range.

Hispanic offenders are the most likely to receive sentences above and the least likely to receive sentences below the standard range.

White offenders are most likely to benefit from below-range sentences for violent offenses.

African American sex offenders are least likely to receive either mitigated exceptional sentences or other sentences below the standard range.

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FINDINGS: ANALYSES OF INDIVIDUAL CASE CHARACTERISTICS

Predicting Aggravated and Mitigated Exceptional Sentences

This section will first review the factors associated with both mitigated and aggravated exceptional sentences for the total sample. Second, analyses of differences within specific racial and ethnic groups will be discussed. In these latter analyses, if characteristics of the case statistically predict exceptional sentences, then the significant factor(s) can be said to interact with race. If for instance, the presence of a weapon during a crime is significantly related to aggravated exceptional sentences for African Americans, but not for Whites, one would conclude that race interacts with the use weapons. In other words, African Americans using weapons would be given aggravated exceptional sentences more often than other African American offenders, but White offenders with this enhancement would not receive exceptional sentences more often than other White offenders. This would indicate an inappropriate inclusion of race as a factor in sentencing, that may or may not have shown up in the analysis of the total sample.

Tables 20a through 20f present the results of logistic regression analyses and describe the factors that influence the likelihood of receiving mitigated and aggravated exceptional sentences. The column designated by "R," shows the relative size and direction of the relationship. It ranges from -1.0 (an exceptionally strong negative effect) to +1.0 (an exceptionally strong positive effect). "R" is set to zero if the effect, whether positive or negative, is exceptionally small. The column designated by "Exp.(B)" includes the exponent of the slope, which can be used to compare effect sizes. Table 20a includes the results for the entire sample. The race variables describe the probability that individuals in each minority group will receive an exceptional sentence compared to White offenders. The tables also describe the effects of other characteristics of the offender, the offense and county size on exceptional sentencing.

In discussing the analyses of the full sample, we focus on the race and ethnicity variables. The other characteristics are statistically controlled during the analyses, allowing us to discern the extent to which race is affecting the imposition of exceptional sentences after legally relevant factors have been taken into account. Before examining the race effects, two results are noteworthy. First, the seriousness of the offense and the offender's record are consistent predictors of both mitigated and aggravated exceptional sentences. For the most part, this is true for each of the racial/ethnic groups. This suggests that judges invoke more discretion or deviation from the presumptive range for the most serious offenders and most serious crimes. Second, there is a "county effect" on the imposition of both mitigated and aggravated exceptional sentences. Smaller counties are more likely to impose exceptional sentences, even after characteristics of the case and the offense history of offenders are taken into account. This is consistent with the aggregated statewide and county level patterns discussed above.

Race and ethnicity directly affect the imposition of exceptional sentences (see Table 20a). Consistent with the aggregate analyses, Hispanic offenders are significantly less likely than White offenders to receive mitigated exceptional sentences, and African American offenders are less likely than White offenders to receive aggravated exceptional sentences even after other legally relevant factors have been taken into account. Male offenders are less likely than their female counterparts to receive either mitigated or aggravated sentences.

Tables 20b through 20f present analyses for each racial group separately. By examining these results one can determine if the characteristics of cases or offender histories have different effects within groups. In other words,

what are the factors that differentially affect the sentencing of Whites and persons of color? We will examine the effects of prior record, current offense type, seriousness of the offense and offender and other extralegal factors.

Prior Offenses. Whites with a history of violent offenses are less likely to receive a mitigated exceptional sentence while Hispanics with violent offense priors for violence are more likely to receive a mitigated exceptional sentence. Whites and African Americans with prior drug convictions are less likely to receive mitigated exceptional sentences for their current offense. Whites with prior drug convictions are also less likely to receive aggravated exceptional sentences. However, Native Americans with prior drug convictions are still more likely to receive aggravated exceptional sentences. Whites with prior sex offenses are more likely to receive aggravated exceptional sentences, but prior sex offenses have no effect on the sentencing of the other racial/ethnic groups.

Current Offenses. Whites charged with violent offenses are more likely than other White offenders to receive exceptional sentences, with the effect being stronger for aggravated sentences. Most important however, and consistent with the aggregate analyses, the effect of being convicted of a violent crime is substantially more important in leading to aggravated exceptional sentences for Hispanic offenders than for all other racial groups.

Current drug convictions have a very limited effect on receiving an exceptional sentence, although Hispanic drug offenders are less likely to receive mitigated exceptional sentences. The effect of current sex offenses is more robust. In all racial groups, except Asian Americans, sex offenders are significantly more likely to receive aggravated exceptional sentences.

Seriousness. Although offenders with higher offense and offender scores are more likely to receive exceptional sentences, this effect is not the same for all racial groups. White and African American felons with high offender scores are more likely to receive both mitigated and aggravated exceptional sentences, but there are some important differences. Examination of the exponent of the logistic regression coefficients shows that the effect of offender seriousness scores on aggravated exceptional sentences are substantially greater for African Americans and Asian Americans than for Whites.

Generally, offenders with high offense seriousness scores are more likely to receive mitigated and aggravated exceptional sentences. White, African American, Hispanic and Asian American offenders convicted of more serious offenses are all more likely to receive mitigated exceptional sentences. White, Hispanic and African American offenders with high offense scores are also more likely to receive an aggravated exceptional sentence, though the effect is strongest for African Americans.

To summarize, serious offenders are more likely to receive both mitigated and aggravated exceptional sentences. Judges appear to be maximizing the use of available discretion in these most serious cases. This pattern, though, appears to fall most heavily on African American and Hispanic offenders.

Extralegal Factors. Three extralegal factors that are significant predictors of exceptional sentences are age, gender and county size. White males are less likely to receive either mitigated or aggravated exceptional sentences, and African American and especially Hispanic men are less likely to receive mitigated sentences than females.

The effect of age is minimal. Older White offenders are more likely to receive aggravated sentences than their younger counter parts, while older African Americans are more likely to receive mitigated exceptional sentences.

Judges in smaller counties are more likely to give aggravated exceptional sentences to Whites, Hispanics and especially Native Americans. Whites are also more likely to receive mitigated sentences in smaller counties, while Hispanics are less likely to receive them.

Judicial Reasons for Imposing Exceptional Sentences

Judges' reasons for using exceptional sentences vary considerably. The Sentencing Guidelines Commission provides an initial content analysis of those reasons, coding up to 10 reasons included in each judge's written report. To date, approximately 100 different reasons have been coded (see Sentencing Guidelines report, 1992). In this study, we aggregate those codes in two ways. First, we create seven categories of substantive reasons for mitigated exceptional sentences (including Positive Offender Character; Diminished Capacity; Social Ties; Accomplice; Victim-Offender relationship; and Legal Justifications) and six substantive categories for aggravated exceptional sentences (including Negative Offender Characteristics; Extent of Damages; Victim-Related; Drug Involvement; and Legal Justifications). Second, we divide judges' reasons for exceptional sentences into statutory or non-statutory justifications (see Appendix 3 for list of the judges' reasons and their categorization). The results of these analyses are presented in Table 21.

Reasons for Mitigated Exceptional Sentences

Positive Offender Characteristics. The first category refers to offenders' positive characteristics (e.g., the offender shows remorse or poses no threat) and positive actions (e.g., offender confesses before apprehension or is

making an effort to compensate the victim). These types of reasons were reported by judges in 29.6% of the mitigated exceptional sentences. Judges were more likely to cite positive offender characteristics as reasons for imposing mitigated exceptional sentences on offenders convicted of drug offenses. Judges also were more likely to use these reasons for offenders with more serious offense scores. Regarding racial differences in the reasons cited, judges were less likely to cite positive characteristics of African American offenders when imposing a more lenient sentence.

Diminished Responsibility. The most commonly-used justifications for imposing mitigated exceptional sentences refer to the condition of the offender or circumstances at the time of the crime that reduce the offenders' culpability (43.3%). For example, the offender may have acted out of physical or emotional duress, or under the influence of drugs or alcohol. These types of reasons were cited less often in cases where the offender received a SSOSA. Offenders with sex offense priors were less likely to be given these reasons while, those with drug priors were more likely. African Americans were more likely, and Asian Americans slightly less likely, than Whites to receive this type of justification. County class was also important in predicting the use of a diminished responsibility justification: Judges in smaller counties were less likely to use diminished responsibility as a justification for a mitigated exceptional sentence.

Social Ties. Social ties are distinguished from other "positive offender characteristics" because of their relevance in theories of criminal behavior and in decision making within the criminal justice system. Here judges are moved to impose more lenient sentences because the offender has stable social ties in the community, particularly in the form of employment or family relationships. Judges explicitly stated these reasons in relatively few mitigated exceptional cases (5.5%).

Judges were most likely to use these mitigating reasons when offenders had received sentence enhancements because they were dealing drugs in a school zone, or because the offense was very serious. Judges were less likely to cite social ties in cases involving violent offenses.

Accomplices. Sometimes an offender does not play a major role in the commission of a crime or was heavily influenced by others to commit the crime. For example, the offender "was induced by others to participate" or the "offense was principally accomplished by another." These types of reasons were used in 12.5% of the mitigated exceptional sentences. That the offender played a minor or accomplice role in the commission of a crime was especially prevalent in drug offenses and when the offense was more serious. A weapon enhancement also increased the likelihood of this justification for imposing a more lenient sentence. These justifications were offered less frequently for sex offenders and male offenders.

Victim-Offender Relationship. Some judicial reasons revolve around characteristics of the victim or the offender-victim relationship. These are used by judges when the victim is an initiator or participant in the crime, or there is a special relationship between the offender and the victim. These types of reasons were provided for in 11.9% of the mitigated exceptional sentences. They were less likely for drug crimes but more likely for violent crimes and when a weapon enhancement had increased the sentence. Judges were less likely to refer to victim characteristics when sentencing males, while judges from smaller rather than larger counties were more likely to use these types of reasons.

Legal Justifications. Specific legal factors were often used as reasons for a mitigated exceptional sentence (35%). They cluster around issues of prior

record, credit for time already served and legal characteristics of the case. Judges were not likely to use legal justifications to impose more lenient sentences when offenders had histories of violent and drug offenses. However, they were more likely to use these reasons when the current offense was drug-related and the offender had a high seriousness score. Judges in larger counties, and those sentencing African American offenders, were more likely to use legal justifications for imposing mitigated exceptional sentences.

Nonspecific Reasons. A final category of nonspecific reasons is also included in Appendix 3. These reasons, present in 22.8% of the mitigated sentences, were difficult to fit into our coding scheme. Examples of these reasons include: an "exceptional sentence is more appropriate;" the "nature of the offense;" and the "first-time offender range is not adequate." It is likely that these were not the only reasons given in a particular case by the judge, and that they represent the inclusion of multiple reasons by the Sentencing Guidelines Commission. Given the diversity and ambiguity of the reasons within this category, regression analyses were not performed.

Statutory Reasons. Finally, the second coding scheme that was employed examined whether or not the judge cited statutory reasons. Judges used statutory justifications in 36.4% of the mitigated exceptional sentences. Judges were most likely to use statutory reasons when sentencing African Americans, when a weapon was present, and when the crime was very serious. Judges were less likely to use these types of justifications when the sentence was suspended and when the offender was male.

The analyses of judges' reasons for giving a mitigated exceptional sentence can be summarized as follows. Reasons based on offender characteristics are offered in a majority of these sentences (78.4%), and refer typically to the

positive characteristics, diminished capacity, or social ties of the offender. Legal justifications are used in approximately 35% of the cases. Judges in smaller counties are less likely to use diminished capacity, legal, or statutory reasons and more likely to focus on victim characteristics. Judges are less likely to give African American offenders mitigated sentences because of attributed positive personal characteristics and more likely to cite legal and statutory reasons when rendering a more lenient sentence.

Reasons for Aggravated Exceptional Sentences

Offender Characteristics. As one might expect, the reasons for more severe sentences focused on negative rather than positive attributes of the offenders. Offender characteristics that concerned judges centered around the offender being a particular threat, showing a pattern of escalating violence, or showing little concern or remorse for committing the offense. These types of reasons were given in a substantial number of sentences (41.2%).

Judges were less likely to justify exceptionally severe sentences with negative offender characteristics in drug cases but more likely in sex-related cases. Judges were more likely to refer to negative offender characteristics as a reason for imposing aggravated exceptional sentences on Hispanic offenders.

Extent of Damages. The second category refers to the extent of damage or the severity of the crime. Use of sophisticated and well-planned methods, deliberate cruelty, and the amount of loss in economic crimes were among the types of reasons included in this category, which were cited in 32.5% of the aggravated exceptional sentences. Judges were less likely to cite the extent of damage as a justification for violent, drug, or sex offenses. Judges were less likely to employ these reasons when sentencing more serious offenders but more likely

for serious offenses. Judges in smaller counties, and judges sentencing male offenders, were less likely to use these types of reasons.

Victim Related. The ramifications of the crime for the victim were cited in 30.8% of the aggravated exceptional sentences. Judges' reasons included ongoing patterns of abuse to minors, major economic offenses with numerous victims, and emotional damage to the victim. Judges were less likely to use victimrelated reasons for drug offenses and those crimes that were only attempted (e.g., not completed) and more likely for sex offenses.

Drug Involvement. Judges justified the imposition of aggravated exceptional sentences with reports that drugs were involved in the crime (11.7%). Specific reasons stipulated that the drug offense involved a high degree of sophistication, a broad geographic area of distribution, or a large number of transactions. Drug-related justifications were, as expected, more prevalent for drug offenses, as well as for particularly serious crimes. Judges were less likely to use these reasons when sentencing African Americans and more likely when sentencing Hispanics.

Legal Justifications. Judges also cited legal justifications for decisions to impose aggravated exceptional sentences (31.1% of those cases). They include the presence of additional incidents which, if charged, would result in higher sentences; offender violated zone of privacy; and the presence of weapons. Judges were less likely to use legal reasons to impose aggravated exceptional sentences when the seriousness of the offense was particularly high, but more likely when the offender received a SSOSA or when the offense was attempted rather than completed.¹² Judges were also more likely to use legal justifications when

¹² While SSOSA sentences are not ordinarily considered exceptional, and thus no reasons are provided by judges, exceptional sentences are occasionally imposed under SSOSA

imposing more severe sentences on males. These reasons were offered more frequently when the offender had prior drug and sex convictions, and less likely if these were among their current offenses.

Nonspecific Reasons. A smaller category of nonspecific reasons for aggravated exceptional sentences are used by judges (9.3%). They primarily refer to the presumptive sentence as being too lenient. Again, these reasons may not be the sole or most important justifications, and other reasons may be reported by judges.

Statutory Reasons. In the majority of cases (54.3%) judges used statutory justifications for imposing aggravated exceptional sentences. They were most likely used when sentencing more serious crimes and when sentencing Hispanic offenders. Judges were less likely to use these reasons for offenders with prior drug offenses, male offenders and SSOSAs.

The analyses of judicial reasons for using exceptional sentences show that judges are more likely to cite negative characteristics of Hispanic offenders to justify aggravated exceptional sentences. Judges sentencing men rather than women, are less likely to focus on the extent of damages. Judges sentencing African Americans are less likely to refer to drug involvement as a reason for a more severe exceptional sentence and more likely to use this reason for Hispanic offenders. Last, judges tend to use statutory reasons when imposing aggravated exceptional sentences on Hispanics, women and for more serious offenses.

(Sentencing Guidelines Commission, 1992).

Predicting Sentences Above and Below the Standard Range

Like the aggregate analyses, we also examined sentences outside the standard range at the individual level. We constructed two additional dependent variables based on whether the total sentence was above or below the standard range and replicated the previous analysis. The results are presented in Tables 22a through 22f.

Sentence enhancements increase the presumptive range, so we would expect that offenders who receive higher sentences because they, for instance, carried a weapon during an offense, should still fall within the standard range unless the judge imposes an exceptional sentence. Therefore, enhancements would have a minimal influence on receiving a sentence above or below the standard range. However, logistic regression analyses of the sample as a whole show that carrying a weapon increases the probability of receiving a sentence above the presumptive range. For Whites and Hispanics the sexual motivation enhancement increases the likelihood of an above standard range sentence. Ironically, for Whites and African Americans, selling drugs in school zones increases the likelihood of receiving a sentence below the standard range.

FTOWs, SSOSAs, and anticipatory crimes (e.g., attempts) increase the likelihood of receiving a sentence below the standard range across most racial groups.¹³ However, controlling for other legally relevant variables, Hispanics and Native Americans did not seem to benefit from SSOSAs as did other minority groups and Whites. In addition, these three variables reduced the likelihood of receiving an above-range sentence for Whites only. No other racial group seemed to benefit in this way.

 $^{^{13}}$ This is expected for FTOW and SOSSAs but is somewhat surprising for anticipatory convictions. This shows that judges are giving sentences below the reduced 755 range for anticipatory crimes.

As in the analyses predicting exceptional sentences, legal factors play the strongest role in determining the imposition of a sentence outside the standard range. There is a significant positive effect of both the offender and offense seriousness scores on sentences above and below the standard range for most racial groups. The offender seriousness score did not, however, significantly predict above-range sentences for Hispanics or Native Americans or below-range sentences for Asian Americans and Native Americans. The offense seriousness score did not predict above-range sentences for Asians Americans.

With the exception of Asian Americans, all groups convicted of sex offenses were more likely to receive sentences above the standard range, though the effect size was stronger among minority offenders. African American and White sex offenders were also less likely to receive sentences below the standard range. Whites, Hispanics and African Americans convicted of violent offenses were also more likely to receive sentences above the standard range. This effect is strongest for Hispanic offenders. Last, Hispanics and Whites convicted of drug offenses were less likely to receive sentences below the standard range.

Although legal factors have a substantial impact on the likelihood of receiving a sentence above the standard range, extralegal factors still play a role. Judges in smaller counties are generally less likely to impose sentences below the standard range and more likely to impose sentences above the standard range, at least for White offenders; and Hispanic offenders in smaller counties are also less likely to receive below-range sentences. Native American and White offenders in smaller counties are significantly more likely to receive sentences above the standard range.

As with analyses of exceptional sentences the effect of age is minimal, only increasing the likelihood of above-range sentences for Whites. Hispanic and White male offenders were less likely to receive mitigated exceptional sentences.

Summary of Analyses of Individual Case Characteristics

Major findings:

Offenders who commit more serious crimes, and those who have more serious criminal histories are more likely to receive either mitigated or aggravated exceptional sentences.

Offenders sentenced in smaller counties are more likely to receive exceptional sentences than those in larger counties.

The current offense, priors, and the offender and offense seriousness scores produce different patterns of exceptional sentences for different racial groups.

The relationship between race/ethnicity and the imposition of exceptional sentences is complex and is related to the current offense and the legal characteristics of the offender. For example, African Americans offenders are less likely than White offenders to receive aggravated exceptional sentences, but African Americans sentenced for serious crimes are more likely than Whites sentenced for serious crimes to be given aggravated exceptional sentences.

There are direct relationships between race/ethnicity and the imposition of exceptional sentences: (1) Hispanic offenders are less likely than others to receive mitigated sentences; (2) African Americans are less likely to receive aggravated sentences.

Additional Findings:

White females are more likely than White males to receive either mitigated or aggravated exceptional sentences. African American and Hispanic women are more likely to receive mitigated sentences than their male counterparts, but are not more likely to receive aggravated exceptional sentences.

Alternative sentences and sentence enhancements are imposed differently for different race and ethnic groups.

The reasons provided by judges for imposing exceptional sentences are related to the race/ethnicity of the offender, but no clear patterns emerge.

Judges are most likely to cite positive offender characteristics as reasons for mitigated exceptional sentences, but are most likely to cite statutory reasons for aggravated exceptional sentences.

CONCLUSIONS

Clearly, the sentences imposed on most offenders in Washington state are within the standard range. Exceptional sentences and other sentences outside the standard range are rare. If unwarranted racial disparities exist currently in the Washington state criminal justice system, then this is only minimally due to the use of sentences outside the standard range.

The results of this study indicate that the relationship between race and sentencing is complex. We should avoid simple interpretations of the relationship between race/ethnicity and exceptional sentencing. Although White offenders are as likely, and in many cases more likely, to receive exceptional sentences than minority offenders, there are racial disparities in the use of exceptional sentences under some circumstances and in some jurisdictions. Hispanic offenders in particular are frequently disadvantaged by the use of these sentences. This is consistent with previous research on sentencing practices in Washington state (Hood and Harlan, 1991).

In general, African Americans are not treated disproportionately more severely through the use of exceptional sentences. In fact, the overall pattern for African American offenders suggests that they benefit by receiving fewer aggravated sentences and relatively more mitigated exceptional sentences. Under some conditions, however, such as when serious offenders are considered, African American offenders fare considerably worse than White offenders when exceptional sentences are imposed.

The same is also the case for other minority offenders. Whether they disproportionately benefit from or are harmed by exceptional sentences and other

sentences outside the standard range depends upon the facts of their case, their criminal history and the county in which they are sentenced.

When considering the results of this study, one might wonder about the "local legal culture" of some counties or regions of the state. The cultural patterns of courts, counties and communities were clearly beyond the scope of this study, but our results lead us to conclude that the fate of minority offenders is exacerbated in part because they are residentially concentrated in counties that either minimize or maximize the use of exceptional sentences.

This study is only a partial examination of race and criminal justice in Washington. We have found that the use of exceptional sentences does vary by race of offenders, but that exceptional sentences are not a major source of racial disparities in sentencing. While not the major focus of this study, our findings suggest that other sentencing alternatives, such as FTOW and SSOSA, may contribute more to racial disparities in sentencing than do exceptional sentences. Readers must also recognize that disparities may occur in sentences within the standard range (see Fallen, 1987), at earlier points in criminal justice processing, or at arrest. A complete picture of the state of Washington's treatment of offenders requires that all of these possibilities be thoroughly explored. It is clear that the Minority and Justice Commission, the Sentencing Guidelines Commission, the Legislature, state agencies and other entities should continue to examine the state's criminal justice system for other sources of racial disparity. It is our belief that one of the most effective means of combating unwarranted racial disparity is the process of examination and review of ongoing practices.

Finally, as is frequently the case, addressing the question of racial disparities in the use of exceptional sentences raises additional questions. We

recommend that closer examination be made of some of the patterns uncovered by this research, such as the substantial disadvantage experienced by Hispanic offenders in the use of exceptional sentences in Washington state courts.

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TABLE 1

TOTAL CONVICTIONS BY COUNTY SUPERIOR COURTS

COUNTY	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Adams	231	7	1	-	3	0	4	12	247
Asotin/Garfield/Columbia	175	2	0	£	Ś	0	57	10	242
Benton/Franklin	1252	159	4	4	381	0	226	548	2026
Chelan/Douglas	754	7	æ	21	242	ŝ	135	276	1165
Clallam	292	7	0	15	œ	0	11	25	328
Clark	2350	142	18	20	84	11	140	275	2765
Cowlitz	1141	20	1	S	46	1	121	73	1335
Ferry/Stevens/Pend Or.	283		0	11	3	0	23	15	321
Grant	396	31	0	2	123	0	ŝ	156	555
Grays Harbor	325	2	1	16	18	-	365	38	728
Island/San Juan	147	12	ŝ		0	0	137	16	300
Jefferson	177	3	0	7	0	-	14	9	197
King	8926	5763	242	199	29	9	313	6239	15478
Kitsap	1301	193	22	24	30	18	120	287	1708
Kittitas	243	10	£	6	6	0	13	25	281
Klickitat/Skamania	185	0	0	11	19	1	13	31	229
Lewis	460	22	1	7	31	0	213	61	734
Lincoln	67	1	0	ŝ	ŝ		œ	×	83
Mason	265	6	0	17	11	0	57	34	356
Okanogan	204	4	0	61	103	0	92	168	464
Pacific/Wahkiakum	291	0	1	7	17	ŝ	32	23	346
Pierce	4195	2045	112	138	740	7	76	3042	7334
Skagit	666	26	4	19	103	0	15	152	833
Snohomish	3362	236	14	75	14	7	164	341	3867
Spokane	2666	224	10	101	76		7	412	3085
Thurston	1220	68	27	19	43	9	34	184	1438
Walla Walla	405	12	0	7	œ	0	15	22	442
Whatcom	740	39	12	56	54	2	152	163	1055
Whitman	95	1	4	7	7		10	10	115
Yakima	2049	191	5	175	1338	1	28	1710	3787
STATE TOTAL	34863	9250	488	1018	3540	6 6	2619	14362	51844

TABLE 2

TOTAL CONVICTIONS BY COUNTY SUPERIOR COURTS: VIOLENT OFFENSES

COLINTY	WHITE	AFRICAN	ASIAN AMFRICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Adams	32	0	0	0	e	0	0	33	35
Asotin/Garfield/Colu	33	0	0	1	7	0	80	3	44
Benton/Franklin	202	46 6	1	0	80	0	43	127	372
Chelan/Douglas	139	6	0	c,	44		43	50	232
Clallam	71	0	0	5		0	3	9	80
Clark	483	34	5	13	19	9	22	LL	582
Cowlitz	200	6	0	-	11	0	24	14	238
Ferry/Stevens/Pend Or.	67	0	0	4	Ţ	0	5	S	77
Grant	77	4	0	1	28	0	0	33	110
Gravs Harbor	45	1	1	S	£		59	11	115
Island/San Juan	36	£	0	0	0	0	15	ŝ	54
Jefferson	26	0	0	0	0	0	1	0	27
King	1675	1204	60	57	œ	1	64	1330	3069
Kitsap	226	50	4	7	7	ŝ	14	73	313
Kittitas	56	0	1	1	0	0	0	7	58
Klickitat/Skamania	22	0	0	Ţ	8	0	ŝ	6	34
Lewis	102	5	0	7	11	0	31	18	151
Lincoln	S	0	0	0	0	1	0		9
Mason	47	2	0	e.	4	0	19	6	75
Okanogan	6	1	0	16	30	0	29	47	116
Pacific/Wahkiakum	51	0	0		11	7	ŝ	14	68
Pierce	717	446	31	19	107	1	17	604	1338
Skagit	114	7	c,	4	34	0	1	48	163
Snohomish	714	61	4	22	ę	1	36	16	841
Spokane	370	53	4	22	13	0	1	92	463
Thurston	226	18	7	4	L	4	4	4 0	270
Walla Walla	72	4	0	0	1	0	7	ŝ	79
Whatcom	157	11	9	18	16	1	27	52	236
Whitman	17.	1	0	0	0	0		-	19
Yakima	355	46	1	51	337	0	6	435	796
STATE TOTAL	6377	2001	128	261	789	24	481	3203	10061
TABLE 3

TOTAL CONVICTIONS BY COUNTY SUPERIOR COURTS: DRUG OFFENSES

COUNTY	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Adams	80	З	I	1	0	0	0	5	85
Asotin/Garfield/Columbus	49	-	0	1	1	0	15	3	67
Benton/Franklin	277	69	0	ŝ	259	0	81	331	689
Chelan/Douglas	195	ŝ	0	5	146	1	34	155	384
Clallam	69		0	4	4	0	2	6	80
Clark	410	11	0	1	27	7	34	41	485
Cowlitz	268	œ	0	2	36			47	392
Ferry/Stevens/Pend Or.	63	0	0	0	0	0	4	0	67
Grant	96	œ	0	0	58	0	0	66	162
Grays Harbor	50	0	0	7	11	0	84	13	147
Island/San Juan	30	c.	0	1	0	0	38	4	72
Jefferson	39	0	0	0	0	0	7	0	41
King	2838	2707	22	53	17	ŝ	111	2802	5751
Kitsap	247	56	4	ŝ	e G	0	14	68	329
Kittitas	74	4	0	1	,	0	9	6	86
Klickitat/Skamania	64	0	0	4	ε	0	3	7	73
Lewis	65	7	0	0	8	0	67	10	142
Lincoln	6	0	0	0	ę	0	1	æ	13
Mason	49	1	0	ŝ	1	0	13	v	67
Okanogan	50	e.	0	×	31	0	18	42	110
Pacific/Wahkaikum	65	0	0	0	ę	0	10	ε	78
Pierce	1336	895	27	56	644	7	52	1624	3012
Skagit	164	7	0	S	33	0	3	40	207
Snohomish	861	61	7	16	7	0	45	86	992
Spokane	656	79	7	13	31	0	5	125	786
Thurston	326	15	2	4	15	1	6	37	372
Walla Walla	118	4	0	6	4	0	4	10	132
Whatcom	145	7	7	80	21	0	63	38	246
Whitman	20	0		0	1	0	7	2	24
Yakima	660	68	1	36	714	0	8	819	1487
STATE TOTAL	9373	4011	64	234	2082	10	804	6401	16578

TABLE 4

TOTAL CONVICTIONS BY COUNTY SUPERIOR COURTS: SEX OFFENSES

TOTAL 17 18 UNKNOWN NON-WHITE 0 0 0 " OTHER 0 0 HISPANIC 0 0 AMERICAN NATIVE 0, AMERICAN ASIAN 0 0 AFRICAN AMERICAN 0, WHITE 11 V antin/Confield/Col COUNTY Adams

162 3320

TABLE 5

TOTAL CONVICTIONS BY COUNTY SUPERIOR COURTS: OTHER NON-VIOLENT OFFENSES

		AFDICAN	ACTAN	NATIVE					
COUNTY	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Adams	199	9	•	1	ŝ	0	4	11	214
Asotin/Garfield/Columbus	126	0	0	-	4	0	47	5	178
Renton/Franklin	983	16	ŝ	4	165	0	148	263	1394
Chelan/Douglas	556	v 2	6	12	145	7	95	166	817
Clallam	197	6	0	10	ŝ	0	7	17	221
Clark	1823	118	13	×	52	£	109	194	2126
Cowlitz	867	13	0	ę	22	-	65	39	971
Ferry/Stevens/Pend Or.	183	-	0	10	3	0	16	14	213
Grant	297	26	0	3	87	0	3	115	415
Gravs Harbor	257		0	13	8	0	284	22	563
Island/San Iuan	89	10	ŝ	1	0	0	104	14	207
lefferson	125	6	0	2	0	1	13	5	143
King	6342	3995	170	136	16	e	215	4320	10877
Kitsan	970	130	15	15	13	14	98	187	1255
Kittitas	171	6	ŝ	S.	S	0	11	22	204
Klickitat/Skamania	113	0	0	80	8	-	6	17	139
Lewis	362	17		S	19	0	157	42	561
Lincoln	59		0	ŝ	ς	0	×	7	74
Mason	192	9	0	15	9	0	34	27	253
Okanogan	154	6	0	47	63	0	62	112	328
Pacific/Wahkiakum	220	0	-		œ	7	28	12	260
Pierce	3336	1566	84	114	455	4	99	2223	5625
Skarit	496	19	7	14	54	0	13	89	598
Snohomish	2352	171	10	43	6	0	121	233	2706
Snokane	2038	144	9	11	54	I	9	276	2320
Thurston	884	75	23	14	30	1	27	143	1054
Walla Walla	289	6	0	1	æ	0	12	13	314
Whatcom	568	27	7	42	32	1	92	109	769
Whitman	71	0	3	7	2	Ţ	10	œ	89
Yakima	1675	147	. 3	135	828		23	1114	2812
STATE TOTAL	25994	6593	350	738	2102	36	1887	9819	37700

TABLE 6A	PERCENT AGGRAVATED EXCEPTIONAL SENTENCES STATEWIDE	NATIVE AMERICAN HISPANIC OTHER UNKNOWN NON-WHITE TOTAL	4.60 5.20 8.33 2.29 4.00 4.99 1.28 2.07 10.00 1.49 1.19 1.36	9.49 9.09 4.40 .71 2.78 .26	2.06 2.32 7.58 1.11 1.59 1.94	TABLE 6B PERCENT MITIGATED EXCEPTIONAL SENTENCES STATEWIDE	NATIVE AMERICAN HISPANIC OTHER UNKNOWN NON-WHITE TOTAL	4.60 1.90 .00 1.66 3.25 4.10	.62 10.00 .37 2.06	4	.43 .00 .37 .98	3.05 1.02 3.03 .65 1.97 2.01
	PERCENT AGGRAV	AFRICAN ASIAN AMERICAN AMERICAN	3.45 3.13 .72 .00	9.21 2.50 .64 .00	-	PERCENT MITIGA	AFRICAN ASIAN AMERICAN AMERICAN	3.55 4.69		2.93 12.50	1.11 .57	2.18 2.46
		ENSE WHITE	5.69 1.47	8.54 1.20	2.17		ENSE WHITE	4.72	2.02	6.28	1.18	2.13
		OFFENSE	Violent Drug	Sex	TOTAL		OFFENSE	Violent	Drug	Sex	Other	TOTAL

TABLE 7A

PERCENT AGGRAVATED EXCEPTIONAL SENTENCES BY COUNTY SUPERIOR COURTS

Adams Asotin/Garfield/Columbus Benton//Franklin Chelan/Douglas Clallam Clark	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Asotin/Garfield/Columbus Benton//Franklin Chelan/Douglas Clallam Clark	.43	00.	00.	00.	<u>00</u> .		00.	00.	.40
Benton//Franklin Chelan/Douglas Clallam Clark	4.00	00.		00.	<u>00</u>		3.51	00.	3.72
Chelan/Douglas Clallam Clark	1.28	1.26	0 0 [.]	00.	1.84		.88	1.64	1.33
Clallam Clark	1.19	00.	0 0 [.]	9.52	2.07	<u>8</u> .	00.	2.54	1.37
Clark	6.85	00.		13.33	00.		00.	8.00	6.71
	3.32	3.52	00.	10.00	1.19	8.	00.	2.91	3.11
Cwolitz	3.07	00.	0 0:	20.00	2.17	<u>8</u>	1.65	2.74	2.92
Ferry/Stevens/Pend Or.	1.41	<u>00</u> .		8.	00.		00.	00.	1.25
Grant	.51	8		50.00	00.	•	00.	.64	.54
Grays Harbor	6.77	00.	0 0 [.]	6.25	5.56	100.00	1.37	7.89	4.12
Island/San Juan	4.08	00.	0 0 [.]	0 .			00.	<u>00</u>	2.00
Jefferson	1.69	00.		00.	•	8	00.	00.	1.52
King	1.71	66.	1.65	.50	<u>00</u>	33.33	2.56	1.03	1.45
Kitsap	3.84	3.11	0 0 [.]	4.17	00.	5.56	8.	2.79	3.40
Kittitas	2.06	00.	00.	00.	00.		<u>8</u>	<u>0</u> .	1.78
Klickitat/Skamania	4.86			0.	5.26	<u>8</u>	<u>8</u>	3.23	4.37
Lewis	3.91	00.	0 0 [.]	14.29	<u>0</u> .		<u>8</u>	1.64	2.59
Lincoln	1.49	0 0.		00.	00.	<u>8</u> .	0 .	00.	1.20
Mason	7.55	00.		5.88	9.09		5.26	5.88	7.02
Okanogan	1.47	0 0:	•	00	76.		1.09	<u>9</u> 9.	1.08
Pacific/Wahkiakum	5.50		00.	00.	52.94	<u>8</u> .	00	39.13	7.23
Pierce	1.81	1.37	89.	.72	1.35	8	1.03	1.31	1.60
Skagit	2.85	3.85	0 0 [.]	10.53	3.88		6.67	4.61	3.24
Snohomish	1.46	2.12	0 0 [.]	0 .	00.	<u>00</u>	1.22	1.47	1.45
Spokane	1.31	2.23	<u>00</u> .	00.	1.32	<u>8</u> .	8	1.46	1.33
Thurston	2.79	2.25	0 0 [.]	5.26	9.30	16.67	<u>8</u>	4.35	2.92
Walla Walla	2.22	00.		0 .	<u>0</u> .		6.67	8.	2.26
Whatcom	2.57	00.	0 0 [.]	1.79	3.70	8 <u>.</u>	.66	1.84	2.18
Whitman	8.	00.	00.	00.	00.	8	00.	00.	8
Yakima	1.85	2.09	00.	1.71	2.54	<u>8</u> .	00.	2.40	2.09
STATE TOTAL	2.17	1.24	1.02	2.06	2.32	7.58	1.11	1.59	1.94

TABLE 7B

PERCENT MITIGATED EXCEPTIONAL SENTENCES BY COUNTY SUPERIOR COURTS

Adams Asotin/Garfield/Columbus Benton/Franklin Chelan/Douglas Clallam		AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Asotin/Garfield/Columbus Benton/Franklin Chelan/Douglas Clallam	3.03	8	00.	8 [.]	00.		00.	00.	2.83
Benton/Franklin Chelan/Douglas Clallam	2.86	8.		00.	00.		00.	<u>00</u>	2.07
Chelan/Douglas Clallam	1.84	3.77	25.00	0.	.52	•	.44	1.64	1.63
Clallam	1.72	00.	0 0.	4.76	00.	<u>8</u>	.74	.36	1.29
	3.42	00.	•	0 .	00.		0.	00.	3.05
Clark	2.51	2.11	5.56	5.00	0 0.	00.	.71	1.82	2.35
Cowlitz	2.63	5.00	00.	<u>00</u> .	00.	<u>8</u>	.83	1.37	2.40
Ferry/Stevens/Pend Or.	1.41	00.		<u>8</u>	00.		00.	00.	1.25
Grant	.51	0 0:		<u>8</u>	00.		00.	<u>8</u>	.36
Grays Harbor	3.08	00.	00.	00.	5.56	<u>00</u> .	.27	2.63	1.65
Island/San Juan	6.80	<u>00</u> .	00.	0 .	•	•	0 .	00.	3.33
Jefferson	2.26	0 0 [.]	•	<u>0</u> .	•	<u>8</u> .	8	<u>8</u> .	2.03
King	2.43	2.60	1.65	7.04	3.45	<u>0</u> .	2.24	2.71	2.54
Kitsap	3.46	2.07	4.55	4.17	6.67	0 0.	2.50	2.79	3.28
Kittitas	.41	<u>00</u>	00.	00 [.]	0 .	•	8 _.	00.	.36
Klickitat/Skamania	1.08	•	•	0 0.	0.	<u>8</u>	<u>00</u> .	00.	.87
Lewis	4.13	0 0 [.]	00.	8	0 .		8	00.	2.59
Lincoln	1.49	00.	•	0 0.	8.	8	<u>8</u>	0 0 [.]	1.20
Mason	2.26	0 0 [.]		0 .	00.		1.75	0 .	1.97
Okanogan	1.47	00 [.]		3.28	00.		<u>8</u>	1.19	1.08
Pacific/Whakiakum	2.06		00.	0 0.	8.	8	3.13	0 0.	2.02
Pierce	1.84	1.17	4.46	2.17	1.08	8	<u>8</u>	1.31	1.60
Skagit	.75	00.	8	<u>8</u> .	8.	•	8	0 0 [.]	0 9.
Snohomish	1.40	.42	<u>8</u>	1.33	<u>8</u>	50.00	<u>8</u> .	88.	1.29
Spokane	.94	1.79	00.	1.98	00.	8	<u>8</u> .	1.46	1.00
Thurston	3.85	1.12	00.	15.79	00.	16.67	8.	2.72	3.62
Walla Walla	3.70	8.33		0 0.	00.		<u>8</u>	4.55	3.62
Whatcom	1.62	2.56	8 .	0 .	00.	8	8	.61	1.23
Whitman	2.11	<u>8</u> .	<u>8</u>	0 0.	<u>0</u> .	8	8	00.	1.74
Yakima	1.81	3.14	00.	1.71	1.64	00.	00.	1.81	1.80
STATE TOTAL	2.13	2.18	2.46	3.05	1.02	3.03	.65	1.97	2.01

				TABLE 8A					
	PERCENT	' AGGRAVATEI	PERCENT AGGRAVATED EXCEPTIONAL SENTENCES BY COUNTY CLASS: ALL OFFENSES	L SENTENCES	BY COUNTY	CLASS: A	MLL OFFENSE		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
A	1.63	1.15	1.32	.39	1.28	12.50	1.89	1.15	1.47
B	2.69	2.28	0.	2.97	2.48	5.13	.61	2.44	2.51
U	2.97	.87	8	10.59	1.87	20.00	LL.	2.78	2.56
D	3.76	00.	8	1.00	7.59	8 .	2.19	4.36	3.63
Е	2.12	00.	00.	00	4.00	00.	8.	1.75	1.98
STATEWIDE	2.17	1.24	1.02	2.06	2.32	7.58	1.11	1.59	1.96
COUNTY CLASS	PERCENT	T MITIGATED AFRICAN AMERICAN	PERCENT MITIGATED EXCEPTIONAL SENTENCES BY COUNTY CLASS: ALL OFFENSES AFRICAN ASIAN NATIVE WHITE AMERICAN AMERICAN HISPANIC OTHER UNKNOWN	TABLE 8B SENTENCES F NATIVE AMERICAN	3Y COUNTY (HISPANIC	CLASS: AI	LL OFFENSES UNKNOWN	NON-WHITE	TOTAL
A	1.91	2.16	2.38	3.90	1.05	6.25	1.20	2.17	1.99
В	2.52	2.64	3.37	2.64	1.32	2.56	.73	1.85	2.26
U	2.43	87	00 <u>.</u>	1.18	.19	8	.22	.40	1.75
D	1.71	<u>8</u> .	8 _.	2.00	0.	<u>8</u> .	.73	.73	1.44
Е	2.12	00.	00.	00.	00.	00.	00.	00.	1.85
STATEWIDE	2.13	2.18	2.46	3.05	1.02	3.03	.65	1.97	2.01

COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
A	4.34	3.46	4.04	1.67	1.53	8	2.54	3.26	3.90
В	6.98	3.38	00.	6.38	5.45	6.25	1.43	4.89	6.09
C	8.32	3.70	00.	15.00	2.46	50.00	.65	4.57	6.48
D	8.50	0 0 [.]	<u>8</u> .	3.85	18.75	00.	7.81	12.50	9.13
щ	2.35	•	•	00.	9.09	00.	.00	7.69	2.94
STATEWIDE	5.69	3.45	3.13	4.60	5.20	8.33	2.29	4.00	4.99
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
A	4 43	3.46	4.04	6.67	3.05	8	3.39	3.64	4.11
: a	5.25	4.35	8.33	3.19	2.10	8	1.43	2.93	4.38
C	4.90	3.70	8.	00 [.]	.82	0 0.	.65	1.14	3.59
D	3.74	00.	<u>00</u>	3.85	0 0:	00.	1.56	1.25	2.97
ш	7.06		•	8 .	00.	00.	00.	00.	5.88
STATEWIDE.	4.72	3.55	4.69	4.60	1.90	8.	1.66	3.25	4.10

				TABLE 10A				C	
	PERCENT .	FERCENT AGGRAVATED EXCEPTIONAL SENTENCES BY COUNTY CLASS: DRUG OFFENSES	EACEPTIONAL	L SENTENCES	BY COUNTY	LLASS: DI	KUG UFFENDE	Q	
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
A	1.04	.67	0 0.	00.	1.43	20.00	1.88	.78	.94
В	2.14	1.71	00.	3.39	2.51	8.	1.05	2.39	2.15
C	1.73	<u>00</u>	00.	5.26	1.89	<u>00</u>	1.71	1.94	1.78
D	3.14	00.		00.	2.70		1.52	1.69	2.74
E	2.08	00.	00.	00.	00.	·	00.	00.	1.89
STATEWIDE	1.47	.72	00.	1.28	2.07	10.00	1.49	1.19	1.36
COUNTY CLASS A B	PERCENT WHITE 1.79 2.40	TABLE 10B TABLE 10B PERCENT MITIGATED EXCEPTIONAL SENTENCES BY COUNTY CLASS: DRUG OFFENSES AFRICAN ABRICAN AMERICAN ASIA AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN AMERICAN <th>XCEPTIONAL ASIAN AMERICAN .00</th> <th>TABLE 10B SENTENCES B NATIVE AMERICAN 5.08</th> <th>Y COUNTY C HISPANIC .65</th> <th>LASS: DRI OTHER 25.00</th> <th>UG OFFENSES UNKNOWN .35</th> <th>NON-WHITE 2.48 1.23</th> <th>TOTAL 2.08 1.85</th>	XCEPTIONAL ASIAN AMERICAN .00	TABLE 10B SENTENCES B NATIVE AMERICAN 5.08	Y COUNTY C HISPANIC .65	LASS: DRI OTHER 25.00	UG OFFENSES UNKNOWN .35	NON-WHITE 2.48 1.23	TOTAL 2.08 1.85
C	2.60	00.	00.	0 0.	8.	<u>8</u> .	0 0.	0 .	1.56
D	2.00	00.	•	0 0.	<u>80</u>		00.	00.	1.47
ш	1.56	00.	00.	00.	00.	•	00.	00.	1.42
STATEWIDE	2.02	2.62	1.56	5.13	.62	10.00	.37	2.06	1.95

TARTE 10A

				TABLE 11A					
	PERCENT	AGGRAVATEI	PERCENT AGGRAVATED EXCEPTIONAL SENTENCES BY COUNTY CLASS: SEX OFFENSES	L SENTENCES	BY COUNTY	CLASS: S	EX OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Y	6.74	9.28	3.33	00.	60.6	00.	4.65	7.49	6.81
В	11.00	10.26	00.	13.64	9.89	14.29	3.23	10.12	10.38
U	9.69	<u>00</u> .	00.	66.67	60.6	00.	3.57	21.62	9.93
D	9.21	00.		00.	8.33		11.11	5.56	9.04
ш	8.93	<u>8</u> .	•	•	<u>8</u> .	8.	0 0.	8	8.06 8
STATEWIDE	8.54	9.21	2.50	13.64	9.49	9.09	4.40	9.33	8.43
COLINTY CLASS	WHITF	AFRICAN AMFRICAN	ASIAN AMFRICAN	NATIVE AMFRICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
	2 87	7.58	10.00	W	18.18	50.00	2.33	4.12	5 47
ς α.	5.14 6.14	5.13	22.22	8 8	8.79	00.	1.61	7.14	6.03
U U	7.19	00	00.	11.11	00.	0 0 ⁻	00.	2.70	5.81
D	7.24	00.		00.	0 0 [.]		00.	0 0 [.]	5.85
н	10.71	<u>00</u>	•	•	00.	00.	00	00.	9.68
STATEWIDE	6.28	2.93	12.50	1.52	7.30	9.09	1.10	4.87	5.78

TARLE 11A

COLINITY CLASS	WHITF	AFRICAN	ASIAN	NATIVE	UIV VIC		I INKNOWN	NON-WHITE	TOTAI
Α	88.	.54	00.	.27	.75	12.50	.74	.54	LL.
В	1.39	1.66	0 0 [.]	1.36	.44	00.	.18	.88	1.22
U	1.80	00.	0 0 [.]	5.00	.62	<u>00</u> .	.15	1.03	1.40
D	2.68	00.	00.	1.27	4.49	00.	00.	2.60	2.30
Е	1.01	00.	00.	00.	00.	00.	00.	00.	.88
STATEWIDE	1.20	.64	00.	1.08	.71	2.78	.26	.67	1.02
		AFRICAN	ASIAN	NATIVE					
COUNTY CLASS	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER 20	UNKNOWN	NON-WHITE	TOTAL
Α	1.00	1.02	.74	1.92	8.	8	.49	86.	86.
В	1.61	2.00	0 .	1.36	.70	8	.53	1.12	1.45
C	1.22	1.12	0.	<u>0</u> .	.31	<u>8</u>	.15	.41	.92
D	.57	<u>0</u> .	00.	2.53	<u>8</u> .	<u>8</u> .	.51	1.04	.63
Ц	<u>9</u> .	00.	00.	00.	00.	00.	00.	00.	.53
STATEWIDE	1 18	111	57	1 63	.43	8	.37	86.	1.09

TABLE 12A

		TOTAL	5.29 1.77	8.73 1.34	2.28	TOTAL	12.56	5.84	36.20	4.01	7.14
		NON-WHITE	4.43 1.44	10.14 .88	1.87	NON-WHITE	7.15	4.92	24.14	3.51	5.24
	DE	UNKNOWN	2.49 2.24	4.40	1.64	e LJNKNOWN	11.64	4.98	34.62	3.76	6.64
	STATEWI	OTHER	8.33 10.00	9.09 2.78	7.58	E Statewid	8.33	10.00	63.64	00.	12.12
	ARD RANGE	HISPANIC	5.45 2.31	10.22	2.54	NDARD RANG	7.35	3.51	29.93	2.19	4.49
TABLE 13A	ABOVE STAND	NATIVE AMERICAN	4.60 1.71	15.15	2.46	S BELOW STAN NATIVE	10.34	8.12	27.27	4.20	7.37
	PERCENT SENTENCES ABOVE STANDARD RANGE STATEWIDE	ASIAN AMERICAN	3.91 1 56	2.50		PERCENT SENTENCES BELOW STANDARD RANGE Statewide CAN ASIAN NATIVE CAN AMEPICAN HISPANIC OTHER	0 38	7.81	37.50	4.00	7.79
	PERCENT	AFRICAN AMERICAN	4.00 95	10.04	1.54	PERCEN AFRICAN	AINLENUCAUN 6 50	5.41	15.90	3.85	5.10
		WHITE	5.93 1 05	8.77	2.50		W 1111E	6.54	38.56	4.21	7.97
		OFFENSE	Violent	Diug Sex	TOTAL		UFFENDE V:-l-+	VIUICIII	Diug	Other	TOTAL

TABLE 14A

TOTAL 1.55 .38 3.65 2.49 2.33 8. .46 3.22 4.53 3.92 2.14 4.80 3.00 2.41 8.99 .93 1.52 1.73 .54 NON-WHITE 7.89 8 .64 2.54 2.74 8 1.36 3.14 8 8.00 2.91 .64 8 8 8 3.23 1.64 8 5.88 UNKNOWN .00 5.26 1.33 2.19 .73 .00 5.26 1.09 8 .43 .65 8 8 2.88 8 8 8 8 8 1.41 OTHER 8 88 00.00 8 33.33 5.56 8 8 HISPANIC 1.84 2.07 1.19 8 8 2.17 5.56 5.26 9.09 8 8 8 8 8 8 8 8 AMERICAN NATIVE .00 .00 .00 .52 3.33 10.00 20.00 8 50.00 6.25 14.29 8 8 .50 4.17 8 8 8 5.88 AMERICAN ASIAN 88 8 88 8 8 2.07 8 8 8 AMERICAN AFRICAN .00 .00 3.52 . 00 . 00 . 00 . 00 8 8 88 .34 3.63 88 8 8 8 8 WHITE l.28 2.83 0.19 4.57 1.33 8.22 3.87 3.42 6.77 4.08 1.69 1.94 4.46 2.47 2.99 .51 5.41 3.91 8 Asotin/Garfield/Columbus Ferry/Stevens/Pend Or. Klickitat/Skamania COUNTY Benton/Franklin Chelan/Douglas Island/San Juan Grays Harbor Jefferson Clallam Cowlitz Lincoln Kittitas Adams Mason Kitsap Clark Grant Lewis King

1.08 7.51

39.13 1.64

છ

2.05 3.36 1.63 1.43 3.62 2.49 2.75

> 1.76 1.70 4.89

4.61

2.06

8

6.67 1.83

> 8 8

8

8

52.94 1.76 3.88

8

2.17 0.53 1.33

80.88 8 8 88

> 3.85 2.12 2.23 3.37

l.61

.97

8

8

1.47 5.84 2.34 3.00 I.61 1.39 3.52 2.47 2.97 1.05 2.00 2.50

Pacific/Wahkiakum

Pierce Skagit

Okanogan

2.28

1.87 2.51

1.64

7.58

2.46

STATE TOTAL

2.29

8

8 8 8 .23

8 8 2.09 l.54

8

Walla Walla

Thurston Spokane

Whatcom

Whitman

Yakima

Snohomish

8

<u>8</u> 8 8

9.26

8 2.62 2.54

8

.87 2.22

8

3.68

8

8 6.67 .66 8

6.67

8

2.63 9.30

> 5.26 8 1.79

8

8

PERCENT SENTENCES ABOVE STANDARD RANGE BY COUNTY SUPERIOR COURTS

TABLE 14B

PERCENT SENTENCES BELOW STANDARD RANGE BY COUNTY SUPERIOR COURTS

COUNTY	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Adams	7.36	14.29	0 0.	00.	00.	•	00.	8.33	7.29
Asotin/Garfield/Columbus	10.29	<u>8</u>		33.33	00.		5.26	10.00	60.6
Benton/Franklin	6.31	3.77	25.00	00 [.]	2.36		4.42	2.92	5.18
Chelan/Douglas	9.81	00 [.]	00.	9.52	3.31	8.	9.63	3.62	8.33
Clallam	11.30	00.		<u>00</u> .	0.	•	8	<u>8</u> .	10.06
Clark	8.09	3.52	11.11	15.00	3.57	18.18	2.86	5.45	7.56
Cwolitz	7.45	15.00	00.	00.	0.	00.	2.48	4.11	6.82
Ferry/Stevens/Pend Or.	0.95	<u>00</u>		18.18	66.67		8.70	26.67	11.53
Grant	15.15	9.68		<u>80</u>	7.32		00.	7.69	12.97
Gravs Harbor	6.77	0 0 [.]	00.	6.25	5.56	<u>8</u> .	5.21	5.26	5.91
Island/San Juan	23.81	16.67	8.	00.			9.49	12.50	16.67
Jefferson	16.7	00.		00 [.]		8.	7.14	00.	7.61
King	7.61	5.01	7.44	9.05	3.45	<u>8</u> .	6.07	5.23	6.62
Kitsap	9.07	3.63	13.64	12.50	13.33	11.11	10.83	6.62	8.78
Kittitas	7.82	10.00	00.	16.67	00.		7.69	8.00	7.83
Klickitat/Skamania	12.97			60.6	5.26	00.	7.69	6.45	11.79
Lewis	7.83	<u>00</u> .	00.	00 [.]	3.23		5.16	I.64	6.54
Lincoln	5.97	00.		00 <u>.</u>	8.	00.	<u>0</u> .	0.	4.82
Mason	6.04	00.		00 [.]	0.		10.53	00.	6.18
Okanogan	10.78	00.		8.20	2.91		9.78	4.76	8.41
Pacific/Wahkiakum	2.75		8.	00 [.]	0.	<u>8</u> .	3.13	0 0 [.]	2.60
Pierce	8.65	5.62	9.82	8.70	8.24	14.29	8.25	6.57	7.79
Skagit	4.20	<u>00</u>	00.	<u>00</u>	1.94		6.67	1.32	3.72
Snohomish	6.10	2.54	7.14	6.67	7.14	50.00	6.10	4.11	5.92
Spokane	9.26	6.25	00.	4.95	2.63	00.	00.	5.10	8.69
Thurston	11.31	4.49	<u>8</u>	21.05	4.65	33.33	14.71	6.52	10.78
Walla Walla	10.86	16.67		<u>8</u>	<u>0</u> .	•	13.33	60.6	10.86
Whatcom	6.76	7.69	0.	3.57	5.56	8	11.18	4.91	7.11
Whitman	8.42	<u>00</u> .	25.00	<u>0</u> .	<u>0</u> .	<u>8</u>	00.	10.00	7.83
Yakima	5.42	5.76	20.00	5.71	3.44	8.	7.14	3.98	4.78
STATE TOTAL	7.97	5.10	7.79	7.37	4.49	12.12	6.64	5.24	7.14

			L .	TABLE 15A					
	PERCENT	SENTENCES A	PERCENT SENTENCES ABOVE STANDARD RANGE BY COUNTY CLASS: ALL OFFENSES	ARD RANGE BY	COUNTY CL	ASS: ALL	, OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Α	1.89	1.45	1.59	76.	1.75	12.50	2.41	1.47	1.76
В	3.08	2.52	00.	3.30	2.68	5.13	76.	2.65	2.86
C	3.19	.87	0 0 [.]	10.59	1.87	20.00	1.55	2.78	2.85
D	4.72	00.	00.	1.00	7.59	00.	2.55	4.36	4.38
E	2.58	00.	00.	00.	4.00	00.	00.	1.75	2.38
STATEWIDE	2.50	1.54	1.23	2.46	2.54	7.58	1.64	1.87	2.28
	PERCENT	PERCENT SENTENCES BELOW		TABLE 15B / STANDARD RANGE BY COUNTY CLASS: ALL OFFENSES	COUNTY CL	TIE :SSE	OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Α	7.80	5.13	7.94	7.80	7.57	12.50	6.37	5.59	7.03
В	7.67	4.68	7.87	7.26	3.39	15.38	6.58	4.35	6.84
U	9.60	6.09	6.25	3.53	3.93	0 .	6.53	4.23	8.29
D	7.80	4.35	<u>8</u>	00.6	3.45	<u>8</u>	8.03	5.45	7.51
E	8.94	9.09	00.	5.88	4.00	00.	5.13	5.26	8.47
STATEWIDE	7.97	5.10	7.79	7.37	4.49	12.12	6.64	5.24	7.14

			T	TABLE 16A					
đ	DERCENT SEI	PERCENT SENTENCES ABOVE SI	VE STANDARD	FANDARD RANGE BY COUNTY CLASS: VIOLENT OFFENSES	UNTY CLAS	S: VIOLEN	VT OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
Ā	4.60	4.08	5.05	1.67	1.53	0 0 [.]	2.54	3.83	4.27
: 8	7.25	3.38	00.	6.38	5.87	6.25	2.14	5.13	6.38
U U	8.32	3.70	00.	15.00	2.46	50.00	.65	4.57	6.48
Q	8.84	00	00.	3.85	18.75	8	7.81	12.50	9.36
ц	2.35		•	<u>00</u>	60.6	00.	00.	7.69	2.94
STATEWIDE	5.93	4.00	3.91	4.60	5.45	8.33	2.49	4.43	5.29
	WHITE	AFRICAN AMFRICAN	ASIAN AMFRICAN	NATIVE AMFRICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
	20.21	6 41	10.10	12 50	16 79	8	8 47	7.56	12.12
A A	15 09	0.41 6.28	8.33	7.45	6.29	12.50	13.57	6.60	12.54
יר ב	17.53	14.81	00	00	3.28	00.	10.32	4.57	14.16
	14.97	00	00.	19.23	4.17	<u>00</u>	14.06	8.75	13.70
ц	18.82		•	00.	00.	00.	50.00	00.	17.65
STATEWIDE	15.35	6.50	9.38	10.34	7.35	8.33	11.64	7.15	12.56

			L	TABLE 17A					
·	PERCENT 5	PERCENT SENTENCES ABOVE	-	STANDARD RANGE BY COUNTY CLASS: DRUG OFFENSES	COUNTY CLA	ASS: DRUG	OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTA
A	1.44	16.	1.89	00.	2.00	20.00	2.82	1.08	1.31
B	2.70	1.71	<u>00</u>	5.08	2.60	0.	1.05	2.53	2.52
U	2.11	00.	00.	5.26	1.89	<u>8</u> .	3.42	1.94	2.30
D	4.57	8		00.	2.70		1.52	1.69	3.79
ш	2.60	00.	00.	00.	00.		00.	00.	2.36
STATEWIDE	1.95	.95	1.56	1.71	2.31	10.00	2.24	1.44	1.77
	PERCENT S	PERCENT SENTENCES BELOW	L .	TABLE 17B STANDARD RANGE BY COUNTY CLASS: DRUG OFFENSES	COUNTY CLA	ASS: DRUG	OFFENSES		
		AFRICAN	ASIAN	NATIVE					
COUNTY CLASS	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
Α	6.57	5.51	7.55	10.87	7.44	<u>8</u> .	6.10	5.97	6.30
B	5.19	3.85	<u>8</u> .	5.08	1.40	25.00	2.80	2.03	3.93
U	9.17	4.35	100.00	5.26	1.89	<u>8</u>	5.13	2.59	6.96
D	7.43	11.11		8	2.70		10.61	3.39	7.37
ш	9.38	00.	00.	00.	00.		00.	00.	8.49
STATEWIDE	6.54	5.41	7.81	8.12	3.51	10.00	4.98	4.92	5.84

	·		-						
	PERCENT	PERCENT SENTENCES ABOVE STANDARD RANGE BY COUNTY CLASS: SEX OFFENSES	BOVE STANDA	RD RANGE BY	COUNTY CL	ASS: SEX	OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
A	6.97	10.31	3.33	3.33	60.6	8.	4.65	8.61	7.17
B	11.38	10.26	00.	13.64	10.99	14.29	3.23	10.71	10.77
C	9.69	00.	00.	66.67	60.6	8	3.57	21.62	9.93
D	9.21	00.		00.	8.33	•	11.11	5.56	9.04
ш	8.93	00.	•	•	00.	00.	00.	00.	8.06
STATEWIDE	8.77	10.04	2.50	15.15	10.22	60.6	4.40	10.14	8.73
		AFRICAN		NATIVE					
COUNTY CLASS	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
Α	39.93	16.49	36.67	30.00	45.45	100.00	25.58	22.10	36.66
В	37.72	15.38	44.44	27.27	32.97	71.43	45.16	30.36	36.96
C	35.94	00.	<u>8</u> .	11.11	22.73	0.	32.14	16.22	33.66
D	36.84	00.	•	40.00	8.33		27.78	16.67	34.04
ш	37.50	00.	•	•	00.	00.	33.33	00.	35.48
STATEWIDE	38.56	15.90	37.50	27.27	29.93	63.64	34.62	24.14	36.20

TABLE 18A

			T	TABLE 19A					
	PERCENT SI	PERCENT SENTENCES ABOVE	OVE STANDAR	STANDARD RANGE BY COUNTY CLASS: OTHER OFFENSES	OUNTY CLA	SS: OTHEI	R OFFENSES		
COUNTY CLASS	WHITE	AFRICAN AMERICAN	ASIAN AMERICAN	NATIVE AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAI
A	1.14	.73	00.	.82	1.31	12.50	1.47	.77	1.02
В	1.78	2.00	<u>00</u>	1.36	.61	00.	.71	1.07	1.58
U	2.10	<u>0</u> .	0 0 [.]	5.00	.62	00.	1.17	1.03	1.80
D	3.82	00.	00.	1.27	4.49	<u>00</u>	.51	2.60	3.20
E	1.61	00.	00.	00.	00.	00.	00.	00	1.40
STATEWIDE	1.54	.83	00 [.]	1.36	.95	2.78	1.01	.88	1.34
	PERCENT SE	PERCENT SENTENCES BELOW AFRICAN		STANDARD RANGE BY COUNTY CLASS: OTHER OFFENSES ASIAN NATIVE	OUNTY CLA	SS: OTHE	R OFFENSES		activities of the constraints of
COUNTY CLASS	WHITE	AMERICAN	AMERICAN	AMERICAN	HISPANIC	OTHER	UNKNOWN	NON-WHITE	TOTAL
Α	4.04	3.81	4.44	3.57	2.06	00.	3.68	3.69	3.92
В	4.31	3.83	3.13	4.07	1.75	00.	3.20	2.64	3.92
U	5.47	6.74	00.	1.67	3.41	00.	4.09	3.70	4.99
D	2.77	<u>00</u>	00.	8.86	3.37	0.	4.55	5.21	3.34
E	3.63	11.11	00.	7.14	7.14	00.	2.94	7.50	3.86
STATEWIDE	4.21	3.85	4.00	4.20	2.19	00.	3.76	3.51	4.01

TABLE 20A

LOGISTIC REGRESSIONS OF MITIGATED AND AGGRAVATED EXCEPTIONAL SENTENCES: TOTAL SENTENCES

	ITIM	MITIGATED	AGGRA	AGGRAVATED
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0324	.6841**	0000	.9578
Drug	0307	.7025**	.0180	.7738*
Sex	0000	6686.	.0260	1.4786*
Current Offenses				
Violent	0000.	1.1005	.0403	1.5144**
Drug	0000	1.1207	0134	.8218
Sex	0158	.7419*	.0618	1.9605**
Seriousness Scores				
Offender	.0804	1.1148	.0649	1.0939**
Offense	.1451	1.2244	.1204	1.1908**
Enhancements & Alternatives				
Sexual Motivation	0000	.3528	.0728	4.1291**
1st Time Waiver	0273	.0022*	0159	.0010*
SOSSA Suspended	.0590	2.6461**	0746	.2146**
Attempted	0177	.6685	0249	.6016*
Weapon	.0164	1.4846	.0102	1.3656
School Zone	0000	1.5209	0000	1.2132
Correction Facility	0000.	.0023	0000	6000'
Social Factors				
African American	0000.	.9819	0392	.6432**
Asian	0000.	1.0224	0083	.4729
Hispanic	0524	.3826**	0000.	7686.
Native American	.0046	1.3279	0000	.8418
Other	0000.	.8248	.0054	2.1457
Unknown	0238	.2003*	0027	7609.
Male	0782	.5044**	0294	.7108*
County Class	.0168	1.0842*	.0852	1.3248**
, na	0239	1.0092*	.0617	1.0201**

TABLE 20B

LOGISTIC REGRESSIONS OF MITIGATED AND AGGRAVATED EXCEPTIONAL SENTENCES: WHITES ONLY

	MITIC	MITIGATED	AGGR	AGGRAVATED
	ጸ	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0386	**6209.	0000.	.9543
Drug	0241	.7189*	0162	.7584*
Sex	0000	1.0235	.0337	1.6004**
Current Offenses				
Violent	.0165	1.2643*	.0354	1.4696**
Drug	0000.	1.1350	0000.	.8673
Sex	0118	.7564	.0519	1.7860**
Seriousness Scores				
Offender	.0861	1.1230**	6090.	1.0880**
Offense	.1266	1.2051**	.1122	1.1847**
Enhancement & Alternatives				
Sexual Motivation	0000.	.3643	.0465	3.0107**
1st Time Waiver	0160	.000	0153	6000.
SOSSA Suspended	.0653	2.7026**	0755	.2505**
Attempted	0000.	.8411	0188	.6371*
Weapon	.0185	1.5853*	0000.	1.3050
School Zone	0000	1.4581	0000.	1.4920
Correction Facility	0000.	6000.	0000.	.0007
Social Factors				
Male	0842	.4763**	0294	.7087*
County Class	.0337	1.1300*	.0893	1.3146**
Age	.0067	1.0059	.0773	1.0240**

* Significant at .05 ** Significant at .001

TABLE 20C

LOGISTIC REGRESSIONS OF MITIGATED AND AGGRAVATED EXCEPTIONAL SENTENCES: AFRICAN AMERICANS

	ITIM	MITIGATED	AGGRA	AGGRAVATED
	R	Exp(B)	Я	Exp(B)
Prior Offenses				
Violent	0243	.7094	0000.	1.0529
Drug	0514	.5941*	0000.	.8811
Sex	0000.	.8152	0000.	1.2101
Current Offenses				
Violent	0000.	.9238	.0333	1.6840
Drug	0000.	1.2569	0170	.6661
Sex	0330	.3392*	.0821	2.6437*
Seriousness Scores				
Offender	.0706	1.1052**	.1235	1.1805**
Offense	.1888	1.2654**	.1651	1.2461**
Enhancement & Alternatives				
Sexual Motivation	0000.	.0308	0000	2.5990
1st Time Waiver	0000.	.0022	0000.	.0030
SOSSA Suspended	.0337	5.2025*	0000	.0002
Attempted	0421	.3005*	0000.	.6392
Weapon	0000.	.7622	0000.	1.1069
School Zone	0000.	1.5947	0000	.0005
Correction Facility	0000.	.0021	0000	.0025
Social Factors				
Male	0314	.6808	0152	.6319
County Class	0000.	.9445	0000.	1.2869
Age	0090.	1.0241*	0000.	6966.

* Significant at .05 ** Significant at .001

	ITIM	MITIGATED	AGGRA	AGGRAVATED
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	.0795	2.8831*	0000.	1.5346
Drug	0000.	.5497	0000.	.6897
Sex	0000.	.7520	0000	1.1140
Current Offenses				
Violent	0000.	.6067	.0544	2.0038*
Drug	0841	.3189*	0000	1.0229
Sex	.0731	3.1518*	.0675	2.6943*
Seriousness Scores				
Offender	.0233	1.1316	0000.	**06/6
Offense	.1675	1.3125**	.1141	1.1884**
Enhancement & Alternatives				
Sexual Motivation	.0000	.0257	.1424	7.0568
1st Time Waiver	0000	.0035	0000	.0030
SOSSA Suspended	0000.	1.4584	0000	.0002
Attempted	0000	.5567	0000	.4397
Weapon	0000	1.7060	0000	1.5838
School Zone	0000	1.8371	0000	1.7618
Social Factors				
Male	1189	.2378*	0000.	.8208
County Class	1093	.4648*	.0786	1.4470*
Å GP	0000	.9928	0000	1.0138

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TABLE 20D

* Significant at .05 ** Significant at .001

20E	
LE	
TAB	

LOGISTIC REGRESSIONS OF MITIGATED AND AGGRAVATED EXCEPTIONAL SENTENCES: ASIAN AMERICANS

	MITI	MITIGATED	AGGR	AGGRAVATED
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0000.	2.4218	0000.	0000.
Drug	0000.	.0004	0000.	.0020
Sex	0000.	.0006	0000.	1000.
Current Offenses				
Violent	0000.	1.6561	0000.	6.3744
Drug	0000.	2.0133	0000.	.0002
Sex	0000.	2.5737	0000.	19.0640
Seriousness Scores				
Offender	0000.	.9668	.2633	2.1158*
Offense	.0284	1.1902	0000.	.6760
Enhancement & Alternatives				
Sexual Motivation	0000.	1.2608	0000.	1.3716
1st Time Waiver	0000.	6000.	0000.	6000.
SOSSA Suspended	0000.	3.5570	0000.	0000
Attempted	0000.	.0005	0000:	.0027
Weapon	0000	3.3275	0000.	26.4094
School Zone	0000.	.000	0000.	16363.49
Social Factors				
Male	0861	.1984	0000.	21359.42
County Class	0000.	1.1017	0000.	0000.
Age	0000.	1.0219	.1439	1.0964

* Significant at .05 ** Significant at .001

TABLE 20F

LOGISTIC REGRESSIONS OF MITIGATED AND AGGRAVATED EXCEPTIONAL SENTENCES: NATIVE AMERICANS

	MITI	MITIGATED	AGGR	AGGRAVATED
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0000.	.5311	0000.	.000
Drug	0000.	1.7954	.1094	5.2992*
Sex	.0454	3.7544	0000	2.5089
Current Offenses				
Violent	0000.	.7042	.0922	3.9616
Drug	0000.	1.2452	0000.	.7145
Sex	0000	.0002	.2070	11.1942*
Seriousness Scores				
Offender	0000.	1.0280	0000.	.9342
Offense	.1670	1.2667*	0000	1.0963
Enhancement & Alternatives				
Sexual Motivation	0000	.0310	0000.	9982.424
1st Time Waiver	0000	9000.	0000	.0003
SOSSA Suspended	0000.	3457.803	0000.	0000.
Attempted	0000.	.5123	0000.	0000
Weapon	.1224	6.5271*	0000.	.0004
School Zone	.0194	9.4434	0000	.000
Social Factors				
Male	0000.	.5970	0000.	.8882
County Class	0000	.7665	.2155	2.2332**
Age	0000.	1.0273	0000.	1.0016

TABLE 21A

LOTISTIC REGRESSIONS PREDICTING JUDGES' REASONS FOR GIVING MITIGATED EXCEPTIONAL SENTENCES

	POSI	POSITIVE	DIMID	DIMINISHED		
	DEFENDANT	DEFENDANT CHARACTER	CAP.	CAPACITY	SOCIA	SOCIAL TIES
	R	_ Exp(B)	R	Exp(B)	R	Exp(B)
Hazard Rate	0122	.000	0000.	440.8536	0000.	0000.
Prior Offenses						
Violent	0000.	1.0580*	0000.	1.0676	0607	.1399
Drug	0390	.6064	.0367	1.5649*	0000	1.1363
Sex	0000.	1.4923	0515	.3119*	0000	1.4496
Current Offenses						
Violent	0000.	.8888	0000.	.8867	1060	.3330*
Drug	.1023	2.3622*	0413	.6398*	0000.	.7361
Sex	0362	.5407*	0154	.6225	0597	.2179
Seriousness Scores						
Offender	0000.	1.0225	0000	1.0118	0000.	.9772
Offense	.0754	1.2085	0000.	.9718	.0426	1.2640
Enhancements & Alternatives						
SSOSSA Suspended	0419	.2824	0727	.1968*	0000.	.0027
Attempt	0000.	.9982	0000.	1.3114	0000.	.8196
Weapon	0000.	.6243	0000	.8780	0000.	.5483
School Zone	0000.	.4746	0117	.3715	.0758	7.8225*
Social Factors						
Black	0856	.5038**	.0670	1.7019*	0507	.4815
Hispanic	0236	.4670	0000.	.6602	0000.	.2751
Asian American	0000	1.4565	0389	.1133*	.0371	4.1791
Other	0000	.0023	0000.	1.7209	0000.	.0004
Unknown	0000.	.0016	0000.	2.8557	0000	.0002
Male	0060	.6805	0000.	1679.	0000	.5591
County Class	0000	.9227	1479	.5635**	0000	.7850

* Significant at .05 ** Significant at .001

TABLE 21B

LOGISTIC REGRESSIONS PREDICTING JUDGES'REASONS FOR GIVING MITIGATED EXCEPTIONAL SENTENCES

	ACCON	ACCOMPLICE	VICTIM]	VICTIM RELATED	LE	LEGAL
	R	Exp(B)	R	Exp(B)	R	Exp(B)
Hazard	0977	*0000	0016	0000.	0254	0000.
Prior Offenses						
Violent	0000	.6262	0000.	.9759	0717	.4806*
Drug	0663	.4191*	.0140	1.7092	1010	.3801**
Sex	0000.	1.3129	0000.	1.5064	0266	.4068
Current Offenses						
Violent	0000.	1.2993	.0781	2.1134*	0000.	1.1111
Drug	.1099	2.9017**	1488	.1627**	.0756	1.9780*
Sex	0812	.2037*	0000.	1.5670	0000.	.8228
Seriousness Scores						
Offender	.0181	1.1050	0592	.8605*	.0688	1.1224*
Offense	.1233	1.4497*	.0235	1.1530	0000.	1.0346
Enhancements & Alternatives						
SSOSSA Suspended	0000	.0118	0658	.1441*	0000.	.6443
Attempt	0000	1.3302	0000	.6738	0000.	.6152
Weapon	.0981	4.2863*	.0918	3.3295	0000.	.5924
School Zone	0000	1.5496	0000.	2.0223	0000.	.4964
Social Factors					·	
Black	0000.	.7830	0000	1.3412	.0631	1.6394
Hispanic	0000	.6070	0000	1.0746	0197	.4701
Asian American	0000	.0008	0000	1.1081	0000	.7924*
Other	0000.	.0004	0000	.0207	0000.	<i>LL00</i> .
Unknown	.0000	.0001	.0507	23.4184*	0000.	.0062
Male	0685	.3688*	1077	.2939*	0000.	.7484
County Class	0000	1.0274	0733	1.3452*	- 1185	6228**

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LOGISTIC REGRESSIONS PREDICTING JUDGES' REASONS FOR GIVING MITIGATED EXCEPTIONAL SENTENCES

	STAT	STATUTORY
	R	Exp(B)
	0000	.0018
	0000	.8342
	0000.	.9404
	0242	.4687
	.0151	1.3494
	0334	.6678
	0000	1.0404
	0000.	1.0528
	.0617	1.1756*
Enhancements & Alternatives		
	1109	.0558**
	0000	1.4288
	.0485	2.3791
	0000	.7321
	.0523	1.5459*
	0000	1.5071
	0000	.5474
	0000	1.6576
	0000.	2.5615
	0550	.5323*
	0000	.9269

TABLE 21D

LOGISTIC REGRESSIONS PREDICTING JUDGES' REASONS FOR GIVING AGGRAVATED EXCEPTIONAL SENTENCES

	NEGATIVE I CHAR	NEGATIVE DEFENDANT CHARACTER	EXTENT	EXTENT DAMAGE	VICTIM	VICTIM RELATED
	R	Exp(B)	R	Exp(B)	R	Exp(B)
Hazard	0000	4.6062	.0443	27.8100*	0000.	2.4682
Prior Offenses						
Violent	0000.	.8376	0000.	.8814	0597	.5585*
Drug	0000.	1.2421	0342	.5757	0000	.6890
Sex	.0595	2.1050*	0000.	.8740	0392	.5789*
Current Offenses						
Violent	0000.	.8696	0868	.4728**	0000.	.9083
Drug	1632	.1937**	2290	**6890.	1672	.0405**
Sex	.1099	2.7144**	0695	.5199*	.1154	2.6838**
Seriousness Scores						
Offender	0000	1.0269	0483	*608*	0000.	9866.
Offense	0000	.9992	.0659	1.0956*	0000.	1.0425
Enhancements & Alternatives						
SSOSSA Suspended	0286	.4572	0643	.0615*	0224	.4913
Attempt	0000	.9223	0000.	.6963	0526	.3220*
Weapon	0428	.4024*	.0074	1.7272	0000.	.7041
School Zone	0000	.0350	0000.	.0448	0000.	.1315
Social Factors						
Black	0000	1.2549	0000.	1.1349	0000.	1.0179
Hispanic	0793	.3161*	0000.	.7746	0000.	.7332
Asian	0000	.4943	.0085	5.2813	0000.	1.0876
Other	0000	.2984	0000	2.6027	0000.	1.4285
Unknown	0000.	.8214	0000	1.5217	0000.	.5663
Male	0000.	1.0464	0803	.4656*	0000	.7943
County Class	.0331	1.1667	0000.	9196	0000.	.906

TABLE 21E

LOGISTIC REGRESSIONS PREDICTING JUDGES' REASONS FOR GIVING AGGRAVATED EXCEPTIONAL SENTENCES

	DRU	DRUG USE	LI	LEGAL	STAT	STATUTORY
	R	Exp(B)	R	Exp(B)	R	Exp(B)
Hazard	0000.	.1849	.0453	29.7343*	0000.	5.4272
Prior Offenses						
Violent	0000.	.5162	.0311	1.4572	0000.	.8024
Drug	0191	.5250	.0896	2.2488**	0645	.5274*
Sex	0000.	.0013	.0689	2.0955*	0000.	.9666
Current Offenses						
Violent	0000.	.8330	0000.	2792.	0135	.7388
Drug	.2843	55.1819**	0509	.5859*	0000	.8506
Sex	0000.	.3160	0522	.5522*	0000	.9728
Seriousness Scores						
Offender	0000.	.9935	.0205	1.0521	0057	.9579
Offense	.0680	1.1576*	1040	.8788**	.1019	1.1302**
Enhancements & Alternatives						
SSOSSA Suspended	0000.	.0048	.0710	3.7004*	0779	.2094*
Attempt	0000.	1.3943	.0669	2.6777*	0000	.7072
Weapon	0000.	1.2807	0000.	.6275	0000.	1.0185
School Zone	0000.	.9834.545	.0000	.0028	0000.	308.0372
Social Factors						
Black	0968	.1438*	.0270	1.5050	0000.	.8419
Hispanic	.0902	3.0966*	0000.	1.2413	.0416	1.7513*
Asian	0000	.0018	0000.	.0032	0000.	438.7732
Other	0000.	5.8885	0000.	.0014	0000.	3.4338
Unknown	0000.	.6854	0000.	.4335	0000	.9535
Male	.0288	2.0843	.0774	2.2424*	0673	.5281*
Conntry Clace	0397	1.3251	.0404	1.1762*	0000.	1.0384

	BELOW	BELOW RANGE	ABOVE RANGE	RANGE
Variable	Я	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0338	.6158**	0000.	1.0148
Drug	0000.	.9296	0112	.8270
Sex	0194	.5182**	.0249	1.4637*
Current Offenses				
Violent	0136	.8386	.0411	1.5121**
Drug	0121	.8805*	0000.	.9303
Sex	0366	.4804**	.0704	2.1901**
Seriousness Scores				
Offender	.0870	1.1520**	.0637	1.0924**
Offense	.1619	1.2946**	.0975	1.1556**
Enhancement & Alternatives				
Sexual Motivation	0053	.4587	.0613	3.4838**
1st Time Waiver	.2664	10.4252**	0376	.5506**
SOSSA Suspended	.2268	412.2051**	0718	.2100**
Attempted	.0895	3.1060**	0225	.6278*
Weapon	0000.	1.0476	.0253	1.6415*
School Zone	.0073	1.5431	0000	1.4825
Correction Facility	0000	4.2516	0000	.0332
Social Factors				
African American	0000	.9574	0346	.6859*
Asian	0000.	.8254	0075	.5088
Hispanic	0239	**9699.	0000	.9415
Native American	0000.	1.0893	0000	.9074
Other	0000.	.5798	.0028	2.0465
Unknown	0086	.6461*	0000.	.6488
Male	0169	.8445*	0000.	.8794
County Class	0199	.9184**	.0793	1.2942
	2200	1 0039	0574	1 0172

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TABLE 22A

	BELO	BELOW RANGE	ABOVE	ABOVE RANGE
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0383	.5273**	0000.	.9794
Drug	0056	.8505	0029	.8313
Sex	0281	.3515**	.0355	1.6429**
Current Offenses				
Violent	0600'-	.8584	.0360	1.4636**
Drug	0109	.8780*	0000.	.9851
Sex	0399	.4572**	.0584	1.9421**
Seriousness Scores				•
Offender	.0939	1.1705**	.0556	1.0816**
Offense	.1720	1.3362**	.0936	1.1550**
Enhancement & Alternatives				
Sexual Motivation	0000	.5888	.0382	2.5952**
1st Time Waiver	.2764	11.6047**	0449	.5148**
SOSSA Suspended	.2413	373.0174**	0730	.2456**
Attempted	.0542	2.2486**	0154	.6732*
Weapon	0000.	1.0273	.0132	1.4704
School Zone	.0156	2.5274*	0000.	1.7780
Correction Facility	0000	5.9599	0000.	.0240
Social Factors				
Male	0130	.8623*	0000.	.8599
County Class	0187	.9257*	.0871	1.2977**
Age	0000.	1.0016	.0681	1.0212

LOGISTIC REGRESSIONS OF OUT-OF-RANGE SENTENCES: WHITE

TABLE 22B

	BELO	BELOW RANGE	ABUVE KANGE	KANUE
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	- 0309	.7066*	0000	1.1224
Drug	0000.	.9567	0000	.9294
Sex	0000	1.0161	0000	.9992
Current Offenses				
Violent	0000.	.8247	.0433	1.7321*
Drug	0000	.9810	0032	.7183
Sex	0392	.2667*	.0880	2.8823**
Seriousness Scores	•			
Offender	.0674	1.1018**	.1329	1.1901**
Offense	.1190	1.1784**	.1297	1.1947**
Enhancement & Alternatives				
Sexual Motivation	0000	.1742	0000	2.0292
1st Time Waiver	.1873	5.3749**	0000.	.9892
SOSSA Suspended	.1087	1979.157**	0000	.0043
Attempted	.1315	3.7639**	0000	.6783
Weapon	0000	.7763	0000	1.4163
School Zone	.0254	2.1511*	0000.	.0086
Correction Facility	0000.	.0631	0000.	.0375
Social Factors				
Male	0000.	.8983	0000	.9218
County Class	0000.	.9914	0000	1.1670
	2900	1 0120*	0000	9932

TABLE 22C LOGISTIC REGRESSIONS OF OUT-OF RANGE SENTENCES: AFRICAN AMERICANS

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LOGISTIC REGRESSIONS OF OUT-OF-RANGE SENTENCES: HISPANIC

	BELC	BELOW RANGE	ABOVE	ABOVE RANGE
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0000.	1.1802	0000.	1.4769
Drug	0000.	1.0163	0147	.5958
Sex	0000.	.9412	0000.	.9628
Current Offenses				
Violent	0000.	.6792	0690.	2.1697*
Drug	0855	.3898*	0000.	1.2202
Sex	0000.	1.1405	.0924	3.4404
Seriousness Scores				
Offender	.0726	1.1851*	0000	1.0237
Offense	.1817	1.3859**	.0764	1.1338*
Enhancement & Alternatives				
Sexual Motivation	0000.	.1004	.1272	6.0608**
1st Time Waiver	.2758	23.9630**	0000.	.5177
SOSSA Suspended	0000.	72450.17	0000.	.0016
Attempted	.2901	13.0542**	0051	.4058
Weapon	0000.	1.7424	0000.	2.0707
School Zone	0000.	.4830	0000.	1.5636
Social Factors				
Male	0518	.4352*	0000.	.9294
County Class	0383	.7571*	.0399	1.2861
Age	0000.	1.0003	0000	1.0126

* Significant at .05 ** Significant at .001

	BELO	BELOW RANGE	AB(ABOVE RANGE
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0000.	1.1397	0000.	.000
Drug	0000.	1.3948	0000.	.000
Sex	0000.	.0120	0000.	0000.
Current Offenses				
Violent	0000.	1.0573	0000.	6.3744
Drug	0000.	1.0049	0000.	.000
Sex	0000.	1.8416	0000.	19.0640
Seriousness Scores				
Offender	0000.	1.0431	.2444	2.1158*
Offense	.1517	1.2919*	0000.	.6760
Enhancement & Alternatives				
Sexual Motivation	0000.	0660.	0000.	1.9302
1st Time Waiver	.2889	20.7759**	0000.	.000
SOSSA Suspended	.2388	237.3564**	0000.	0000.
Attempted	.0506	3.6734	0000.	.0013
Weapon	0000.	1.9737	0000	26.4094
School Zone	0000.	.0059	0000.	8.38E+13
Social Factors				
Male	0000	.5490	0000.	56463.35
County Class	0000.	.7048	0000	0000.
Age	0000.	1.0118	.1336	1.0964

TABLE 22E

* Significant at .05 ** Significant at .001

DELOW DANGE ADAVE DANGE	DEI	DEI OW DANGE		A BOVE DANGE
		M INHIOL		
	R	Exp(B)	R	Exp(B)
Prior Offenses				
Violent	0000.	.8537	0616	.1486
Drug	0000.	1.6881	0000.	2.9526
Sex	.0474	3.3488	0000.	3.0301
Current Offenses				
Violent	0000.	.7158	0000.	2.3511
Drug	0000.	.8439	0000.	.6634
Sex	0000	.0008	.2198	13.6407**
Seriousness Scores				
Offender	0000.	1.0774	0000.	.9122
Offense	.1653	1.2804**	0000.	1.0260
Enhancement & Alternatives				
Sexual Motivation	0000.	.0374	0000.	2680.553
lst Time Waiver	.1744	5.1320**	0000.	.3587
SOSSA Suspended	0000.	318405.1	0000.	0000.
Attempted	.0168	2.2438	0000.	0000.
Weapon	.0473	3.9390	0000.	3.7001
School Zone	0000.	6000.	.0638	20.9923
Social Factors				
Male	0412	.5632	0000.	1.1376
County Class	0000	.9088	.1292	1.6680*
Age	0000.	0066.	0000	.9915

TABLE 22F
APPENDICES

Appendix 1

Classification of County Superior Courts

Superior Courts are grouped according to population size, based on county classes defined in RCW 36.13.010. Superior Courts that include more than one county are coded according to the population of the largest county. We define five court classes that correspond to county class and population as follows:

Court Class	County Class	Population
Class A	A and AA	210,000 +
Class B	1 and 2	70,000 to 209,000
Class C	3	40,000 to 69,000
Class D	4	18,000 to 39,000
Class E	5 through 8	less than 18,000

Class A Courts	Class B Courts	
King	Benton/Franklin	
Pierce	Clark	
Snohomish	Cowlitz	
Spokane	Kitsap	
-	Thurston	
	Whatcom	
	Yakima	

Class C Courts	Class D Courts	Class E Courts
Chelan/Douglas	Asotin/Garfield/Columbia	Adams
Clallam	Kittitas	Jefferson
Grant	Mason	Klickitat/Skamania
Grays Harbor	Okanogan	Lincoln
Island/San Juan	Pacific/Wahkiakum	
Lewis	Stevens/Ferry/Pend Oreille	
Skagit		
Walla Walla		
Whitman		

APPENDIX 2

Computing Above and Below Standard Range Sentences

The data provided by SGC include the standard range sentence (LOWRANGE; HIRANGE), measured in months, and adjusted to reflect statutory enhancements or reductions. These data do not, however, include the total sentence imposed in each case. In order to determine whether a sentence is above or below the standard range we compute the total sentence (TOTSENT) as the sum of TOTAL confinement, community SERVICE, and PARTIAL confinement ordered, and then compare TOTSENT to the standard range sentence. Unfortunately, several factors complicate this procedure, and threaten to produce erroneous classifications of sentences. These include changes in law, lack of clarity in the sentencing guidelines for some offenses, and error due to the mathematical conversion of sentences from days or hours (i.e. community service) to equivalent This conversion becomes problematic because judges numbers of months. frequently issue sentences, for example, of 30, 60, or 90 days. While these sentences approximate one, two, and three months respectively, when converted they appear as just less than that number of months (e.g., 30 days=.99 months). Therefore, we adopt the following procedure to identify cases above (ABVRANGE) and below the standard range (BLWRANGE). These should produce conservative estimates of the number of cases beyond the standard range.

(1) Many cases that appear to be outside the standard range, but that are not (or should not be considered such) are indicated by one or more override codes. To avoid treating these as out-of-range sentences, we define ANY sentence with an override code that includes the values 2 (rounding error), 4096 (other), or 8192 (legal sentence) as WITHIN the standard range. These cases will not be reclassified.

(2) We define all mitigated exceptionals as BLWRANGE, and all aggravated exceptionals as ABVRANGE.

(3) We compute remaining cases as BLWRANGE based on an adjusted total sentence. For all cases with TOTSENT less than 24 months, we convert TOTAL confinement, PARTIAL confinement, and community SERVICE to equivalent months using 30 days per month rather than 30.417. Literally, we multiply TOTAL, PARTIAL, and SERVICE by an adjustment factor (ADJUST), plus a constant to correct for additional rounding error.

ADJUST = [((365/12)/30) + .0012] = .0015

We then recompute the total adjusted sentence (ADJSENT) as the sum of the adjusted values of TOTAL, PARTIAL, and SERVICE. We define a sentence as below the standard range if this adjusted total sentence is less than LOWRANGE.

(4) Finally, we define remaining cases as ABVRANGE if TOTSENT (unadjusted total sentence) is greater than HIRANGE by .5 or more. We use TOTSENT here, and require a .5 month difference between the total sentence and HIRANGE in order to avoid "false positives." Thus, our estimate of ABVRANGE sentences should be conservative as well.

APPENDIX 3

Judges' Reasons for Imposing Exceptional Sentences

(Numbers refer to codes used by Sentencing Guidelines Commission)

I. MITIGATING CIRCUMSTANCES:

- A. Positive Actions or Character of Defendant
 - 25. Assisted law enforcement/agreed to help in prosecution of codefendant.
 - 29. Defendant is addressing psychological or emotional problems.
 - 24. Defendant is remorseful.
 - 22. Defendant's age.
 - 38. Defendant is making an effort to change criminal behavior or demonstrates a desire to do so.
 - 2. Before detection the defendant compensated victim, or made effort.
 - 104. Defendant paid restitution or accepts responsibility for it.
 - 9. Confession before apprehension.
 - 32. Defendant poses no threat to the community.
- B. Diminished Capacity
 - 5. Capacity to appreciate the wrongfulness was significantly impaired.
 - 28. Defendant's physical or mental condition.
 - 3. Crime committed under duress, coercion, threat, compulsion.
 - 37. Strong relationship between drug or alcohol addiction and criminal activity.

- 36. Defendant is addicted to drugs or alcohol.
- C. Social Ties
 - 103. Defendant is employed, in school, or has had commendable employment record or military service.
 - 101. Defendant has community or family support.
 - 102. Defendant is providing support to dependents.

D. Accomplice

- 4. With no apparent predisposition, was induced by others to participate.
- 33. Equivalent sentence given to codefendant.
- 6. Offense principally accomplished by another and defendant showed concern for the victim.
- 108. Defendant played an accomplice role.
- 106. Defendant's role was minor.
- E. Characteristics of the Victim / Offender Relationship
 - 1. Victim was an initiator, willing participant, aggressor, or provoker.
 - 26. Victim or family requests lower sentence.
 - 35. Relationship with victim.
 - 35. No injury to victim.
 - 30. Defendant is a battered woman.
 - 8. Offense is a response to victim's abuse of defendant or defendant's children.
- G. Legal

- 27. No prior convictions or remote in time.
- 23. Prison would be detrimental.
- 11. If given credit for good time, sentence is already served.
- 21. For defendant's rehabilitation or treatment (sometimes <u>State v.</u> <u>Bernhard</u> cited.
- 105. The delay in filing the case was lengthy.
- F. Nonspecific
 - 16. Exceptional sentence is more appropriate/is in the interest of justice.
 - 7. The multiple offense policy results in a clearly excessive presumptive sentence.
 - 17. All parties agreed to mitigated sentence.
 - 13. To make frugal use of state resources.
 - 18. Isolated incident.
 - 20. Nature of the offense.
 - 14. Exceptional sentence is one day less than range.
 - 15. First-time offender range is not adequate.
 - 107. The basis for the exceptional sentence was discussed in chambers and justifies leniency.

II. AGGRAVATING CIRCUMSTANCES

A. Defendant Characteristics

- 145. Defendant has pattern of escalating violence.
- 45. Defendant used his/her position of trust, confidence, or fiduciary responsibility to facilitate the commission of the major economic offense.
- 70. Defendant is a threat to the community/predatory nature.
- 73. Defendant is not amenable to treatment.
- 80. Continuing criminal activity after arrest or while on previous probation or parole.
- 87. Defendant showed no remorse.
- 91. Defendant does not accept responsibility for actions, blames others.
- 74. Defendant was in a position of trust (not an economic or drug offense).
- B. Extent or Amount of Damage
 - 71. Seriousness of the offense.
 - 43. A major economic offense involving attempted or actual monetary loss substantially greater than typical for the offense.
 - 40. The defendant's conduct during the commission of the offense manifested deliberate cruelty to the victim.
 - 44. A major economic offense involving a high degree of sophistication or planning or occurred over a lengthy period of time.
 - 94. Conduct was premeditated.
 - 75. Defendant used sophistication and well-planned methods (not an economic or drug offense).

- C. Nature or Extent of Damage to Victim
 - 84. The offense was part of an ongoing pattern of sexual abuse of the same victim under the age of 18 years manifested by multiple incidents over a prolonged period of time.
 - 141. The crime caused extreme emotional damage to the victim.
 - 42. A major economic offense involving multiple victims or multiple incidents per victim.
 - 76. The offense involved multiple victims or multiple incidents per victim (not and economic offense).
 - 79. Injuries were greater than necessary for the crime.
 - 87. Crime injured persons other than victim.
 - 41. The defendant knew or should have known that the victim of the offense was particularly vulnerable or incapable of resistance to extreme youth, advances age, disability, or ill health.
- D. Drug Related
 - 144. Crime was gang related.
 - 48. The drug offense involved the manufacture of controlled substances for use by other parties.
 - 50. The circumstances of the drug offense reveal the offender to have occupied a high position in the drug distribution hierarchy.
 - 51. The drug offense involved a high degree of sophistication or planning or occurred over a lengthy period of time or involved a broad geographic area of disbursement.
 - 93. Excessive alcohol or drug use.
 - 46. The drug offense involved at least three separate transactions in which controlled substances were sold, transferred, or possessed with the intent to do so.

- 47. The drug offense involved an attempted or actual sale or transfer of controlled substances in quantities substantially larger than for personal use.
- 52. The offender used his or her position or status to facilitate the commission of the drug offense, including positions of trust, confidence or fiduciary responsibility (e.g. pharmacist, physician, or other medical professional).
- E. Legal
 - 72. Defendant agreed to serve time in prison instead of jail, or defendant. agreed to a greater sentence, or agreed to additional treatment.
 - 78. Factors in criminal record.
 - 81. Greater treatment available in prison/hospital.
 - 82. Sentence to be combined with pre-SRA prison sentence.
 - 83. Additional incidents which, if charged, would result in higher sentence range.
 - 85. For Defendant's rehabilitation or treatment, not in prison.
 - 86. Criminal history score greater than nine.
 - 88. Defendant violated zone of privacy.
 - 92. Weapons were present.

III. Nonspecific

- 3. The operation of the multiple offense policy results in a presumptive sentence that is clearly to lenient in light of the purpose of the sentencing reform act of 1981.
- 89. Sentence will promote respect for the law.

TECHNICAL SUPPORT MEMBERS

Ruperta Alexis Office of the Hearing Examiner City of Seattle

Honorable Sergio Armijo Judge Tacoma Municipal Court

Jeffrey A. Beaver Attorney McMonagle and Twiss

Robert C. Boruchowitz Director Office of the Public Defender

Honorable Karen B. Conoley Judge Kitsap County Superior Court

S. Nia Cottrell National Labor Relations Board

Ronald E. Cox Attorney Preston, Thorgrimson, Shidler, Gates and Ellis

Lonnie Davis Disabilities Law Project Coordinator Washington Coalition of Citizens with Disabilities

Larry M. Fehr Executive Director Washington Council on Crime and Delinquency

Irene Gutierrez City of Yakima Rebound Program

Martha Harden Policy Analyst Washington State House of Representatives

Honorable Norma S. Huggins Judge King County Superior Court

Debora G. Juarez Attorney Evergreen Legal Services

Commissioner Kenneth H. Kato Court of Appeals, Division III James Kelly Executive Director African-American Affairs Commission

Ada Ko Assistant City Attorney City of Seattle

Robert Lamb, Jr. Regional Director Community Relations Service United States Department of Justice

Dean S. Lum Attorney Bliss and Riordan

Richard F. McDermott, Jr. Attorney McDermott and Jones

Terry Mark Administrative Assistant Office of Representative Gary Locke Washington State House of Representatives

Esther L. Patrick Personnel Coordinator King County District Court

Daniel J. Riviera Attorney Foster Pepper and Shefelman

Marilee Scarbrough Attorney Washington State House of Representatives

P. Diane Schneider Conciliation Specialist United States Department of Justice

Vincent K. Sewell

Honorable Philip J. Thompson Judge Court of Appeals, Division III

Brian A. Tsuchida Attorney Office of the Public Defender

Dr. Artee F. Young, Ph. D. Attorney Laners and Young

