

Request for Proposals

ACQ-2016-0520-RFP

**Information Networking Hub
(INH)**

**Expedited Data Exchange (EDE)
Data Validation (DV) Solution**

Offered by

**Washington State
Administrative Office of the
Courts**

Proposal Due Date: Nov 29, 2016

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1. INTRODUCTION

1.1 PURPOSE

The Information Networking Hub (INH) will establish an integration model through unified Data, business, and technology processes. This model provides services to integrate applications using a statewide model. The integration results from sharing Data between business units to present a common face to the customer. The integration model will allow AOC to integrate many applications and services, improving the standardization of business information across all levels of courts and all jurisdictions. It provides a hub-and-spokes model that gives the best support for integrating centrally managed and local systems through the sharing of Data services.

The INH program will provide the authoritative source for person information and a trusted source for case information. Person information is updated by all courts statewide from multiple applications, thus requiring that a single version of this Data be maintained in the INH Enterprise Data Repository (EDR). Because case Data for a court is maintained by that court or clerk, the INH needs a copy in order to provide that information to other courts. The design of the INH hub-and-spokes model reduces the number of integration points and frees courts from the responsibility of sharing Data with all other courts. The Data Validation Solution will accomplish the Work necessary to perform 1) Person Address Cleansing 2) Person Identity Management 3) Data Validation 4) Database Compare and 5) Notifications of Data validation status.

1.2 BACKGROUND

1.2.1 Information Networking Hub (INH) Expedited Data Exchange (EDE)

As the world has become more interconnected, Washington State's needs for justice information have evolved. The Administrative Office of the Courts (AOC) provides a range of technology services that support Washington courts' automation, operation and information needs. Each court is now more dependent on information from other courts to provide a comprehensive review of cases and to provide justice. At the same time, some courts are opting to install their own primary case management systems and the AOC is migrating its legacy applications to commercial off-the-shelf (COTS) products. The challenge is to make Data from those disparate systems and applications available on a statewide basis.

The intent of the INH project is to consolidate those efforts necessary to create the EDR model of statewide information that will be the authoritative source of person Data and a trusted source of case Data for the Washington State judicial system. All court case management systems will send statewide Data to this repository. Courts which have their own case management system will use the Data repository to retrieve case information from other courts and create a complete case history for individuals. Likewise, AOC systems will access the EDR to create the case history for courts using the AOC applications. Justice partners, such as the Washington State Patrol (WSP) or Department of Licensing (DOL), will also use the EDR as a source of Data. The Expedited Data Exchange

(EDE) project is intended to implement basic functionality to support King County District Court (KCDC) which plans to implement its own case management system in August 2017. Currently, KCDC is scheduled to begin the User Acceptance Testing of the integration of their case management system to EDR in April 2017. The integration of Judicial Information System (JIS) statewide Data to EDR should be operational before KCDC starts the User Acceptance Test. AOC is working on integrating JIS Data to EDR and this Work is expected to be completed in April 2017. Vendor deliverables for the Data Validation Solution must be completed on or before August, 2017.

1.2.2 Objectives

To meet the program goal for the information integration needs of the judicial system, the following objectives have been identified:

- Establish the capability to share Data across all courts, clerks, and judicial partners throughout the State.
- Create and maintain the technical infrastructure that will provide for optimal Data services development, deployment, and operations.
- Ensure the security of information and processing resources.
- Use standards that promote and maximize interoperability between courts, counties, and other judicial partners.
- Establish the capability to provide real or near-real time information.
- Ensure a high level of Data quality.
- Introduce Data standardization to help integrate Data from disparate information systems.
- Document business rules, apply those rules to the EDR database, and store the Data quality status in the EDR database.
- Convert, migrate, purge, load, and update Data between the JIS database, new CMS databases, and the EDR database.
- Establish a mechanism for supporting courts using the INH.

1.3 FUNDING

This project is authorized by the Judicial Information System Committee (JISC) under the Judicial Information System Committee Rules (JISCR) through the Revised Code of Washington (RCW) Chapter 2.56 and by Court Rules. The Washington state Legislature has appropriated funding for this project from the JIS account during the 2015–2017 biennium. Funding in future biennia is subject to appropriation by the state legislature.

1.4 CONTRACT TERM

The Contract will be effective upon the last date of final signature of both parties. The Contract will provide for an initial one (1) -year term and provide, at AOC's option, for four (4) additional optional one (1) -year terms. Additional optional Contract years will also be dependent upon approval of funding and project need.

1.5 DEFINITIONS

The terms as used throughout this RFP shall have the meanings set forth in **EXHIBIT A - Definitions**.

1.6 RFP COORDINATOR

The RFP Coordinator is the **sole point of contact** in AOC for this procurement. All communication between Vendors and AOC upon receipt of this RFP shall be with the RFP Coordinator as follows:

Jonathan Sutter, RFP Coordinator

360-705-5265 Fax 360-956-5700 E-Mail Jonathan.Sutter@courts.wa.gov

All proposals shall be addressed to the RFP Coordinator in the following manner:

U.S. Postal Service:

Administrative Office of the Courts
Management Services Division
Jonathan Sutter
PO Box 41170
Olympia, Washington 98504-1170

For UPS, FedEx, etc.

Administrative Office of the Courts
Management Services Division
Jonathan Sutter
1112 Quince Street Southeast
Olympia, Washington 98504-1170

Vendors may not send RFP proposals or protests by fax or e-mail communication. These responses must be sent by US Mail. Vendors are encouraged to use fax and/or e-mail for any other communication required in this RFP.

1.7 COMMUNICATIONS

All communications concerning this acquisition must be directed to the [RFP Coordinator](#). **Unauthorized contact regarding the RFP with other state employees may result in disqualification.** Any oral communications will be considered unofficial and non-binding on AOC. Vendors shall reply only on written statements issued by the [RFP Coordinator](#).

Solicitation to AOC employees is prohibited in any form.

1.8 ACQUISITION SCHEDULE

Event	Date	Time
Release RFP to Vendors	Oct 14, 2016	TBD
Pre-Proposal Vendor Conference	Oct 24, 2016	1:30 PM PDT
Written Questions Due From Vendors	Oct 26, 2016	5:00 PM PDT
Question and Answer Document Released	Nov 9, 2016	5:00 PM PDT
Vendor Proposals Due	Nov 29, 2016	5:00 PM PDT
Notification of Top Ranked Vendors for Presentations	Dec 21, 2016	TBD
Vendor Presentations	Jan 10 & 11, 2017	TBD
Notification of Apparent Successful Vendor (ASV)	Jan 20, 2017	TBD
Contract Execution (on or before)	Feb 14, 2017	

The contents of this RFP and any amendments/addenda and written answers to questions will be available on AOC Web site: <http://www.courts.wa.gov/procure/>.

1.9 DELIVERY OF PROPOSALS

The proposal, whether mailed or hand delivered, must be received by the [RFP Coordinator](#) at the address specified no later than the date and time provided in [Section 1.8](#). Late proposals shall not be accepted and shall automatically be disqualified from further consideration. The method of delivery (mailed or hand delivered) shall be at Vendor discretion and it shall be at Vendor's sole risk to ensure delivery at the designated office. Faxed or emailed proposals will not be accepted and will be disqualified.

1.10 LATE DELIVERY OF ANY DOCUMENTS

AOC assumes no responsibility for delays caused by the U.S. Postal Service or other delivery systems regarding any documents relating to this RFP. Time extensions will not be granted. Documents received after a specified deadline will be deemed as nonresponsive and will not be accepted, reviewed, or evaluated.

1.11 NUMBER OF COPIES

Send to the RFP Coordinator:

- Two (2) originals and three (3) identical hard copies and,
- An Electronic version on an unrestricted, non-password-protected CD-ROM or USB flash drive containing
 - One (1) complete copy of the proposal in portable document format (PDF), and
 - One (1) complete copy in native file format readable by MS Office 2003 or newer.
- The original hard copies and the electronic versions submitted must be provided in a separate file for each volume of Vendor's proposal as specified in [Section 2.1](#).

1.12 SINGLE AWARD

Upon Contract award, AOC shall enter into Contract negotiations with one (1) Vendor as result of this RFP.

1.13 EXCEPTIONS TO RFP

Vendors should carefully review this RFP and its exhibits. Any Vendor wishing to take exception to any of the contents of this RFP must notify the [RFP Coordinator](#) in writing as specified in [Section 1.6](#) of this RFP.

1.14 PRE-PROPOSAL VENDOR CONFERENCE

An optional Pre-Proposal Vendor Conference will be held in Olympia, Washington. The purpose of the Pre-Proposal Vendor Conference is for all prospective Vendors to request clarification or additional information necessary to assess the project and prepare a proposal. In order for this process to be as useful as possible, all interested parties are advised to

come prepared with all questions related to this project. Although not required, interested parties are strongly encouraged to submit their questions ahead of time to the [RFP Coordinator](#) so that AOC staff may prepare a full and detailed response.

The Pre-Proposal Vendor Conference is scheduled to be held:

Monday, Oct 24, 2016

1:30 PM to 4:00 PM, PDT

Washington State Administrative Office of the Courts

1112 Quince St SE, Bldg. 1

Olympia, WA 98504

All prospective Vendors are invited to attend; however, attendance is not mandatory for any proposing Vendor. Teleconference capabilities will be made available for this non-mandatory Vendor conference. To assure seating capacity and room facilities, it is strongly recommended all Vendors interested in attending this conference pre-register with the RFP Coordinator no later than 4 p.m. (PDT) Thursday, October 20, 2016, by submitting information via e-mail as provided below:

- Name and address of Vendor.
- Name of person(s) attending on behalf of Vendor with individual contact information (phone number and e-mail address).

Written questions may be submitted in advance to the RFP Coordinator. AOC shall only provide responses to questions submitted by any Vendor. During the conference, no written answers will be provided by AOC to questions submitted by any Vendor. Any oral responses given at the Pre-Proposal Vendor Conference shall be considered unofficial and non-binding.

Per Section 1.18, AOC shall issue a Questions & Answers document providing responses to any Vendor questions submitted by the due date as allowed under the acquisition schedule.

1.15 VENDOR QUESTIONS AND ANSWERS

Specific questions concerning this RFP may be submitted in writing to the RFP Coordinator at the address specified in Section 1.6 of this RFP. E-mail submission of questions is acceptable. The RFP Coordinator must receive questions no later than the date and time specified in Section 1.8. AOC will not respond to Vendor questions submitted after said deadline.

All Vendor questions will be compiled and combined with AOC answers, which shall be presented in written form as a Question & Answer (Q&A) Document to the RFP. The Q&A Document will be published by the RFP Coordinator at the Web site provided in Section 1.8.

It is incumbent upon each potential Vendor to carefully examine the RFP requirements, terms, and conditions. Should any potential Vendor find

discrepancies, omissions, or ambiguities in this RFP, Vendor shall at once request, in writing, an interpretation from AOC RFP Coordinator. Any inquiries, suggestions, or requests concerning interpretation, clarification, or additional information shall be made in writing and delivered to AOC RFP Coordinator via U.S. mail or e-mail at the street or post office box address, or e-mail address specified in Section 1.6 herein, respectively. AOC will not respond to Vendor questions submitted after said date. AOC will provide written answers by the date shown in Section 1.8 herein.

1.16 VENDOR COMPLAINTS

A complaint may be made before a Vendor responds to a solicitation document if Vendor believes that the document unduly constrains competition or contains inadequate or improper criteria. The written complaint must be made to AOC RFP Coordinator before the due date of the proposal; however, the solicitation process may continue.

The RFP Coordinator must immediately forward a copy of the complaint to AOC's ISD Chief Information Officer or his/her designee. Upon internal AOC review, the RFP Coordinator shall reply to Vendor with a proposed solution. AOC decision is final and no further administrative appeal is available.

1.17 AMENDMENT TO THE RFP

In the event that it becomes necessary to revise any part of this RFP, an amendment will be provided to all Vendors who request it, or the amendment may be obtained on the same website as the original RFP was posted.

Vendor is instructed to disregard any oral representations it may have received. Proposal evaluation will be based on the material contained in the RFP and any amendments to the RFP that have been issued.

AOC reserves the right to revise the RFP and to issue amendment(s) to the RFP. For this purpose, the answers to questions that are submitted to the [RFP Coordinator](#), together with other pertinent information, shall be provided as an amendment to the RFP.

AOC also reserves the right to cancel or to reissue the RFP in whole or in part, prior to the execution of a Contract. In the event it becomes necessary to revise any part of the RFP, an amendment shall be published at <http://www.courts.wa.gov/procure/>.

If a conflict exists between amendments, or between an amendment and the RFP, the document issued last shall take precedence.

It is incumbent upon each potential Vendor to carefully examine these requirements, terms, and conditions. Should any potential Vendor find discrepancies, omissions, or ambiguities in this RFP, Vendor shall at once request, in writing, an interpretation from AOC's [RFP Coordinator](#). Any inquiries, suggestions, or requests concerning interpretation, clarification, or additional information shall be made, in writing, (including fax and e-mail transmissions) to AOC's [RFP Coordinator](#), as specified in [Section 1.6](#).

1.18 MANDATORY RESPONSE OVERVIEW

Vendors must complete a response to all requirements within all RFP sections. Proposals may be disqualified for not completing proposal sections. Each Mandatory item is noted with an (M) and scored on a pass/fail basis. Each Mandatory Scored item is noted with an (MS) and scored based on how Vendor response meets compliance with the requirement. Each Desirable Scored item is noted with an (DS) and scored based on how Vendor response meets compliance with the requirement.

In response to each RFP requirement, Vendors must clearly, state whether or not their solution meets the requirement by providing a detailed description of how the proposed solution will meet the requirement. Vendors will be scored based on how well Vendor meets AOC's requirements. Failure to meet an individual requirement will not be the basis for disqualification; however, failure to provide a response may be considered nonresponsive and be the basis for disqualification of the proposal.

1.19 VENDOR PRESENTATIONS

Following scoring of Vendor written proposals, Vendor written proposals shall be ranked. The cost proposal is factored into the scoring equation after the oral presentation described below. Only the cost proposals of those Vendors who were selected to give oral presentations shall be scored. Vendors with top ranked proposals shall be invited to Vendor Presentations phase of the evaluation process.

The presentations, which will also include questions from AOC, will be limited to 2 hours for each invited Vendor. Vendor should be able to present their technical approach to the proposed solutions. Failure to do so may be grounds for disqualification.

1.19.1 Presentation Scheduling

Vendors are required to provide acceptance of the invitation for a presentation to the RFP Coordinator within two (2) business days of receipt of e-mail notification. The RFP Coordinator will provide an individual presentation notification regarding the date and time to each Vendor. Scheduling of Vendor Presentations will be made at the sole discretion of AOC. Vendor must confirm acceptance of the scheduling to the RFP Coordinator within two (2) business days. Once a presentation has been confirmed by a Vendor, AOC may, at its sole discretion, consider a change to the scheduled presentation date/time upon Vendor request.

1.19.2 Presentation Equipment and Internet Access

AOC shall provide meeting and training facilities in Olympia, Washington, for the presentations. AOC will provide facility, lights, and electricity for the presentations. Vendor will provide any additional equipment necessary to perform the presentation for up to 25 AOC attendees.

Multi-media projectors are available and can be provided to Vendor if arrangements are made at the time of scheduling the presentation. Availability of the equipment cannot be guaranteed.

Wireless Internet access is also available. Network availability and effective quality of service cannot be guaranteed.

1.19.3 Presentation Agenda

Invited Vendor shall be required to provide a presentation that includes, but is not limited to, the following agenda items:

- Introductions
- Summary of proposed solution
- Presentation of the proposed functional capabilities
- Presentation of the technical features
- Hands-on demonstration, if a built solution is already available.
- Follow up Q&A session with Vendor

A more detailed agenda and presentation scripts will be generated based on the review of written proposals and provided when the presentation is confirmed for each invited Vendor.

1.20 PROPRIETARY INFORMATION/PUBLIC DISCLOSURE

All responses received shall remain confidential until the evaluation is completed and the apparently successful Vendor is announced or a decision is made not to award this RFP. Thereafter, Vendor responses shall be deemed public administrative records as defined in Supreme Court General [Rule GR 31.1](#).

Any information contained in the response that is considered by the Vendor proprietary and exempt from disclosure under specified provisions of [Rule GR 31.1](#) must be clearly designated by a transmittal letter identifying the portions claimed exempt by page, noting the particular basis for each such exemption. Failure to include such a transmittal letter in a response will be deemed a waiver by a Vendor of any assertion of exemption from disclosure of any portion of their response. In addition to the transmittal letter, any page claimed to be exempt from disclosure must be clearly identified by the word “confidential” printed in the lower right hand corner of the page. Any claim by a Vendor that the entire proposal is exempt from disclosure will not be honored and this would include marking each page, or a majority of the pages, of the proposal as “copyrighted”, “proprietary”, or “confidential” or any similar designation.

If AOC receives a request to view or copy the proposal, AOC shall respond according to public disclosure procedures described in this RFP and GR 31.1. However, if any information is marked as proprietary or confidential in the proposal, AOC shall not make that portion available without giving Vendor an opportunity to seek a court order preventing disclosure. Cost proposals are not proprietary.

Materials submitted in response to this competitive procurement shall become the property of AOC.

Documents provided requestor as a result of a Public Records Request shall be provided electronically. Alternatively, AOC will charge for copying and shipping any copies of materials. Submit Public Records Requests directly to AOC by mail to PublicRecordsOfficer@courts.wa.gov.

AOC will retain RFP records in accordance with AOC Records Retention Schedules, with guidance from the Washington State general retention schedules.

1.21 FAILURE TO COMPLY

For a response to be considered complete, it must respond to all requirements of this RFP. Vendors must provide a response to all sections of the RFP. Vendor's failure to comply with any part of AOC's RFP may result in Vendor's proposal being disqualified for being nonresponsive to AOC request. Refer to [Section 7](#) for further information.

1.22 RECEIPT OF INSUFFICIENT COMPETITIVE PROPOSALS

If AOC receives only one (1) responsive proposal as a result of this RFP, AOC reserves the right to select and award the Contract to the single Vendor.

1.23 MOST FAVORABLE TERMS

AOC reserves the right to make an award without further discussion of the proposal submitted. Therefore, the proposal should be submitted initially on the most favorable terms that Vendor can offer. AOC reserves the right to contact a Vendor for clarification of its proposal during the evaluation process. In addition, if a proposal is selected, AOC reserves the right to enter into Contract negotiations with the ASV, which may include discussion regarding Vendor's approach to meeting the terms of the Contract. Contract negotiations may result in incorporation of some or all of the awarded Vendor's proposal, except to the extent revised in an Attachment to the Contract. Vendor must be prepared to accept this RFP for incorporation into a Contract resulting from ACQ-2016-0520-RFP. The Contract may incorporate some or all of Vendor's proposal. At its discretion, AOC reserves the right to request best and final offers from the RFP finalists. It also is understood that the proposal will become a part of the official procurement file.

1.24 COPYRIGHT PRIVILEGES

All copyright privileges for any material developed to satisfy the terms of any awarded Contract resulting from this RFP process are to remain the property of AOC. Exceptions to this policy must be agreed upon by AOC and specified in writing in Vendor's Contract with AOC. A draft Contract is included as **EXHIBIT B**.

1.25 ACCEPTANCE PERIOD

Proposals providing fewer than ninety (90) days for acceptance by AOC from the due date set for receipt of proposals will be considered nonresponsive and will be rejected. Refer to [Section 2.3.6](#) for additional information.

1.26 ERRORS IN VENDOR RESPONSES

AOC will not be liable for any errors or omissions in Vendor's response. Vendors will not be allowed to alter response documents after the RFP response due date identified in [Section 1.8](#).

AOC reserves the right, at its sole discretion, to waive minor administrative irregularities contained in any response.

1.27 NO OBLIGATION TO BUY/RESPONSE REJECTION

AOC reserves the right to refrain from contracting with any Vendor. The release of this RFP does not obligate AOC to purchase services. Furthermore, AOC reserves the right to reject any or all responses at any time without penalty.

1.28 WITHDRAWAL OF PROPOSALS

Vendors may withdraw a response that has been submitted at any time up to the response due date and time in [Section 1.8](#). A written request signed by an authorized representative of Vendor must be submitted to the RFP Coordinator by postal mail, e-mail, or fax. After withdrawing a previously submitted response, Vendor may submit another response at any time up to the due date and time of Vendor proposals as noted in [Section 1.8](#).

1.29 PROPOSAL REJECTIONS

AOC will make the sole determination of clarity and completeness in the responses to any of the provisions in this RFP. AOC reserves the right to require clarification, additional information, and materials in any form relative to any or all of the provisions or conditions of this RFP.

1.30 NON-ENDORSEMENT

No informational pamphlets, notices, press releases, research reports, and/or similar public notices concerning this project may be released by the ASV without obtaining prior written approval from AOC.

1.31 PAYMENT ADVANCES PROHIBITED

The Constitution of the State of Washington prohibits payments in advance for anticipation of receipt of goods or services. Vendors are paid after services and products are delivered and accepted.

1.32 ELECTRONIC PAYMENT

The State of Washington prefers to utilize electronic payment in its transactions. Upon Contract award, the successful Vendor will be required to register with the State of Washington's Office of Financial Management (OFM) as a statewide vendor. Once registered, Vendors may as an option receive all invoice payments processed by any Washington State agency through direct deposit to Vendor's business account. See <http://www.des.wa.gov/services/ContractingPurchasing/Business/VendorPay/Pages/default.aspx> for more information.

1.33 INVOICES

Vendors selected and subsequently performing services for AOC will provide invoices as defined in the executed Contract. At a minimum, each submitted invoice must include AOC Contract number authorizing the services (i.e., Contract Number PSCXXXXX). See **EXHIBIT B – Draft Contract** for additional information.

1.34 CONDITIONAL SALES CONTRACT

AOC cannot enter into a Contract unless the Contract can be canceled for non-allocation of funds by the legislature with no penalty to the State.

1.35 COST OF PREPARING RESPONSES

AOC is not liable for any costs incurred by Vendor in the preparation of responses submitted to this RFP.

1.36 TRAVEL, HOTEL, PER DIEM COSTS

All travel and per diem will be the responsibility of Vendor and should be considered when preparing the Cost Proposal. No separate allowances or fees will be paid for travel or per diem for Vendor or Vendor agents.

1.37 NOTIFICATION TO UNSUCCESSFUL VENDORS

Vendors whose proposals have not been selected will be notified via e-mail.

1.38 DEBRIEFING OF UNSUCCESSFUL VENDORS

Vendors who submitted a proposal and were not selected as the successful Vendor will be given the opportunity for a debriefing conference. The RFP Coordinator must receive the request for a debriefing conference within five (5) business days after the e-mail notification as an unsuccessful Vendor is sent. The debriefing shall be held within five (5) business days of the debriefing request.

1.39 PROTESTS

Vendors submitting a protest to this procurement shall follow the procedures described herein. Protests of Vendors that do not follow these procedures shall not be considered. This protest procedure constitutes the sole administrative remedy available to Vendor under this procurement. Protests shall not be accepted until after a Vendor has been debriefed.

A person authorized to bind Vendor to a contractual relationship must sign the protest letter. The agency must receive the written protest within five (5) business days after the debriefing conference and must, in turn, immediately notify AOC's designee of receipt of the protest. It must also postpone further steps in the acquisition process until the protest has been resolved.

The protest must state all facts and arguments on which the protesting party is relying. At a minimum, this must include:

1. The name of the protesting Vendor, its mailing address and phone number, and the name of the individual responsible for submission of the protest.

2. Information about the acquisition and the acquisition method and name of the issuing agency.
3. Specific and complete statement of the agency's action(s) being protested.
4. Specific reference to the grounds for the protest.
5. Description of the relief or corrective action requested.

Only protests stipulating an issue of fact concerning a matter of bias or discrimination, or a conflict of interest, arithmetic errors in computing the score, or non-compliance with procedures described in the procurement document shall be considered. Protests not based on procedural matters will not be considered.

In the event a protest may affect the interest of any other Vendor, such Vendor(s) will be given an opportunity to submit their views and any relevant information on the protest to the RFP Coordinator.

Protests shall be addressed to:

Protest Manager
Management Services Division
Administrative Office of the Courts
1112 Quince Street Southeast
Olympia, Washington 98501-1170

Vendor shall also forward a copy of the protest to the RFP Coordinator at the same time it is sent to the AOC Protest Manager.

Upon receipt of a protest, a protest review will be held by AOC to review the procurement process utilized. This is not a review of responses submitted or the evaluation scores received. The review is to ensure that procedures described in the procurement document were followed, all requirements were met, and all Vendors were treated equally and fairly.

The State Court Administrator will designate the Protest Manager. The Protest Manager will be someone from AOC not involved in the protested acquisition process. The Protest Manager with the possible assistance of others not involved in the acquisition process will objectively review the written protest material submitted by Vendor and all other relevant facts known to the agency. AOC will deliver its written decision to the protesting Vendor within five (5) business days after receiving the protest, unless more time is needed. The protesting Vendor will be notified if additional time is necessary. The Protest Manager's decision is final and no further administrative appeal is available.

Protests must be received within five (5) business days from the date of the Vendor debriefing. The AOC Protest Manager or his/her delegate will then consider all the information available to him/her and render a written decision within five (5) business days of receipt of the protest, unless additional time is required. If additional time is required, the protesting party will be notified of the delay.

2. INSTRUCTIONS FOR COMPLETING AND SUBMITTING PROPOSALS

This section establishes the RFP compliance requirements for this acquisition. Vendors must respond and provide detailed information for all items designated Mandatory "M" requirements. Provide all information in the exact order specified in this section. This section is scored as pass/fail, so completeness is a priority to consideration for the scoring phase of the RFP. All items in this section marked (M) must be included as part of Vendor's proposal to be considered responsive.

2.1 MANDATORY PROPOSAL FORMAT

Proposals should be prepared simply and economically, providing a straightforward and concise description of Vendor's ability to meet the requirements of this RFP. Do not use fancy binding, colored displays, or promotional materials. Standard brochures are not to be included in the proposal. Emphasis should be on completeness and clarity of content.

Proposals must be prepared with 12 point font Arial or Times New Roman and printed on single-side, standard 8 ½ x 11-inch paper, using separators for the major sections of the proposal, with each copy bound either by binder clips or in three-ring binders.

See [Section 1.11](#) for format requirements of Vendor proposals, including number of copies to be provided to the Washington State AOC for the evaluation process.

The three volumes of the proposal are to be submitted in the order noted below.

2.1.1 Volume 1

This volume is to include the following in order:

- Administrative Requirements Response (See RFP [Section 2.3](#)).
- Business References (see [Section 2.4](#)).
- Financial and Organizational Response (See RFP [Section 3](#)).

2.1.2 Volume 2

This volume is to include the following in order:

- Management Proposal (See RFP [Section 4](#)).
- Response to Technical Requirements (See RFP [Section 5](#)).
- Response to Business Requirements (See RFP [Section 6](#)).
- Response to Service Requirements (See RFP [Section 7](#)).

2.1.3 Volume 3

This volume is to include the following in order:

- Proposed Solution Costs (See RFP [Section 8](#)).

2.1.4 Volume 4

This volume is to include the following in order:

- Software License Agreement.
- Maintenance Services Agreement.

Proposals must provide information in the same order as presented in this document, with the same headings. This will not only be helpful to the evaluators of the proposal, but should assist Vendor in preparing a thorough response.

2.2 ELECTRONIC MEDIA FORMAT (M)

Vendor must submit a CD-ROM, DVD, or USB flash drive with:

- One non-password-protected and unsecured PDF file for each of the volumes listed above.
- A complete copy of the source files in native format used to produce the entire proposal in a format readable by Microsoft Office 2003 or newer.

2.3 ADMINISTRATIVE REQUIREMENTS

2.3.1 Documents Requiring Original Signatures (M)

The following documents must be signed and dated by a person authorized to bind Vendor to a contractual relationship (the president or executive director if a corporation, the managing partner if a partnership, the proprietor if a sole proprietorship, etc.):

- EXHIBIT C - Certifications and Assurances.
- EXHIBIT E - Vendor Business References.
- EXHIBIT F - Major Subcontractor Business References
- EXHIBIT R - Fixed-Price Certification

2.3.2 Letter of Submittal (M)

The letter of submittal must be on official Vendor letterhead and must be signed by a person authorized to bind your organization to a Contract. Your letter of submittal must include the following in the order given:

- a. Vendor name
- b. Name and title of proposing Vendor authorized representative
- c. Address
- d. Telephone number
- e. Fax number
- f. E-mail address
- g. Statement that proof of required insurance provisions will be provided if awarded a Contract as a result of this RFP.
- h. Statement indicating, as a condition of Contract award, Vendor will register with the OFM as a statewide vendor within ten (10) Business Days of notification of Contract award. See [Section 2.3.12](#) for more information.

2.3.3 Authorized Vendor Representative Identification (M)

Provide information regarding Vendor's only point of contact for communication relating to this RFP.

- Authorized Vendor representative name.
- Title.
- Address.
- Telephone number.
- Fax number.
- E-mail address.

It is Vendor's responsibility to keep this information current during the RFP process and through the life term of any awarded Contract resulting from this acquisition.

2.3.4 Vendor Account Manager (M)

Vendor shall appoint an account manager who will provide oversight of Vendor Contract activities. Vendor's account manager will be the principal point of contact concerning Vendor's performance under this Contract. Vendor shall notify AOC Contract administrator, in writing, when there is a new Vendor account manager assigned to this Contract. Provide the following Vendor account manager information:

- Vendor account manager name
- Title
- Address
- Telephone number
- Fax number
- E-mail address

2.3.5 Proof of Insurance (M)

Each Vendor must indicate, in the submittal letter and as a condition of Contract award, that they will provide proof of insurance from Vendor's insurance carrier, outlining the extent of Vendor's liability coverage.

Vendor shall, at its own expense, obtain and keep in force liability insurance during the term of the Contract. Vendor shall furnish evidence to AOC within fifteen (15) business days of receipt of notice of award of amendment, in the form of a certificate of insurance, that insurance will be provided. Refer to **EXHIBIT B - Draft Contract** for insurance requirements.

2.3.6 Certification of Proposal (M)

Provide a signed copy of the **EXHIBIT C - Certifications and Assurances** as an appendix to Vendor's Proposal. The form must be signed and dated by a person legally authorized to bind Vendor. Each Vendor shall certify their preparation of

the Proposal and stipulate in writing that their Proposal is valid for ninety (90) days after receipt by AOC.

2.3.7 Use of Subcontractors (M)

Vendor must acknowledge and agree that they will be solely responsible for carrying out the requirements of this RFP and any resulting Contract. If Vendor anticipates subcontracting any of the Work, the subcontractor shall be clearly identified in a proposal submitted by Vendor in response to this RFP.

2.3.8 Contracts with AOC (M)

If Vendor is currently under a Contract, or has contracted with AOC within the past twelve (12) months, provide the following information:

- Contract and/or Contract identification (number and/or name).
- Contract and/or Contract begin and end dates.
- Brief statement describing type of services provided.

2.3.9 Contracts with Other Washington State Agencies (M)

If Vendor is currently under a Contract, or has contracted with other Washington State agencies within the past twelve (12) months, provide the following information:

- Agency and organizational unit.
- Agency office/location.
- Contract and/or Contract identification (number and/or name).
- Contract and/or Contract begin and end dates.
- Brief statement describing type of services provided.

2.3.10 Washington State Employee Identification (M)

If Vendor employs, or has on their governing board as of the date of the Proposal, one or more Washington State employees, those individuals must be identified. In addition, if Vendor employs, or has on their governing board as of the date of the Proposal, one or more former (within the last two [2] years) Washington State employees, those individuals must be identified. If, following a review of this information, it is determined by the evaluation committee that a conflict of interest exists, Vendor may be disqualified from further consideration.

- Name of individual.
- State employment separation date.
- Title and/or position within Vendor.
- Statement of responsibilities within Vendor.
- Washington State employing agency.
- Washington State job title and/or classification.
- Current status of Washington State employment.
- Washington State employment separation date.

2.3.11 Vendor Status as a Washington State Business (M)

The ASV must agree to register with the Washington State Department of Revenue. Vendor must also agree to collect and report all applicable state sales taxes.

Vendor must acknowledge and agree to the above requirement.

2.3.12 Register as a Statewide Vendor (M)

The ASV must agree to register with the Washington State OFM as a statewide vendor within ten (10) business days of notification of Contract award.

Vendor must acknowledge and agree to the above requirement.

2.3.13 License Ownership Confirmation (M)

Vendor must include a statement indicating whether the software is owned by Vendor or a third party. If Vendor is not the owner of the software, Vendor must agree to the following:

- Vendor must identify the software owner and provide contact information; and
- Vendor must provide the software owner's licensing terms; and
- Vendor must provide AOC terms and conditions to software owner; and
- Vendor must provide AOC with a copy of the reseller agreement between the software owner and Vendor; and
- Vendor must provide a statement agreeing to the provisions as set forth in the list above, which includes the required information. All software licensing and maintenance agreements must be provided in Volume IV of Vendor proposal.
- Vendor must provide a statement secured from software owner(s) indicating its willingness to participate in Contract negotiations with AOC if selected as the ASV as a result of this RFP. Failure to do so may result in disqualification from this RFP.

2.3.14 Liquidated Damages (M)

EXHIBIT B – Draft Contract includes provisions for liquidated damages, which will be assessed against any Vendor that has executed a Contract with AOC as a result of this RFP. The ASV will be expected to enter into a Contract that contains substantially the same liquidated damages. AOC, at its sole discretion, will review any exceptions, modifications and/or additions to liquidated damages submitted in **EXHIBIT D – Exceptions, Modifications, and/or Additions to Draft Contract**. In no event is a Vendor to submit its own list of qualifying events to which liquidated damages should be considered.

Vendor must provide a statement indicating its willingness to accept the inclusion of liquidated damages in any mutually negotiated Contract resulting from this RFP.

2.3.15 Source Code Escrow (M)

The ASV may be expected to enter into a Source Code Escrow Agreement identifying AOC as a beneficiary for all deposited source code placed in the escrow account created in compliance to this RFP and any awarded Contract. Vendor is responsible to select an escrow company and submit a copy of the escrow agreement in Volume IV of its proposal. All parties providing source code under any awarded Contract must be willing to be identified as a depositor and agree to the terms of the Source Code Escrow Agreement. See **EXHIBIT B – Draft Contract** for contractual terms and conditions regarding Source Code Escrow.

Vendor must provide a statement indicating its willingness to accept the inclusion of a Source Code Escrow Agreement in any mutually negotiated Contract resulting from this RFP. This statement shall be deemed inclusive of all subcontractors and third party software owners as identified in any RFP proposal submitted by a Vendor.

2.3.16 Contract Terms and Conditions (M)

The ASV will be expected to enter into a Contract that is substantially the same as the draft Contract attached hereto as **EXHIBIT B - Draft Contract**. Many clauses are required by Washington State law and cannot be negotiated. In no event is a Vendor to submit its own standard terms and conditions in response to this solicitation and such submittal will result in disqualification. AOC will review requested exceptions and accept or reject the same at its sole discretion but **only modifications and/or additions** will be open to negotiations. A Vendor must provide one of the two (2) following statements in response to this mandatory requirement:

“Vendor accepts the terms of the draft Contract as provided in EXHIBIT B - Draft Contract.”

Or

“Vendor accepts the terms of the draft Contract as provided in EXHIBIT B - Draft Contract, EXCEPT FOR those areas identified in the submitted EXHIBIT D – Exceptions, Modifications, and/or Additions to Draft Contract in this RFP Proposal.”

All identified exceptions, modifications, and/or additions shall be included as **EXHIBIT D – Exceptions, Modifications, and/or Additions to Draft Contract** to the Proposal as set forth below in this section. Identify each proposed exception, modification, and/or addition in the following format:

- State the Contract page number as reference.
- State the Contract paragraph in full as originally provided in **EXHIBIT B - Draft Contract**.
- State the proposed revised paragraph verbiage in full.

2.3.17 Vendor Profile (M)

Vendor must provide the following information:

2.3.17.1 Legal Entity – Vendor

Provide the name, address, telephone number, and fax number of the legal entity with whom AOC may execute any Contract(s) arising from this procurement.

2.3.17.2 Legal Status – Vendor

Describe the legal status of Vendor; for example, corporation (including state of incorporation), limited liability company (including state of incorporation), partnership (including state of registration), sole proprietor, etc. Additionally, provide a Federal Employer Tax Identification number (TIN) and Washington State Unified Business Identification (UBI) number.

2.3.17.3 Principal Officers and Account Manager – Vendor

Furnish the name, address, e-mail address, telephone number, and fax number of the principal officers of your firm and the proposed account manager for any Contract arising from this procurement.

2.3.18 Major Subcontractor Profile (M)

Vendor must provide the following information:

2.3.18.1 Legal Entity Contact Information- Major Subcontractor(s)

Provide the name, address, telephone number and fax number of the legal entity of any Major Subcontractor whom Vendor has identified in its proposal to this RFP.

2.3.18.2 Legal Status – Major Subcontractor(s)

Describe the legal status of any Major Subcontractor (corporation [including state of incorporation], limited liability company [including state of incorporation], partnership [including state of registration], sole proprietor, etc.) with whom Vendor has identified in its proposal to this RFP. Additionally, provide a Federal Employer TIN and, if available, a Washington State UBI number for each Major Subcontractor.

2.3.18.3 Principal Officers and Account Manager Contact Information – Major Subcontractors

Provide the name, address, e-mail address, telephone number, and fax number of the principal officers and the proposed account manager of each Major Subcontractor whom Vendor has identified in its proposal to this RFP.

2.3.19 Prior Contract Performance – Terminated for Default (M)

If Vendor had any Contract terminated for default during the five (5) years immediately preceding the date of this RFP, Vendor must describe all such incidents. Termination for default is defined as notice to stop performance due to Vendor's non-performance or poor performance and the issue was (a) not litigated in court or in an alternative dispute resolution setting or (b) litigated,

either in court or in an alternative dispute resolution setting, and the decision or judgment was that Vendor was in default.

Submit full details of all terminations for default experienced by Vendor in the past five (5) years, including the other party's name, address, and telephone number. Present Vendor's position on the matter.

If Vendor has experienced no such terminations for default in the past five (5) years, the Vendor must make this declaration in their response.

It is not acceptable for Vendor to state that the requested information will be provided only if and when Vendor is selected as the ASV. It also is not acceptable for Vendor to include only legal action that resulted from terminations for default.

2.3.20 Prior Contract Performance – Terminated for Convenience (M)

If Vendor had any Contract terminated for convenience by Vendor client during the five (5) years immediately preceding the date of this RFP, Vendor must describe all such incidents. Termination for convenience is defined as Vendor client notice received by Vendor to immediately stop performance under any Contract, but not due to Vendor's non-performance or poor performance.

Submit full details of all terminations for convenience experienced by Vendor in the past five (5) years, including the other party's name, address, and telephone number. Present Vendor's position on the matter.

If Vendor has experienced no such terminations for convenience in the past five (5) years, the Vendor must make this declaration in their response.

2.4 BUSINESS REFERENCES (M)

Vendor must supply references for Vendor organization and any major Subcontractor(s) in the manner described below.

2.4.1 Vendor Business References (M)

Vendor must supply names, addresses, and telephone numbers of a minimum of three (3) non-Vendor-owned business references for which Vendor has completed similar work within the last five (5) years. Include a brief description of the type of service provided. All customer references should be of comparable size and complexity to AOC project. Vendor must grant permission to AOC to independently contact the references at AOC's convenience. Do not include current AOC staff as references. **EXHIBIT E - Vendor Business Reference** form provides a form that must be completed for each of the references.

2.4.2 Major Subcontractor Business References (M)

Vendor must supply names, addresses, and telephone numbers of a minimum of three (3) non-Vendor/Subcontractor-owned business references for which any major Subcontractor has completed similar Work within the last five (5) years. Include a brief description of the type of service provided. All customer references should be of comparable size and complexity to AOC project. Vendor must grant permission to AOC to independently contact the references at AOC's

convenience. Do not include current AOC staff as references. **EXHIBIT F - Major Subcontractor Business Reference** provides a form that must be completed for each of the references.

3. FINANCIAL AND ORGANIZATION REQUIREMENTS

3.1 SECTION REQUIREMENTS

This section requires information concerning the financial and organizational capacity of Vendor submitting a proposal in response to this RFP. Vendors must respond and provide detailed information for all items designated Mandatory (M) requirements. Vendors must provide all information in the exact order specified in this section.

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear, and directly address the specific requirement.

Responses to this section must be stand-alone in order to facilitate evaluation and scoring.

3.2 FINANCIAL INFORMATION (M)

Vendor must provide all information requested in the exact order specified below. This section is scored on a pass/fail basis. Failure to respond to any mandatory requirements will be viewed as nonresponsive and the proposal may be disqualified.

3.2.1 Federal Employer TIN (M)

Vendor must provide its Federal Employer TIN.

3.2.2 Washington UBI Number (M)

Vendor must provide its UBI number. A UBI number is a nine-digit number that registers a company with several state agencies and allows that company to do business in Washington State. A UBI number is sometimes called a tax registration number, a business registration number, or a business license number. Please visit the Washington State Department of Revenue's Web site at the link below for more information on business registration requirements.

<http://dor.wa.gov/Content/DoingBusiness/RegisterMyBusiness/Default.asp>

If Vendor does not have a UBI number, Vendor must indicate in its response to this section "<Vendor Name> confirms that we will register for a UBI number within ten (10) business days of notification of Contract award."

3.3 BUSINESS DESCRIPTION AND ORGANIZATION (M)

Vendor must provide all information requested below.

3.3.1 Business Identification (M)

Vendor must provide an overview of Vendor, including but not limited to the following:

- Vendor's name and address and main business location.

- The location of the facility from which Vendor would operate, the telephone, fax, and e-mail address.
- Vendor's start-up date.
- Summary of Vendor's pertinent expertise, skills, client base, and services that are available for this project.

3.3.2 Company Officers (M)

Vendor must provide the names, addresses, and telephone numbers of principal officers (President, Vice President, Treasurer, Chairperson of the Board of Directors, etc.).

Vendor must state the name, the title or position, address, e-mail address, fax, and telephone numbers of the individual who would have primary responsibility for the project resulting from this RFP. Vendor must disclose who within Vendor organization will have prime responsibility and final authority for the Work under the proposed Contract. Vendor must name other individuals providing service on the project.

3.3.3 Legal Status (M)

Describe the legal status of Vendor; for example, corporation (including state of incorporation), limited company (including state of incorporation), partnership (including state of registration), sole proprietorship, etc.

4. MANAGEMENT PROPOSAL

4.1 SECTION REQUIREMENTS

This section requires information about the qualifications of the proposing Vendor and Major Subcontractors, setting forth the mandatory minimum organizational requirements. In addition, it requires information about the proposed project team, approach, Work plan, project management approach, and system support approach. In addition, it requires that Vendor list all assumptions related to this section of the proposal. Vendors must respond and provide detailed information for all items designated Mandatory (M) and Mandatory Scored (MS) requirements. Vendors must provide all information in the exact order specified in this section.

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear, and directly address the specific requirement.

Responses to this section must be stand-alone in order to facilitate evaluation and scoring.

4.2 VENDOR AND MAJOR SUBCONTRACTOR QUALIFICATIONS

4.2.1 Vendor Qualifications (MS)

Vendor must provide a concise description of three (3) relevant experiences in implementing Data validation solutions. This should include providing technologies, software, and services for organizations of a similar scale to AOC. Vendor should demonstrate substantial experience in providing these solutions to customers. These descriptions should be in sufficient detail to demonstrate to evaluators Vendor's capability in delivering such a solution.

4.2.2 Major Subcontractor Qualifications (MS)

If Vendor proposes to employ Major Subcontractor(s), Vendor must provide a concise description of three (3) relevant experiences for each Subcontractor in support of implementing a Data validation solution. These descriptions should be in sufficient detail to demonstrate to evaluators Vendor's capability in delivering such a system solution.

4.3 PROPOSED PROJECT TEAM

Vendor must provide a brief overview of how the project team will be organized, including each project team member, who will perform each element of the Work, and where the Work will be performed by responding to the following subsections.

4.3.1 Key Project Staff (MS)

Vendor must identify all staff members filling key roles on the project team and describe their roles on the project. Vendor must state the name, title or position, e-mail address, mailing address, and telephone number of the individual who will have primary responsibility for service delivery. Vendor must disclose who within

the firm will have prime responsibility and final authority for the Services provided under the proposed Contract.

Vendor may not change or replace any of the staff assigned to this Contract without prior approval of AOC, which approval will not be unreasonably withheld. Vendor is not responsible for delays or repeated tasks caused by factors outside its control. These factors include, but are not limited to, availability of AOC personnel, equipment, and telecommunication provider services.

AOC will use reasonable efforts to take into account Vendor's schedule, but in all events, the performance of Services is subject to the availability of AOC personnel and resources, as determined by AOC.

Vendor shall be responsible to ensure that all its employees are properly trained, certified, or licensed for the Services to be performed and are properly qualified by education and experience to perform the Work to which they will be assigned. Vendor shall avoid overstaffing the Work or shuffling personnel assigned to Work.

4.3.2 Proposing Vendor's Project Organization Chart (MS)

Vendor must propose a project organization capable of performing the scope of Work and successfully providing the proposed solution. This team must provide, at a minimum, the following capabilities:

- Project management.
- Enterprise architecture and application design.
- Application development and integration.
- Technical writing.
- Testing.
- Training.
- Application support and maintenance for the warranty period.

Vendor must provide a description of the proposed service organization, describe how the team will be managed during the course of service delivery, and provide a project team organization chart for its proposed team. This chart must present the reporting relationships with AOC.

4.3.3 Proposing Vendor's Responsibilities and Qualifications (MS)

As provided in [Section 4.3.1](#), Vendor must identify responsibilities and qualifications of key project staff who will be assigned to service delivery. For all named staff, Vendor must provide a detailed biography of experience relevant to their roles. Resumes for each of these persons must be provided in response to this section. The resumes must include information regarding their particular skill sets, education, experience, significant accomplishments, and other pertinent information. They must be no longer, than four (4) pages for each key project staff member.

4.3.4 Staff Certifications (M)

Vendor must provide, for each of the key project staff, a complete description of any required certification, including but not limited to, name of staff, type of certification, date of issuance, and date of expiration. Compliance to this requirement shall be required of the ASV for key project staff replaced during any Contract term.

4.4 STATEMENT OF WORK (MS)

4.4.1 Overall Approach (MS)

Vendor must fully describe the proposed approach to meet or exceed project goals and the requirements of the RFP. Vendor shall present the general strategies and tactics that will be employed to meet the objectives, timeframes, and resource constraints set forth in the **APPENDIX B - Draft Statement of Work**.

4.4.2 Analysis and Design Approach (MS)

Vendor must describe the approach, strategies, tactics, tools, and timing it will employ to perform the initial analysis and design of the Data validation solution. Vendor must describe how it will involve AOC staff, how analysis and design artifacts will be reviewed and approved, and how analysis and design walk through will be conducted, and design artifacts will be managed through the project.

4.4.3 Proof of Concept (MS)

Vendor must describe the approach, strategies, tactics, tools, and timing it will employ to perform the proof of Concept, walk through of design and coding. Vendor must describe how it will involve AOC staff, how proof of concept artifacts will be reviewed and approved, and how proof of concept will be conducted, and the artifacts will be managed through the project.

4.4.4 Approach to develop coding solution (MS)

Vendor must describe the approach, strategies, tactics, tools, and timing it will employ to develop coding solutions to the tasks in **APPENDIX B - Draft Statement of Work**, to meet the needs of AOC. Vendor must describe how AOC will cost effectively and efficiently maintain and enhance the resulting solution without further assistance from Vendor.

4.4.5 Testing Methodology (MS)

Vendor must describe the testing approach, tests, test plan, strategies, tactics, tools, traceability, and timing it will use to implement and manage a rigorous test protocol, which ensures that the requirements of the RFP are met. AOC will effectively and efficiently conduct System Testing and Acceptance Testing to ensure that the product meets the agreed acceptance criteria.

4.4.6 Training Approach (MS)

Vendor must describe the training approach, strategies, tactics, tools, and timing it will employ to meet the training requirements in this RFP. Vendor must include, at a minimum, the following information in response to this section:

- Description of the knowledge transfer and training for internal AOC developers, integrators, and support staff.

4.5 DETAILED PROJECT PLAN (MS)

Vendor must provide a detailed project Work Plan and schedule for this engagement (e.g., tasks of four [4] -week duration or less). It must be presented in a Gantt chart format identifying when tasks are to be completed and when deliverables will be presented for acceptance.

4.6 PROJECT MANAGEMENT APPROACH

Vendor must describe its approach, strategies, and tactics for monitoring, controlling, and reporting project performance across all elements within the scope of this engagement. In addition, Vendor must describe how it will coordinate its project management efforts and reporting with the efforts of the ISD Project Management Office (PMO) by responding to the following subsections.

4.6.1 Project Status Reports (MS)

Vendor must describe how it will compile and deliver the project status information in a manner that meets or exceeds the requirements of this RFP. Refer to **APPENDIX B - Draft Statement of Work** for additional information.

4.6.2 Change Management (MS)

Vendor must describe its approach for managing scope, budget, and schedule. This change management approach should include how potential revisions to scope, budget, or schedule will be identified, recorded, reviewed, and potentially approved by AOC. This approach must address coordination with AOC ISD PMO.

Following Contract execution, Vendor and AOC will jointly establish a change management process.

Vendor must supply samples of change management documentation with its proposal.

4.6.3 Issue Resolution (MS)

Vendor and AOC will jointly establish an issue resolution and communication process.

Vendor must describe its proposed process and implementation approach for issue documentation, tracking, communications, and resolution. This approach must address coordination with AOC ISD PMO. Following Contract execution, Vendor and AOC will jointly establish the issue resolution process.

Vendor's descriptions must describe in detail how they intend to track, prioritize, escalate, and resolve issues in a timely manner. Vendor should supply sample issue management documentation with its proposal.

4.6.4 Risk Identification and Management (MS)

Vendor is responsible for planning and implementing a methodology for risk management in coordination with AOC.

Vendor must describe its risk management approach and methodology, identify potential risks, and discuss steps that might be taken to mitigate those risks. This approach must address coordination with AOC ISD PMO. Following Contract execution, Vendor and AOC will jointly establish the issue resolution process.

Vendor should include samples of forms or documents used in the development of a risk assessment and mitigation plan.

4.6.5 Compliance with AOC Enterprise Reviews (MS)

Vendor must describe how it will comply with all standard enterprise-wide AOC reviews and protocols throughout the development life cycle of this project. These include architectural reviews (for alignment of solutions with AOC's enterprise architectural standards), Data design reviews (for alignment with AOC Data standards), AOC's quality assurance framework, and AOC's release management policies and procedures. Refer to **APPENDIX B - Draft Statement of Work** for additional information.

4.7 APPROACH TO SYSTEM SUPPORT (MS)

Vendor must present a detailed transition plan as part of the proposal. These plans must address all the requirements of the RFP, including but not limited to the production support, maintenance, and enhancement of application software support, hardware, and documentation.

4.8 ASSUMPTIONS (MS)

Vendor must describe all of the assumptions used in the development of responses to this section of this RFP.

5. TECHNICAL REQUIREMENTS

5.1 SECTION REQUIREMENTS

This section requires information about the technical solution proposed to meet the needs of Data Validation Solution. It requires Vendor to complete a technical requirements compliance matrix. Vendor must describe its proposed technology architecture and present an inventory of the equipment and software required and proposed to effectively implement, operate, and maintain the solution. Vendor must describe how hardware and software will be deployed, installed, and configured. Finally, this section requires that Vendor list all assumptions related to this section of the proposal. Vendors must respond and provide detailed information for all items designated Mandatory Scored (MS) requirements. Vendors must provide all information in the exact order specified in this section.

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear, contain the likelihood of completion that matches AOC's timeline and directly address the specific requirement.

5.2 RESPONSE TO TECHNICAL COMPLIANCE MATRIX (MS)

EXHIBIT G - Technical Requirements Compliance Matrix provides the Technical Requirements for the INH EDE Data validation Solution. This exhibit provides the technical requirements for which the selected Vendor must meet compliance. Vendor must describe how its solution complies with the requirements by completing the **EXHIBIT G - Technical Requirements Compliance Matrix**. Detailed instructions for completing the exhibit can be found on the first worksheet in the exhibit. Supporting information for completing this compliance matrix is in the following additional RFP Exhibits.

- EXHIBIT H - INH EDE Overview
 - It provides background of INH EDE Program, business problem, program background, objectives, goals, assumptions and constraints, program principles etc.
- EXHIBIT I - EDR technical environment
 - It provides EDR Database Logical Model, EDR Data Migration and Data Update Sources Roadmap, Data Validation Sequence of Operations, EDR Security, EDR Deployment Process and Connecting to EDR OData Services.
- EXHIBIT K - Data validation High Level Design (Guidelines)
 - It provides high level design for Rules Management, Rules Execution Engine, Address Cleansing, Scoring & Identify Associations and Person/Actor Management and Notifications.

- EXHIBIT L - JIS Data Standards for Alternative Electronic Court Record Systems
 - This document provides statewide shared Data elements (See Appendix A – Shared Data Elements), Shared Data Standards, Data Elements time standards etc.

5.3 PROPOSED TECHNOLOGY ARCHITECTURE (MS)

In twenty (20) pages or less, Vendor must describe the information technology architecture of the proposed solution. At a minimum, the description must explain the application, integration, hardware, network, and security architecture. In addition, the proposed information technology architecture must describe how the proposed Data validation Solution will interoperate and leverage the Washington State AOC Information Networking Hub (INH) as described in **EXHIBIT H - INH EDE Overview**.

5.4 EQUIPMENT REQUIREMENTS

Vendor must specify all the equipment and infrastructure that are required to effectively and efficiently operate the solution as proposed. Vendor must provide responses to the following subsections.

5.4.1 Required Hardware (MS)

Vendor must provide a detailed inventory of all the hardware required to efficiently and effectively operate the solution as proposed. This inventory should consider the hardware needs of AOC Data center.

5.4.2 Infrastructure Requirements (MS)

Vendor must specify the infrastructure and quality of service required to efficiently and effectively operate the solution as proposed. This must identify the minimum requirements at the AOC Data center.

5.5 SOFTWARE REQUIREMENTS

Vendor must specify ancillary software that is required to effectively and efficiently operate the solution as proposed. Vendor must provide responses to the following subsections.

5.5.1 Required Software (MS)

Vendor must provide a detailed inventory of all the ancillary software required to efficiently and effectively operate the solution as proposed. This inventory should consider the software supporting the following: information technology monitoring; security; authentication, and authorization; testing; training; configuration; documentation; help; and software development.

This inventory must, at a minimum, identify:

- Application provider.
- Application name and version.

- Options and configuration.
- Quantity that must be installed each fiscal year (July-June).
- License terms, including the scope and term.
- Whether the required software is included in the proposed cost.

License agreements must be submitted in Volume IV of Vendor's response to this RFP.

5.6 INSTALLATION REQUIREMENTS

Vendor must identify the efforts, AOC organization, and other resources required to completely and successfully install, maintain, and administer the proposed solution by responding to the following subsections.

5.6.1 Hardware Installation and Maintenance (MS)

Vendor must fully describe the tasks, schedule, AOC organization, and other resources required to install, maintain, and administer the hardware that supports the proposed solution. Resources considered in this description must include, but are not limited to, specialized information technology and communications personnel, hardware, software, and infrastructure resources; specialized tools; and ancillary goods. The description must explain what tasks, schedule, and resources are required to install the hardware at the Data center and at each of the court/county locations (if applicable). Vendor must identify which tasks it expects AOC to perform and what resources it expects AOC to provide.

5.6.2 Software Installation and Maintenance (MS)

Vendor must fully describe the tasks, schedule, AOC organization, and other resources required to install, maintain, and administer the software that supports the proposed solution. Resources considered in this description must include, but are not limited to, specialized information technology and communications personnel, hardware, software, and infrastructure resources; specialized tools; and ancillary goods. The description must explain what tasks, schedule, and resources are required to install the software at the Data center and at each of the court/county locations (if applicable). This must address both initial installation and administration of upgrades. Vendor must identify which tasks it expects AOC to perform and what resources it expects AOC to provide.

5.7 CONFIGURATION REQUIREMENTS (MS)

Vendor must fully describe the tasks, schedule, AOC organization, and other resources required to configure, maintain, and administer the hardware, software, and infrastructure to support the proposed solution. Resources considered in this description must include, but are not limited to, specialized information technology and communications personnel, specialized tools, and ancillary goods. The description must explain what tasks, schedule, and resources are required to configure the solution. This must address both initial installation and administration of upgrades. Vendor must identify which tasks it expects AOC to perform and what resources it expects AOC to provide.

5.8 SOFTWARE DEPLOYMENT (MS)

Vendor must fully describe the tasks, schedule, and resources required to initially deploy software to support the proposed solution. In addition, Vendor must provide a parallel description of the requirements for testing and deploying software updates and upgrades. Resources considered in this description must include, but are not limited to, specialized information technology and communications personnel, specialized tools, and ancillary goods. The description must explain what tasks, schedule, and resources are required to deploy all required software. Vendor must identify which tasks it expects AOC to perform and what resources it expects AOC to provide.

5.9 DOCUMENTATION REQUIREMENTS (MS)

Vendor must present a detailed inventory of the documentation it will provide for the proposed solution. This must clearly identify how it meets or exceeds the requirements of the deliverable description for documentation as set forth in this RFP. In addition, it must explain how this documentation is managed and updated as the applications that make up the proposed solution are updated and reconfigured.

5.10 ASSUMPTIONS (MS)

Vendor must describe all of the assumptions used in the development of responses to this section of this RFP.

6. BUSINESS REQUIREMENTS

6.1 SECTION REQUIREMENTS

*This section requires information about how Vendor's solution meets the operational needs of the AOC. Vendor must describe how AOC will use the proposed solution in their operations and clearly identify the functional capabilities of its proposed solution by completing the **Business Requirements Compliance Matrix provided in EXHIBIT M**. Finally, this section requires that Vendor list all assumptions related to this section of the proposal. Vendors must respond and provide detailed information for all items designated Mandatory Scored (MS) requirements. Vendors must provide all information in the exact order specified in this section.*

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear, and directly address the specific requirement.

Responses to this section must be stand-alone in order to facilitate evaluation and scoring.

6.2 RESPONSE TO BUSINESS REQUIREMENTS COMPLIANCE MATRIX (MS)

The Business Requirements are included in **EXHIBIT M – Business Requirements Compliance Matrix**.

EXHIBIT M provides the Business Requirements for the INH EDE Data validation solution. This exhibit provides the business requirements for which the selected Vendor must meet compliance. Vendor must describe how its solution complies with the requirements by completing the **EXHIBIT M – Business Requirements Compliance Matrix**. Detailed instructions for completing the exhibit can be found on the first worksheet in the exhibit.

In support of the business requirements provided in **EXHIBIT M – Business Requirements Compliance Matrix**, AOC has provided some business use cases and sample Data validation rules. The business use case can be found in **EXHIBIT N – Business Use Cases** and the sample Data validation rules can be found in **EXHIBIT S – Sample Validation Rules**.

Additional supporting information for completing this Business Requirements Compliance Matrix can be found in the following RFP Exhibits.

- EXHIBIT H - INH EDE Overview
- EXHIBIT I - EDR Technical Environment
- EXHIBIT K - Data Validation High Level Design (Guidelines)
- EXHIBIT L - JIS Data Standards for Alternative Electronic Court Record Systems

7. SERVICE AND DELIVERABLE REQUIREMENTS

7.1 SECTION REQUIREMENTS

This section requires information about how Vendor will provide the services required to effectively implement Data validation solution in AOC. Vendor must confirm that they will provide the required services and deliverables. In addition, Vendor must identify whether they offer any additional services on an optional basis. Finally, this section requires that Vendor list all assumptions related to this section of the proposal. Vendors must respond to and provide detailed information for all items designated Mandatory (M) and Mandatory Scored (MS) requirements. Vendors must provide all information in the exact order specified in this section.

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear, and directly address the specific requirement.

Responses to this section must be stand-alone in order to facilitate evaluation and scoring.

7.2 RESPONSE TO SERVICE REQUIREMENTS COMPLIANCE MATRIX (MS)

The minimum services that make up the scope of Work for this engagement are listed in **EXHIBIT O - Service Requirements Compliance Matrix**. The exhibit lists the service requirements in five categories:

1. Project Management.
2. Project Management Plan.
3. Person Address Cleansing.
4. Person Identity Management.
5. Data Validation.
6. Database Compare.
7. Notifications.

Vendor must confirm that it will perform the required services within the scope of this engagement. It must do so by completing the Service Requirements Compliance Matrix in **EXHIBIT O - Service Requirements Compliance Matrix**, following the detailed instructions in that exhibit.

7.3 DELIVERABLE REQUIREMENTS (MS)

The required services for this engagement will produce a number of deliverables. Vendor must specify that it will produce the deliverables listed in **EXHIBIT P – Deliverable Compliance Matrix**, by completing the matrix in that exhibit following the detailed instructions. If Vendor proposes to deliver additional work products, it must use the appropriate worksheet in this exhibit to specify any such deliverables.

7.4 WARRANTY REQUIREMENTS (M)

Vendor warrants that all software meet the functional and performance requirements as described in this RFP. Vendor warrants that all goods and work products provided under this Contract are free of defects and faults in material, design, and workmanship conditions

If Vendor does not require a certified installation for ongoing maintenance and support, so declare.

7.5 STANDARDS OF PERFORMANCE AND ACCEPTANCE

7.5.1 Standards of Performance (M)

The proposed solution must meet the following standards of performance:

- The Data validation solution should operate 24 hours a day, 7 days a week.

The proposed solution must enable and directly support this level of application performance. Vendor must declare that the proposed solution, at a minimum, meets these standards of performance.

7.5.2 Standards of Acceptance (M)

Vendor must meet the standards of Acceptance set forth in **EXHIBIT P – Deliverable Compliance Matrix**.

8. PROPOSED SOLUTION COSTS

8.1 SECTION REQUIREMENTS

This section establishes the Cost Proposal requirements for this RFP. Vendors must respond to and provide detailed information for all items designated Mandatory (M) and Mandatory Scored (MS) requirements. Vendors must provide all information in the exact order specified in this section.

Vendors are encouraged to include information in their responses that is critical to service delivery and provides competitive advantage. AOC does not desire highly conceptual responses. Preference will be given to Vendor responses that are brief, clear and directly address the specific requirement.

Responses to this section must be stand-alone in order to facilitate evaluation and scoring.

8.2 PRICING INFORMATION

This procurement will involve a negotiated Contract involving, software, and professional services. The lowest-priced proposal will not necessarily be the winner of this procurement and will not be considered unless the Vendor is selected to give an oral presentation to AOC.

8.3 AWARD NOT BASED ON PRICE ALONE

The evaluation process is designed to award this procurement not necessarily to Vendor with the lowest cost, but rather to Vendor whose Proposal best meets the requirements of this RFP. A cost proposal will not be scored unless a Vendor is selected to give an oral presentation.

8.4 STATE SALES TAX

Vendor will be required to collect and pay Washington State sales tax, if applicable.

8.5 COST PROPOSAL SHEETS

8.6 DETAILED DELIVERABLE COSTS (MS)

The detailed deliverable cost sheets are included in the RFP as **EXHIBIT Q - Cost Sheet - Deliverables**. Vendor must use these worksheets, in accordance with the instructions in the exhibit, to identify the detailed costs that compose the total cost of each proposed deliverable.

8.6.1 Cost Sheets - Deliverables (MS)

The Cost Sheet – Deliverable is provided as **EXHIBIT Q - Cost Sheet - Deliverables**. This summary cost sheet includes worksheets for several categories of deliverables required under this RFP. Instructions for completing **EXHIBIT Q - Cost Sheet - Deliverables** are provided on the first page. Vendor must list each proposed deliverable and its total cost.

8.7 FIXED FIRM PRICE CERTIFICATION (MS)

Vendor must submit a lump-sum cost for the overall deliverables and Services required in this RFP using the **EXHIBIT R - Fixed-Price Certification**. This form

must be signed by a person authorized to bind Vendor to a Contract. Cost shall be inclusive of all expenses.

9. PROPOSAL EVALUATION

Evaluations will be based only upon information provided in Vendor's response. In those cases where it is unclear to what extent a requirement has been addressed, the RFP Coordinator may contact Vendor to clarify specific points in a response. Vendors should take every precaution to assure that all answers are clear, complete, and directly address the specific requirement. Responses will be evaluated in accordance with the requirements set forth in this RFP and any issued addenda.

9.1 EVALUATION PROCESS

Vendor responses will be evaluated by subject matter experts (SMEs) selected by the RFP Coordinator. These evaluators will be representatives from AOC. The RFP Coordinator will not serve as an evaluator but will facilitate the evaluation process and may develop information for presentation to the team.

The process for awarding a Contract as a result of this RFP may be done in phased efforts. Vendor's proposal will be evaluated based on the process outlined below. The top scoring Vendor(s) will proceed to the next step in the evaluation process. Proposals with tied scores will be treated equally, and the tied Vendors' proposals will be moved forward to the next phase if they are among the top scoring Vendors and AOC chooses to further the evaluation process.

The steps in the evaluation process include:

- Receipt of Proposals.
- Administrative Review (Pass/Fail).
- Risk Assessment.
- Evaluator Review of Proposals (Scored).
- Vendor Presentations (Scored).
- Cost Evaluation of Vendors who gave Presentations (Scored).
- Reference Checks (Scored).
- Summary and Recommendation.
- Optional Best and Final Offer.

9.2 MANDATORY REQUIREMENTS

In the Administrative Review, the RFP Coordinator will review Vendor responses to determine compliance with the Mandatory (M) requirements specified in Sections 2, 3, 4, and 8. Only responses passing all Mandatory requirements will be further evaluated.

In addition, a risk analysis of Vendor’s response to [Section 3](#) shall be completed by AOC to determine Vendor’s ability to successfully complete a contractual engagement due to financial, legal, or organizational risks.

9.3 MANDATORY SCORED REQUIREMENTS: MANAGEMENT, TECHNICAL, BUSINESS, AND SERVICES

Responses that pass all Mandatory requirements will be further evaluated and scored. Evaluators will review and assign a score to Vendor responses to Mandatory Scored (MS) requirements in [Sections 4, 5, 6, and 7](#). The score will be based on how well Vendor’s response matches the requirements of each section.

9.4 MANDATORY SCORED REQUIREMENTS: COST PROPOSAL

Cost Proposals of those Vendors who gave oral presentations will be scored.

Vendor’s score for the Cost Proposal section will be computed as follows:

The score for Vendor’s Cost Proposal will be computed by dividing the lowest submitted Fixed Price by Vendor’s total cost submitted by the Vendors who were selected to give oral presentations. This cost will have been provided in their signed **EXHIBIT R - Fixed-Price Certification**. Then the resultant number will be multiplied by the maximum possible points for the cost section.

Example:

Vendor A \$100,000.00
 Vendor B \$115,000.00
 Vendor C \$130,000.00
 Maximum Points Possible: 100 points

Vendor A	Vendor B	Vendor C
$\frac{100,000}{100,000}$	$\frac{100,000}{115,000}$	$\frac{100,000}{130,000}$
1 x 100 points	.87 x 100 points	.77 x 100 points
100 points	87 points	77 points

9.5 PASS/FAIL EVALUATIONS

Vendors receiving a failing score on any Mandatory requirement shall be viewed as not meeting the minimum Mandatory requirements and may be eliminated from further consideration.

9.6 EVALUATION CRITERIA

Specific Criteria for RFP Evaluation:

Review Component	Results/ Points	Component Weight	Phase Total	Evaluation Phase Weight
Administrative	Pass/Fail			
Business References	Pass/Fail			
Management Proposal	100	15%	100%	Phase I 40%
Business Requirements	100	35%		
Technical Requirements	100	35%		
Service and Delivery Requirements	100	15%		
Presentations	100	100%	100%	Phase II 30%
Costs*	100	100%	100%	Phase III 30%
Total				100%
*limited to Vendors who were selected for oral presentations				

9.7 BEST AND FINAL OFFER

When in the best interest of AOC, the RFP Coordinator may request a Best and Final Offer from Vendor if its proposal is still being considered for award. Vendor may revise its initial proposal and submit, in writing, its Best and Final Offer.

9.8 AWARD BASED ON MULTIPLE FACTORS

The evaluation process is designed to award the Contract to Vendor whose proposal best meets the requirements of this RFP.