Open Forum – Questions for DOL & AOC

Wednesday, April 20th, 2022

Zoom Link: https://wacourts.zoom.us/j/95550229687

Q & A NOTES

Reporting DUIs with Blood Draws

Q: "How do we properly report a DUI with a 'Blood Draw' instead of a BAC when submitting the ACR via DIAS? Is that code A21?"

A: "There are DUI code listings that give instruction on when to send it in the ACR. If the blood draw is stand-alone, alcohol related, and there is a limitation then you should annotate it as a code 21, also you would annotate the BAC field with the blood draw results. If the blood draw results contain alcohol, THC, or other drugs, then you should annotate it as a code 23. Then you would insert the blood draw information into the BAC field, and then insert the THC or drug related information."

Q: What is the process of a refusal if you still receive a blood draw result?

A: "If you are inserting the conditions, you should confer with the corresponding prosecutor to obtain the conditions, this is not determined by DOL. However, if it is marked as a refusal, and the conditions deem it a refusal, then you should mark it as a code 12: Refusal.

Q: Resulting from a revoked deferred prosecution, it is initially marked as a code 20, if it is later revoked would it be changed to a code 21 or a code 12?
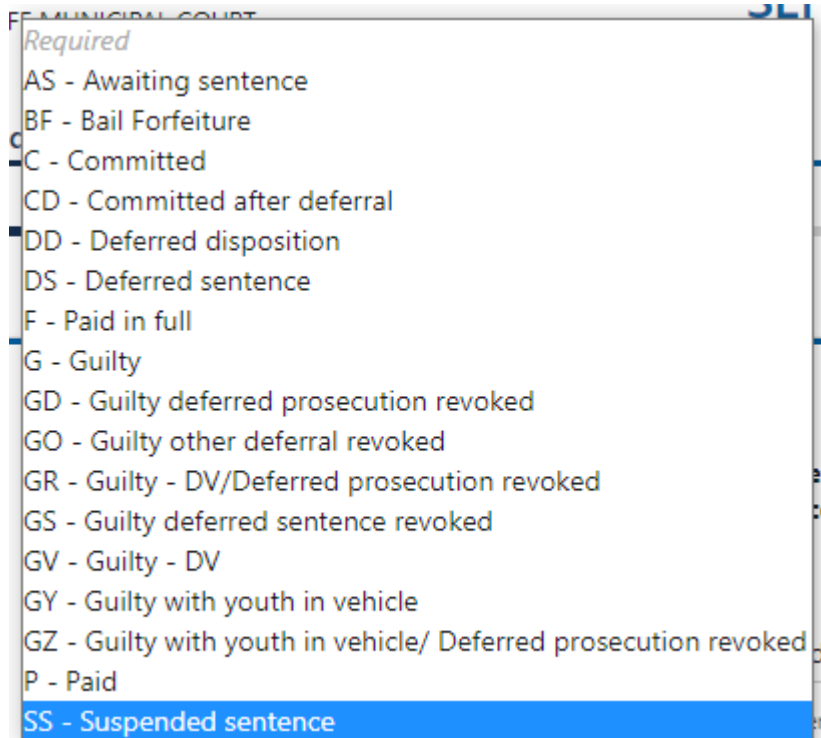
A: "Yes, please use DIAS and report using the same ticket number that the deferred prosecution was revoked and select the proper code based on the matrix which is either: code 21, code 22, code 23, or code 12."

Q: "What is the process if you have not received the BAC results?"

A:" A DUI conviction must contain the results. DIAS's automation requires the input of some type of result, such as: a refusal, a BAC, a "No-Test", THC result, or other drug related."

Reporting Dispositions on an SOC

Q: "If a DUI was granted an SOC or Diversion, and that DUI was later sentenced and a Guilty Finding is being entered, which Guilty type code should be used when reporting to DOL?"

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Required
AS - Awaiting sentence
BF - Bail Forfeiture
C - Committed
CD - Committed after deferral
DD - Deferred disposition
DS - Deferred sentence
F - Paid in full
G - Guilty
GD - Guilty deferred prosecution revoked
GO - Guilty other deferral revoked
GR - Guilty - DV/Deferred prosecution revoked
GS - Guilty deferred sentence revoked
GV - Guilty - DV
GY - Guilty with youth in vehicle
GZ - Guilty with youth in vehicle/ Deferred prosecution revoked
P - Paid
SS - Suspended sentence

A. "The Finding codes in DIAS are the same ones in the JIS Finding/Judgment Code field, only it only lists the Committed or Guilty type codes that would be reported to DOL. They did this to make it easier to select which one to use. Normally you would provide the one that matches what you entered in JIS. If it was a originally an SOC or Pre-Trial Diversion with no guilty type plea or finding, you wouldn't report that to DOL, you would only report the later finding of Guilt. However, if there was a guilty plea or finding originally, those must be reported to DOL regardless of the final sentence. See eService Answer #2281 – Entering a Stipulation on Continuance or Pre-Trial Diversion in JIS and Answer #2398 – Other Deferral reported to WSP as those answers discuss the use of the code OD, which would not go to DOL automatically vs. AS – Awaiting Sentence which would. The later answer also provides the RCW regarding what is considered a conviction, to use as a guide for if the matter must be reported to DOL or not."

Q: "Do the courts receive a letter from DOL saying there's an error when a DUI was reported, does the court need to reenter the whole conviction?"

A: "Yes, If the information is not accurate, the system is unable to post a record. It will then be returned to you, and you must re-enter the information using DIAS."

Q: "If there are costs paid on the SOC, but there's no plea and you don't have to report, it right?"

A: "If no plea is present, and there are only administrative costs, you do not have to report."

Q: "Our police department still issues paper tickets intermittently, and fortunately few and far between, previously we submitted the convictions through paper conviction forms, but it has

fallen off of our radar. I'm wondering if we are able to report those convictions through DIAS or if the convictions are sent through DOL, or if there is another process?

A: "Yes, the DIAS application is what you should use to send the paper ticket dispositions to DOL."

Q: "Would that fall under report court conviction?"

A: "Yes, in the old form site application we had a checkbox for mandatory convictions, criminal citations, infractions, deferred prosecutions, all those different ones. We combined them all into one. However, if it is a revoked deferred prosecution or a deferred prosecution, they have their own list, but all others now fall under the report conviction."

Q: "In regards to the probation violations or probation violation reporting, I understand that we would use the case number of the violation that violated the case with the DUI, but it also says the conviction date is the date of the violation conviction or the conviction of the case that it would violate."

A: "In this situation, yes, you're going to use the ticket for which the person you know violated. The court that has the probation ticket number. The conviction date is not the conviction of the DUI, it's the conviction of the probation violation."

DIAS Access for Small Courts – Continued from March

Q. "We understand there are new security requirements for accessing DIAS coming, but our city has not approved funding for our court to meet those security requirements. Are there any considerations for these small courts to still be able to access DIAS or will courts need to be start reporting manually via email or mail again?"

A: "If someone is using their DIAS self-evaluation form that gives you the access or continued access to DIAS. The concern is that their local IT was not necessarily meeting all of the needs that were on that self-evaluation, but what was realized is the self-evaluation is used for everyone. The analysis we did determined that unless you are saving the data or taking data from DIAS and putting into your own system, you can mark 'Yes'. For example, if you are just submitting the ACRs or clearing an FTA, and those are the only things you are doing in DIAS, you are not pulling any data, you're not doing driver lookups put into your own local system, then you can answer that you comply with all of those items for your court."

Parking Hold Queue Updates & Questions

Q: "Are there any issues with parking holds not being released once payment is reported through DIAS? We had 2 that were confirmed recenty that still did not go through. I called DOL and verified they did not receive them and to send them again. Is this just a glitch?"

A: "These are not instantaneous, they go through a work items process, it just takes time to go through, if you have the information send to Carla and Ryan so they can check on it. Vehicle violations are not automated and not considered a court form the go-live of DIAS. However, they don't need to be done by most court users through DIAS, because they are being done

that night in the batch process that is coming form AOC, so only use DIAS if you were doing holds manually and you don't use the AOC or Seattle Municipal programs for parking cases.

Please note that there was a backlog but these are now done daily by Carla and Ryan. If you need a parking ticket release instantaneous, you can always reach out to DOL and we can do that for you."

Q: "If we note that individuals are getting several parking or photo enforcement tickets and their defense to the court is that they weren't the driver. If we discover that the driver is actually deceased, and we have a death certificate, but the family or others are renewing the tabs every year and they are accumulating these tickets and they just file the death certificate with the court. We are assuming you would like to be notified of that?"

A: "Yes we would, we will turn that over to our fraud division because if someone's deceased and they're still using and renewing the tabs that is something that they will look into and make note on the account so that the next time they try they won't be able to renew the tabs."

Misc. DOL Questions – Additional Information from March

Q: "How long should it take for a built record to be created in DIAS? I reviewed the Adjudicated & Issued FTA Exception report that pops up in the JIS print domain and cross reference with records in DOL so I can add the WDL number to the person's PER screen."

A: "Any time there is not a record in DOL, it can take a few days for our exceptions to be worked. We have AOC exceptions and DIAS exceptions that are different and must manually review everything, so please send examples so we can review and research if you are seeing a delay."